

OVERVIEW AND SCRUTINY COMMITTEE

Thursday 20 February 2014 at 6.30 pm

Council Chamber, Ryedale House, Malton

Agenda

- 1 **Emergency Evacuation Procedure.**
- 2 **Apologies for absence**
- 3 **Minutes of the meeting held on 12 December 2013** (Pages 3 - 6)
- 4 **Urgent Business**
To receive notice of any urgent business which the Chairman considers should be dealt with at the meeting as a matter of urgency by virtue of Section 100B(4)(b) of the Local Government Act 1972.
- 5 **Declarations of Interest**
Members to indicate whether they will be declaring any interests under the Code of Conduct.

Members making a declaration of interest at a meeting of a Committee or Council are required to disclose the existence and nature of that interest. This requirement is not discharged by merely declaring a personal interest without further explanation.
- 6 **Internet Audit Q3 Progress Report** (Pages 7 - 18)
- 7 **Annual Governance Statement Action Plan Progress** (Pages 19 - 22)
- 8 **Certification Annual Report 2013** (Pages 23 - 36)
- 9 **Safer Ryedale Partnership Update** (Pages 37 - 74)

- 10 **Customer Complaints Quarter 3 2013/14** (Pages 75 - 82)
- 11 **Treasury Management Statement and Investment Strategy** (Pages 83 - 108)
- 12 **Corporate Risk Register** (Pages 109 - 126)
- 13 **Delivering the Council Plan Report** (Pages 127 - 134)
- 14 **Scrutiny Review of the Role of Members on Outside Bodies and as Member Champions** (Pages 135 - 160)
- 15 **Decisions from other Committees** (Pages 161 - 164)
Commissioning Board held on 23 January 2014
Policy and Resources Committee held on 13 February 2014 – to follow
- 16 **Exempt information** (Pages 165 - 184)
Information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime.
- 17 **Any other business that the Chairman decides is urgent.**

Overview and Scrutiny Committee

Held at Council Chamber, Ryedale House, Malton
on Thursday 12 December 2013

Present

Councillors P J Andrews, Cussons, Mrs Frank, Mrs Shields (Vice-Chairman) and Wainwright (Chairman)

In Attendance

Sarah Anderson, John Barnett, Simon Copley, Peter Johnson, Faye Snowden and Max Thomas

Minutes

35 **Apologies for absence**

Councillor Collinson
Councillor Raper
Councillor Richardson

36 **Minutes of the Meeting held on the 3 October 2013**

Decision

That the minutes of the meeting of the Overview and Scrutiny Committee held on the 3 October 2013 be approved and signed by the Chairman as a correct record.

37 **Urgent Business**

There were no items of urgent business.

38 **Declarations of Interest**

None.

39 **Deloitte Annual Audit Letter**

Considered – Report of the Annual Audit Letter on the 2012/13 Audit from Deloitte.

Decision

That the report be received.

40 **Deloitte External Audit Progress Report**

Considered – Report of the External Audit Progress Report from Deloittes.

Decision

That the report be received.

41 **Customer Complaints received Quarter 2 2013/14**

Considered – Report of the Business Support Manager

Decision

That the report be received.

42 **Internal Audit Monitoring Report - Quarter 2**

Considered – Report of the Corporate Director (S151).

Decision

That Members note the results of the audit and fraud work undertaken so far during 2013/14, and approve the new internal audit charter.

43 **Treasury Management Mid Year Review**

Considered – Report of the Corporate Director (s151)

Decision

That the report be received and the mid year performance of the Council's funds to date be noted.

44 **Decisions from other Committees**

The minutes of the Commissioning Board meeting held on the 21 November 2013 and the Policy & Resources Committee held on the 5 December 2013 were presented.

Decision

That the minutes be received.

That the report on Outside Bodies be reconsidered at the working party on 21st January 2013 and that other Members of the Council be invited to attend.

45 **Any other business that the Chairman decides is urgent.**

There were no items of urgent business.

The meeting closed at 07.10 pm

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REPORT TO:	OVERVIEW AND SCRUTINY COMMITTEE
DATE:	20 FEBRUARY 2014
REPORT OF THE:	CORPORATE DIRECTOR (s151) PAUL CRESSWELL
TITLE OF REPORT:	INTERNAL AUDIT – Q3 PROGRESS REPORT
WARDS AFFECTED:	ALL

EXECUTIVE SUMMARY

1.0 PURPOSE OF REPORT

- 1.1 The report summarises the outcome of internal audit work undertaken between April 2013 and January 2014, inclusive.

2.0 RECOMMENDATION

- 2.1 It is recommended that the Committee note the results of audit and fraud work undertaken so far during 2013/14.

3.0 REASON FOR RECOMMENDATION

- 3.1 To enable the Committee to fulfil its responsibility for considering the outcome of internal audit work.

4.0 SIGNIFICANT RISKS

- 4.1 The Council will fail to comply with proper practice requirements for internal audit if the results of audit work are not considered by an appropriate Committee.

5.0 POLICY CONTEXT AND CONSULTATION

- 5.1 This report supports the Council's Corporate Strategic Objective of providing strong Community Leadership, by demonstrating a commitment to local democracy and accountability.

6.0 REPORT DETAILS

- 6.1 The work of internal audit is governed by the Accounts and Audit (England) Regulations 2011 and relevant professional standards. These include the Public Sector Internal Audit Standards (PSIAS) and CIPFA guidance on the application of those standards in Local Government. In accordance with the standards, the Head of Internal Audit is required to report on the results of audit work undertaken, to this Committee

- 6.2 Within the report there is a summary of progress made against the plan and a summary of the audit opinions for the individual audits completed thus far.
- 6.3 It is important that agreed actions are formally followed-up to ensure that they have been implemented by management. This is carried out throughout the year with appropriate testing being completed as required. Details of the progress made to implement agreed actions, due by September 2013, were presented to this Committee in December.
- 6.4 In the period between April and January, inclusive, **8** out of **17** internal audit reviews to final report stage were completed. A further **6** other audits are currently in progress. This represents **47%** of the plan delivered to final report stage.

7.0 IMPLICATIONS

- 7.1 The following implications have been identified:
- a) Financial
None
 - b) Legal
None
 - c) Other (Equalities, Staffing, Planning, Health & Safety, Environmental, Crime & Disorder)
None

Paul Cresswell
Corporate Director (s151)

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Background Papers:

Public Sector Internal Audit Standards
CIPFA Local Government Application Note (for the United Kingdom Public Sector Internal Audit Standards)



Ryedale District Council

Internal Audit Progress Report 2013-14

Period to 31 January 2014

Audits Completed to 31 January 2014	
High Assurance	5
Substantial Assurance	1
Moderate Assurance	1
Limited Assurance	1
No Assurance	0

Audit Manager:

John Barnett

Head of Internal Audit:

Max Thomas

Circulation List:

Member of the Overview and Scrutiny Committee
Corporate Director (S151 Officer)

Date: 20 February 2014

Background

- 1 The work of internal audit is governed by the Accounts and Audit Regulations 2011 and the Public Sector Internal Audit Standards. In accordance with the Public Sector Internal Audit Standards, the Head of Internal Audit is required to regularly report progress in delivery of the Internal Audit Plan to the Overview and Scrutiny Committee and to identify any emerging issues which need to be brought to the attention of the Committee.
- 2 Members approved the Internal Audit Plan 2013/14 at their meeting on the 11 April 2013. The total number of planned audit days for 2013/14 is 225. The performance target for Veritau is to deliver 93% of the agreed Audit Plan by the end of the year. This report summarises progress made in delivering that plan.

Internal Audit Work Carried Out 2013/14

- 3 A summary of the internal audit reports issued is attached at **Appendix A**. This is the third progress report to be received by the committee during 2013/14.
- 3.1 Veritau officers are involved in a number of other areas relevant to corporate matters:
 - **Support to the Overview and Scrutiny Committee**; this is mainly ongoing through our support and advice to Members. We assist by facilitating the attendance at Committee of managers to respond directly to Members' questions and concerns over the audit reports and the actions that managers are taking to implement agreed actions.
 - **Risk Management**; Veritau advise on the Council's Risk Management processes.
 - **Systems Development**; Veritau attend development group meetings in order to ensure that where there are proposed changes and new ways of delivering services, that the control environment is not overlooked which could lead to the Council being exposed.
 - **Investigations**; Special investigations into specific sensitive issues.
- 3.2 As with previous audit reports an overall opinion has been given for each of the specific systems under review. The opinion given has been based on an assessment of the risks associated with any weaknesses in controls identified.
- 3.3 The opinions used by Veritau are provided for the benefit of Members below:

High Assurance	Overall, very good management of risk. An effective control environment appears to be in operation.
Substantial Assurance	Overall, good management of risk with few weaknesses identified. An effective control environment is in operation but there is scope for further improvement in the areas identified.
Moderate Assurance	Overall, satisfactory management of risk with a number of weaknesses identified. An acceptable control environment is in operation but there are a number of improvements that could be made.

Limited Assurance

Overall, poor management of risk with significant control weaknesses in key areas and major improvements required before an effective control environment will be in operation.

No Assurance

Overall, there is a fundamental failure in control and risks are not being effectively managed. A number of key areas require substantial improvement to protect the system from error and abuse.

3.4 The following categories of opinion are also applied to individual actions agreed with management:

Priority 1 (P1) – A fundamental system weakness, which represents unacceptable risk to the system objectives and requires urgent attention by management.

Priority 2 (P2) – A significant system weakness, whose impact or frequency presents risk to the system objectives, which needs to be addressed by management.

Priority 3 (P3) – The system objectives are not exposed to significant risk, but the issue merits attention by management.

3.5 It is important that agreed actions are formally followed-up to ensure that they have been implemented. This is carried out throughout the year with appropriate testing being completed, the results shown in the Summary of Key Issues – Management Actions Agreed column below.

3.6 In the period between April and January, inclusive, we have completed **8** out of **17** internal audit reviews to final report stage. A further **6** other audits are in progress. This represents **47%** of the plan delivered to final report stage.

Table of 2013/14 audit assignments completed

Audit	Status	Audit Committee
<i>Fundamental/Material Systems</i>		
General Ledger	Not started	
Payroll	Not started	
Debtors	In progress	
Creditors	In progress	
Treasury Management	Completed ~ High Assurance	December 2013
Benefits	In progress	
Council Tax/NNDR	In progress	
Income/Cash Receipting	Not started	
<i>Regularity Audits</i>		
Human Resources – Recruitment	In progress	
Elections	Completed ~ High Assurance	October 2013
Performance Management/Data Quality	Completed ~ Moderate Assurance	February 2014
Partnerships	Completed ~ High Assurance	December 2013
Health and Safety	Completed ~ Substantial Assurance	October 2013
Fleet Management	Completed ~ High Assurance	October 2013
Planning/Development Control	Completed ~ Limited Assurance	February 2014
Tax Management	Completed ~ High Assurance	February 2014
<i>Technical/Project Audits</i>		
ICT	In progress	
<i>Follow-Ups</i>		
	See below	

Summary of Key Issues from audits completed to 31 December 2013; previously not reported

	System/Area	Opinion	Area Reviewed	Date Issued	Comments	Management Actions Agreed and Followed-Up
	Performance Management/Data Quality	Moderate Assurance	The purpose of the audit was to provide assurance to management that the controls it has put in place to manage key risks relating to Performance Management are effective and that service delivery continues to be unaffected.	20 January 2014	<p>Strengths The system(s) and processes are managed by experienced officers.</p> <p>Key Weaknesses The Council's performance management system is based around the 'golden thread' whereby the community strategy (Imagine Ryedale) and the corporate plan objectives are cascaded down into service plans and individual employee work plans. The key document, the Performance Management Framework which outlines these principles was last revised in June 2007. In 2013 the new Council Plan for 2013-17 was approved by Members. The performance management framework should reflect the actions and priorities for the core objectives in the new Council plan.</p> <p>This is the first year that all service planning has been carried out on Covalent. Feedback on this process is important to ensure that a consistent approach is followed by officers. There is clear evidence that performance</p>	<p>The performance management pages of the intranet will be refreshed to include revisions of the performance management framework. <i>Due 30/4/14</i></p> <p>Feedback from performance review boards (PRB's) will be shared by the Head of Service with relevant Service Unit Manager's and officers. Notes will be added to the PRB reports on Covalent and this will provide feedback from the meetings but</p>

	System/Area	Opinion	Area Reviewed	Date Issued	Comments	Management Actions Agreed and Followed-Up
					<p>reporting is being carried out across the Council, however examples of effective performance management were not so clear.</p> <p>There is currently no joint performance and financial monitoring report presented to Members.</p>	<p>also provide evidence of performance management (decisions, actions etc). <i>Due 30/1/14</i></p> <p>It has already been agreed with Members that the quarterly reports on delivering the Council's priorities will be taken to the Overview and Scrutiny committee. <i>Next meeting 20/2/14</i></p>
	Planning/Development Control	Limited Assurance	The purpose of the audit was to provide assurance to management that the controls it has put in place to manage key risks relating to Development Control – Section 106 Agreements, are effective and to ensure that the processes in place are fit for purpose.	15 January 2014	<p>Strengths S106 agreements are monitored on an ongoing basis.</p> <p>Key Weaknesses The Development Management team does not currently use the section 106 module on their main Uniform System. The Finance team maintain a spreadsheet of section 106 agreements and information can also be obtained from Legal Services. However, the information is incomplete to enable effective monitoring. Relevant trigger points are also not systematically monitored.</p>	<p>Management will aim to implement the section 106 module. A timetable will then be prepared for the completion of all outstanding Section 106 agreements to be input into the system (all agreements with a financial obligation will be a priority). The Uniform system will then form the central register of all section 106 agreements which will be reconciled to financial records on a quarterly basis. <i>Due 30/11/14</i></p> <p>The reporting function on the section 106 module of</p>

	System/Area	Opinion	Area Reviewed	Date Issued	Comments	Management Actions Agreed and Followed-Up
						<p>Uniform (once implemented) will be reviewed to ensure that the correct reports are run at the appropriate times and distributed to the correct officers.</p> <p>Local arrangements will be made to ensure that the information is input onto the system on a regular basis to ensure that the reports produced are based on accurate up to date information. <i>Due 30/11/14</i></p> <p>All information will be recorded centrally through the section 106 module (when implemented). This will allow for proactive monitoring of all trigger and repayment dates (when entered into the system).</p> <p>In the short term we are aware of the agreements where monies need to be spent and the timescales involved. <i>Due 30/11/14</i></p>
	Tax Management	High Assurance	The purpose of the audit was to provide assurance to management that the controls it has put in place to manage key risks relating to the Construction Industry Scheme are effective and to ensure	17 January 2014	<p>Strengths</p> <p>The management of tax through CIS has been efficiently managed with only a few minor issues raised. Since the completion of the audit the Council has 'de-registered' from the Construction Industry</p>	

	System/Area	Opinion	Area Reviewed	Date Issued	Comments	Management Actions Agreed and Followed-Up
			processes in place are fit for purpose.		<p>Scheme – having CIS expenditure less than £1m per annum. Therefore no further action is required with regard to these matters.</p> <p>Key Weaknesses There were no key weaknesses identified.</p>	

Summary of Key Issues from audits completed and previously reported

	System/Area	Opinion	Area Reviewed	Date Issued	Comments	Management Actions Agreed and Followed-Up
	Treasury Management	High Assurance	A review of the systems and processes involved in the operation of the Council's Treasury Management function.	24 October 2013	<p>Strengths Treasury Management duties are undertaken effectively by an experienced officer within Finance under the guidance of the Finance Manager.</p> <p>Key Weaknesses There were no key weaknesses identified.</p>	
	Elections	High Assurance	The purpose of the audit was to provide assurance that recouping of election costs is maximised and thus any cost to Ryedale minimised. The 2012 canvass for the Register of Electors was also reviewed.	16 July 2013	<p>Strengths The controls and processes are effectively managed.</p> <p>Key Weaknesses There were no key weaknesses identified.</p>	

	System/Area	Opinion	Area Reviewed	Date Issued	Comments	Management Actions Agreed and Followed-Up
	Partnerships	High Assurance	A review of the governance arrangements in place for partnerships.	5 November 2013	<p>Strengths The management of partnership arrangements are carried out effectively by experienced officers.</p> <p>Key Weaknesses There were no key weaknesses identified.</p>	
	Health and Safety	Substantial Assurance	A review of the arrangements in place for the facilitation and co-ordinating of all aspects of Health and Safety.	2 July 2013	<p>Strengths Arrangements for complying with health and safety requirements are efficiently managed.</p> <p>Key Weaknesses The Corporate Health, Safety & Wellbeing Policy posted on the Health & Safety home page of the Council's intranet is identified as having been revised in November 2008. There is a version that was revised in May 2012, but it has yet to be published on the intranet.</p>	<p>When the Health & Safety Officer has new or revised policies or guidance she will send them to the Business Support Manager for posting on the intranet. <i>Immediate</i></p> <p><i>21/1/14 Completed - The current version of the Corporate Health, Safety & Wellbeing Policy (reviewed August 2013) has been posted on the Health & Safety home page of the Council's intranet.</i></p>

	System/Area	Opinion	Area Reviewed	Date Issued	Comments	Management Actions Agreed and Followed-Up
	Fleet Management	High Assurance	An audit to test the soundness of systems associated with Fleet Management.	8 August 2013	<p>Strengths The management of the Council's vehicle fleet is effectively carried out.</p> <p>Key Weaknesses There were no key weaknesses identified.</p>	



REPORT TO:	OVERVIEW AND SCRUTINY COMMITTEE
DATE:	20 FEBRUARY 2014
REPORT OF THE:	CORPORATE DIRECTOR (s151) PAUL CRESSWELL
TITLE OF REPORT:	ANNUAL GOVERNANCE STATEMENT ACTION PLAN
WARDS AFFECTED:	ALL

EXECUTIVE SUMMARY

1.0 PURPOSE OF REPORT

- 1.1 The report informs Members of the progress made to address the actions identified in the 2012-13 Annual Governance Statement (AGS) action plan.

2.0 RECOMMENDATION

- 2.1 It is recommended that Members note the progress made to address identified actions in the 2012-13 AGS action plan.

3.0 REASON FOR RECOMMENDATION

- 3.1 Monitoring progress with identified actions in the AGS is good practice and it helps to demonstrate to the external auditors that the audit committee is properly exercising its role.

4.0 SIGNIFICANT RISKS

- 4.1 There are no significant risks.

5.0 POLICY CONTEXT AND CONSULTATION

- 5.1 There is no impact upon specific policies, although the AGS is an important corporate document demonstrating the Council's commitment to an open and transparent philosophy in all its activities.

6.0 REPORT DETAILS

- 6.1 Good governance is important to all involved in local government; however, it is a key responsibility of the Leader of the Council and of the Chief Executive.

- 6.2 The preparation and publication of an Annual Governance Statement in accordance with the Cipfa/SOLACE Framework is necessary to meet the statutory requirements set out in Regulation 4(2) of the Accounts and Audit Regulations 2011 which require each authority to “conduct a review at least once in a year of the effectiveness of its system of internal control” and to prepare a statement on internal control “in accordance with proper practices”.
- 6.3 To meet the requirement to review the AGS an Action Plan has been agreed and is subject to review by the Council’s Audit Committee.
- 6.4 This report presents a review of the implementation of actions proposed in the Action Plan associated with the 2012-13 AGS.
- 6.5 The Action Plan detailed in Appendix A, sets out the current position with comments on the actions proposed in the plan.
- 6.6 The AGS Action Plan is a document that should be reviewed periodically during the year. A final review will be completed when the AGS for 2013-14 is being drafted and any current items which remain outstanding will then be brought forward into the new AGS.

7.0 IMPLICATIONS

- 7.1 The following implications have been identified:
- a) Financial
None
 - b) Legal
None
 - c) Other (Equalities, Staffing, Planning, Health & Safety, Environmental, Crime & Disorder)
None

Paul Cresswell
Corporate Director (s151)

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Background Papers:
None

ANNUAL GOVERNANCE STATEMENT 2012/13

Action Plan for Implementation in 2013/14

STATUS	CONTROL ISSUE	ACTION PROPOSED	RESPONSIBILITY	TARGET DATE	CURRENT POSITION
Brought Forward	Risk of compromise and weaknesses in operational systems as a consequence of continuing reductions in staffing as Government funding cuts made.	Where changes in staffing occur, that changes in operating arrangements are reviewed prior to reducing the controls. Internal audit will be included in working groups reviewing operating systems and arrangements, including commissioning, partnership arrangements etc.	Corporate Director (s151)	Continuing	This will be a continuing issue in 2013/2014
2012/2013	Procurement Risk as the Council undertakes two significant OJEU procurements, Insurance (2013) and Leisure Management (2014)	The Authority is part of the North Yorkshire Procurement Partnership and will ensure advice is taken supplemented by service specific advice for both procurements.	Corporate Director (s151)	September 2014	Procurement on target supported by external consultancy advice. Service Specification to be approved by Council. EU compliant competitive dialogue process being used.

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Ryedale District Council

Certification of claims and returns 2012/13

Annual Report

February 2014

Contents

- Executive summary 2
- 1. Grant claims and returns certified for 2012/13 3
- 2. Adjustments and qualification letters issued 4
- 3. Commentary on housing and council tax benefit claim 5
- 4. Observations and recommendations arising from our certification work 7
- 5. Closing remarks 11
- Appendix 1: Analysis of certification fees 12

Executive summary

We have pleasure in setting out in this document our report to the Overview and Scrutiny Committee of Ryedale District Council (“the Council”) on our certification work for the year ended 31 March 2013. This report summarises the principal matters that have arisen from our work. It is not intended to be exhaustive but highlights the most significant matters to which we would like to bring your attention.

This year only two items have required certification, being the housing and council tax benefit subsidy claim and the National non-domestic rates return (“NNDR”).

The NNDR return required one adjustment but had no matters to report.

The housing and council tax benefit subsidy claim testing revealed various errors of both underpayment and overpayment of benefit, across all three types of benefit. The individual errors ranged from a few pence to £2,963 and for periods of a few days to several months. Overall the claim was only adjusted by £2,697 and a qualification letter was submitted to the Department for Work and Pensions (“DWP”) setting out the various errors found.

Given the nature of the benefits system, with a high volume of low value transactions, there will always be an element of human error. This year revealed a similar number of errors as the prior year. None of the errors found this year highlight any significant control weaknesses, rather a series of human errors which appear to indicate continued pressure on resources rather than a lack of knowledge or skills.

There is a risk that the errors noted in the qualification letter could be used by DWP to reclaim an element of subsidy so it is important to understand and address the errors found, see section 4 for further details.

More detail on our testing and the errors noted can be found in section 3 and our specific recommendations can be found in section 4.

1. Grant claims and returns certified for 2012/13

The following claims and returns have been certified and delivered to the appropriate authorities within the relevant deadlines:

Claim or return	Value of claim/ return	Date received	Date certified	Certification deadline	Adjustments required	Qualification letter issued
Housing and council tax benefit	£15.4m	15/07/13	28/11/13	29/11/13	Yes	Yes
National non-domestic rates return	£15.4m	15/08/13	26/09/13	27/09/13	Yes	No

Notes

- All claims with a project lifetime value of over £125,000 require certification by the auditors.
- Section 2 provides details of adjustments and qualifications required.
- An analysis of certification fees is shown in Appendix 1 to this letter.

2. Adjustments and qualification letters issued

The following adjustments have been made prior to certification by the auditors and one qualification letter has been issued.

Adjustments

- The NNDR return required one adjustment to amounts under the deferral scheme due to an erroneous underlying report.
- The housing and council tax benefit subsidy claim required several minor adjustments giving rise to an overall decrease in the claim of £2,697 – see section 3 for commentary on the housing and council tax benefit subsidy claim.

Qualification letter issued

- A letter was issued in respect of the housing and council tax benefit subsidy claim. Nine different categories of error were reported in this year's letter to the Department for Work and Pensions (2011/12: nine). Where errors cannot be adjusted in the claim form, they are extrapolated from the testing sample across the whole benefit population. The total extrapolated error reported in the letter was £8,350. If this amount is added to the previously reported LA error of £60,481 then the lower threshold for subsidy payable on LA errors would still not have been breached, but only by £1,890. See section 4 for related recommendation.

3. Commentary on housing and council tax benefit claim

Certification approach

- Certification instruction BEN01, issued by the Audit Commission, was followed and using the HBCOUNT 2013 instructions, a Modular Approach was used to certify the claim. A planning meeting was held with key Council benefits staff in June 2013.
- The “system parameters” specified by the National Audit Office (ie this year’s benefit rates and allowances) were agreed to those in use at the Council. This work was partially performed at a preliminary stage in early June 2013.
- Electronic workbooks supplied by the Audit Commission were used to test a sample of cases for each of the three relevant benefit types (non-HRA rent rebates, rent allowances and council tax benefit) for the Council. A sample of 20 was used for rent allowances and council tax benefit. The number of cases tested for non-HRA rent rebates was reduced to 13 as a result of the small population for this type of benefit.
- In total our work took 34 days this year compared to 32 days last year. Further details are given below.
- Where errors are found in our initial testing, the certification instructions require extended testing of a further 40 cases (for populations over 100 cases) or 100% of cases (for populations under 100 cases) in the specific area of the error. Extended testing is performed in each instance where an initial error is found, and where prior year errors were found, and extended samples are combined wherever possible to minimise the number of cases tested. This year twelve sets of extended testing were performed (2011/12: 11 sets).
- A review of the Northgate software controls was also performed.

3. Commentary on housing and council tax benefit claim (continued)

Summary of findings

- Our initial testing of 53 cases noted 2 errors (2011/12: 23 errors) across all three benefit types.
- As a result of the errors found in our initial testing, one set of extended testing was required and a further 3 errors were noted as a result of this extended testing.
- Furthermore, testing is required in areas where errors were found in the prior year and this testing noted a further 51 errors, again some of which relate to control errors.
- There were instances of both underpayment and overpayment of benefit in the errors noted above, ranging from a few pence to £2,963 and from a few days to several months.
- The following is a summary of the errors noted in our combined testing:
 - incorrect income details recorded/calculated for 13 council tax and 10 rent allowance cases;
 - incorrect rent officer renewal start date for 2 rent allowance cases;
 - incorrect treatment of student loan for 2 rent allowance cases and 1 council tax case;
 - incorrect treatment of non-dependent deductions for 4 council tax cases;
 - incorrect treatment of service charge expenses for 7 non-HRA cases;
 - incorrect classification of type of accommodation expenditure for 10 non-HRA cases (software error);
 - incorrect recording of an instance of backdating a claim for 5 rent allowance cases; and
 - incorrect overpayment classification for various council tax cases.

Looking forward – 2013/14

For 2013/14 the national Council Tax Benefit scheme has been replaced with a local Council Tax Reduction policy and therefore the certification of the Housing Benefit Subsidy grant in 2013/14 will no longer cover expenditure on payments relating to Council Tax. See related observation in section 4. At the time of writing this report, there have been no other changes announced by the Audit Commission. However, there are usually some minor amendments to the certification instructions each year and we will keep you informed of any significant changes that are announced in the future.

4. Observations and recommendations arising from our certification work

Housing and council tax benefit subsidy claim - follow up on prior year matters raised

	Recommendation in 2011/12	Resolved in 2012/13?
1	<p>It has taken several months to conclude this year's work. This appears to be due to lack of available resource in the benefits team to investigate errors found in our testing and to carry out the extended testing required.</p> <p>Testing required in 2012/13, as a result of errors arising in 2011/12, has already been notified to the benefits team management and it is recommended that this testing is performed over the next three months to reduce the pressure on staff during the summer certification work.</p>	<p>Additional resources were allocated to the Benefits section to specifically work on this area.</p>
2	<p>Internal audit highlighted that no supervisory checks have been made to benefit cases since February 2011. This increases the risk of training needs remaining undetected and is likely to have contributed to the high level of errors this year. Supervisory checks should be carried out across all benefits staff as soon as possible and a regular timetable of checks should be reintroduced.</p>	<p>Point carried forward to 2012/13 recommendation – see below.</p>
3	<p>When assessors run checks on CIS for whether a benefit is income based or contributions based, a copy of that screen shot should be filed together with the main award list page from the CIS system. This will ensure that backing documentation is retained to support the income used in the subsidy calculation.</p>	<p>We noted that staff training had been improved in this area and CIS screen prints were filed to support figures used in calculations in the sample cases tested.</p>
4	<p>The error report, Sub057 "Reconciling claims", should be produced and reviewed as part of the subsidy claim completion process.</p>	<p>As in the previous year, it was decided that only large amounts would be investigated.</p>
5	<p>Towards the end of our work it became apparent that incorrect stop codes were being used for council tax cases. All 2011/12 stopped cases were reviewed and 109 cases were adjusted. All 2012/13 stopped cases will need to be reviewed and amended and staff training should be given in this area.</p>	<p>A full review was undertaken by Council staff to identify and correct these coding errors. As this was limited to Council Tax cases, the issue will not arise in the 2013/14 certification.</p>

4. Observations and recommendations arising from our certification work (continued)

Housing and council tax benefit subsidy claim - follow up on prior year matters raised (continued)

	Recommendation in 2011/12	Resolved in 2012/13?
6	<p>Recurring errors were found in recording income and tax credits, rent officer determination start date, student loans and backdating. It is recommended that all staff attend refresher training to reduce the number of errors in these areas.</p>	<p>Errors relating to income are common place across many Councils. Further training, reminders to assessment staff and increasing the level of supervisory review will help to reduce the error rate.</p> <p>The Council has implemented new processes part way through 2013/14 to automate some aspects of the processing of tax credit information. We will review the effectiveness of these measures in our 2013/14 certification.</p> <p>One error was found in relation to student loan income. Given the low number of cases with student loan/grant income, the Council should target its supervisory reviews at this characteristic.</p> <p>The incorrect backdating of claims remained an issue in 2013/14, covering claims where no backdate had actually been awarded, as well as cases where good cause had not been shown to award the backdate.</p>
7	<p>There was found to be inconsistent recording and/or treatment of service charge expenses included in the rent paid to landlords. All cases in 2011/12 were reviewed and amended as required. All cases in 2012/13 should be reviewed and training given to staff regarding the proper treatment of service charges.</p>	<p>Errors in the service charges for non-HRA cases were again identified. Given the limited number of properties used, a standard cost sheet per property should be developed, which will then require limited amendment.</p>

4. Observations and recommendations arising from our certification work (continued)

Housing and council tax benefit subsidy claim - current year matters raised

	Observation and recommendation 2012/13	Management response
1	<p>The internal quality checking process could be improved by being more focussed. Currently 3 new claims are checked each week, with the intention of spreading these across the assessors. However, risks also exist around the accuracy of assessment of changes in circumstances calculations. The checking could be better targeted at areas where known errors exist (for example, homelessness claims, claims with earnings, student cases) and the results of checking could feed into the training program to pinpoint areas of improvement for individual assessors and the service as a whole.</p> <p>We recommend that the authority adopt a risk based claims checking process, spreading across assessors, new claims and changes in circumstances, and focusing on different, higher risk aspect of claims and clearly documents the findings from its checks.</p>	<p>Management acknowledge the need for improved checking processes.</p> <p>However resources are limited for investment in increased checking. Despite this the following improvements have been made The benefits team now work on a Drip feed caseload instead of the previous Alpha split. As part of the drip feed caseload the assessors are now checking each other's work.</p>
2	<p>The Council is assessed on the level of Local Authority (LA) error arising from processing claims, and subsidy is available for Councils which minimise this type of error. In the 2012/13 final claim, the Council was entitled to £60,481 of LA error subsidy. If the level of LA error increased above £70,721, the Council would lose £36,289, being 60% of this subsidy, and above £79,561 100% of this subsidy would be lost. This year the threshold was not breached by £1,890. Note, these thresholds vary year on year, depending on the level of benefit expenditure.</p> <p>DWP have demonstrated their intention to follow up on qualification letters at other councils where thresholds are breached when the extrapolated errors are taken into account. Therefore, we recommend the Council monitors its position against these thresholds, and considers investing in additional resources to protect the LA error subsidy it currently receives.</p>	<p>Monitoring on the level of Local Authority (LA) error arising from processing claims is undertaken on a monthly basis. The LA has invested in additional resources to assist in improving processing times and reducing the backlog. In-house procedures have also been reviewed and updated to assist with the team performance.</p>

4. Observations and recommendations arising from our certification work (continued)

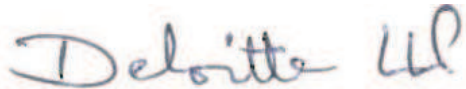
Housing and council tax benefit subsidy claim - current year matters raised (continued)

	Observation and recommendation 2012/13	Management response
3	Following the replacement of the national Council Tax Benefit scheme with a local Council Tax Reduction policy, the certification of the Housing Benefit Subsidy grant in 2013/14 will no longer cover expenditure on payments relating to Council Tax. Members should consider how they are gaining assurance that the Council Tax Reduction scheme is being administered in line with the agreed policy.	Assurance is achieved through adherence to the scheme as agreed by the Council and this will be subject to internal audit as part of their work programme.

5. Closing remarks

This report has been discussed and agreed with the Corporate Director of the Council. A copy of the report will be presented at the Overview and Scrutiny Committee on 20 February 2014.

We would like to take this opportunity to express our appreciation for the assistance and co-operation provided during the course of the certification work.

A handwritten signature in blue ink that reads "Deloitte LLP". The signature is written in a cursive, slightly slanted style.

Deloitte LLP

Chartered Accountants

4 February 2014

The matters raised in this report are only those that came to our attention during our certification work and are not necessarily a comprehensive statement of all weaknesses that exist or of all improvements that might be made. You should assess recommendations for improvements for their full implications before they are implemented. In particular, we would emphasise that we are not responsible for the adequacy and appropriateness of the certification methodologies as they are derived solely from the Audit Commission.

This report has been prepared for the Members, as a body, and we therefore accept responsibility to you alone for its contents. We accept no duty, responsibility or liability to any other parties, since this report has not been prepared, and is not intended, for any other purpose.

Appendix 1: Analysis of certification fees

Claim or return	2012/13 £'000	2011/12 £'000
Housing and council tax benefit subsidy claim (to be confirmed by Audit Commission)	18.4	29.0
National non-domestic rates return	2.9	4.2
Total	21.3	33.2

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REPORT TO:	OVERVIEW AND SCRUTINY COMMITTEE
DATE:	20 FEBRUARY 2014
REPORT OF THE:	HEAD OF ECONOMY AND INFRASTRUCTURE JULIAN RUDD
TITLE OF REPORT:	SAFER RYEDALE PARTNERSHIP UPDATE
WARDS AFFECTED:	ALL

EXECUTIVE SUMMARY

1.0 PURPOSE OF REPORT

- 1.1 To inform Members of the progress towards delivery of the 2013/14 Safer Ryedale Action Plan.
- 1.2 To present the Ryedale Chapter of the Joint Strategic Intelligence Assessment (JSIA) (EXEMPT) to Members and to seek Member views.
- 1.3 To present the options for Local Authority Crime and Disorder committee and to seek Member views.

2.0 RECOMMENDATION

- 2.1 It is recommended that Members note the report and appendices and provide feedback to Officers.

3.0 REASON FOR RECOMMENDATION

- 3.1 The Police and Justice Act 2006 placed a statutory duty on local authorities to put in place arrangements for crime and disorder scrutiny committees, the statutory function of the committee being to review, scrutinise and report on the decisions made and action taken by responsible authorities relating to crime and disorder issues under the Crime and Disorder Act 1998.
- 3.2 The Overview and Scrutiny Committee was designated as the Ryedale District Council's crime and disorder overview and scrutiny committee in July 2009. To undertake this function, it was resolved to receive reports from the Safer Ryedale Partnership. (Minute 42(b) refers.)

4.0 SIGNIFICANT RISKS

- 4.1 Risks are outlined in the Risk Matrix in appendix A.

REPORT

5.0 BACKGROUND AND INTRODUCTION

- 5.1 The Safer Ryedale Delivery Team meet approximately 4 times per annum to review progress towards delivery of the Safer Ryedale Partnership Plan. The performance Monitoring Report is updated quarterly, identifying progress with each of the four priorities, through identifying progress towards meeting the objectives and actions. A traffic light system is used to assess progress.
- 5.2 The JSIA 2013 has not yet been published (as at 30th January 2014).
- 5.3 The JSIA is an exempt document. The audience for the JSIA 2013 is intended to be as wide as possible, whilst noting the sensitive nature of the contents. This document is classified as 'Restricted' and therefore may be circulated freely within law enforcement and also to partners and partnerships where Information Sharing Agreements are in place and registered with the Force Information Manager, Legal and Compliance Directorate. This is not a public document and is exempt from the Freedom of Information Act 2000 under Section 31.
- 5.4 Safer Ryedale's and the Police and Crime Commissioner's Priorities for 2014/15 will be based on the data published in the JSIA.
- 5.5 A report on the changing community safety structures will be presented to Commissioning Board (20 March 2014). This will reference the establishment of a York and North Yorkshire Safer Communities Board, the North Yorkshire Community Safety Partnership and the establishment of Local Delivery Groups to replace Safer Ryedale.

6.0 POLICY CONTEXT

- 6.1 Council Priorities 2009 – 2013 Aim 4: To have active communities where everyone feels welcome and safe.

7.0 CONSULTATION

- 7.1 A variety of approaches are used to engage with communities, both face to face and through consultation exercises. These include:
- Website www.ryesafe.org
 - Media opportunities – Safer Ryedale has a target of 12 press releases per annum
 - A 'media diary of events' has been drawn up to highlight the community based events for the Partnership throughout the year
 - CAP (Community & Police) meetings
 - Parish Liaison Forum
 - Voluntary Sector Forums
 - Bi-annual street surveys in all four of Ryedale's market towns
 - Valued network of Watch Groups.

8.0 REPORT DETAILS

2013/14 Partnership Plan

- 8.1 The Partnership Plan was published on line in June 2013 on the Safer Ryedale website. www.ryesafe.org. The Performance Monitoring Report for Quarter 3 of 2013/14 is in appendix B.

- 8.2 It is intended that Safer Ryedale (acting as a Local Delivery Group), will continue to develop an Action Plan for 2014. This will be presented to a future meeting of this committee.

Introduction to the JSIA 2013

- 8.3 The Strategic Service Assessment (JSIA) has been produced by North Yorkshire Police. The resultant document is therefore GPMS 'Restricted' and is an internal document for use by the partnership and constituent member agencies, as well as providing North Yorkshire Police with the required level of strategic analysis across a number of areas of law enforcement. There is a chapter for each District. The Ryedale chapter is attached to this report at Appendix C.
- 8.4 The document will be used by the Police and Crime Commissioner (PCC) to determine commissioning priorities from September 2014.
- 8.5 The PCC will commission organisations or agencies to deliver on the priorities. It is anticipated that this will include the North Yorkshire Community Safety Partnership and this will be the only form of financial assistance that Local Authorities will receive to deliver community safety services. (The grant assistance for Safer Ryedale will end in September 2014.)
- 8.6 It is important therefore, that Ryedale's priorities are included in the JSIA.
- 8.7 Safer Ryedale raised some comments about the content which, it was felt, did not quite reflect the emphasis that the group would wish to see. These are highlighted in Appendix C. At this stage, it has not been possible to include Safer Ryedale's comments into the JSIA.
- 8.8 Member views are sought.

Local Authority Crime and Disorder Committee

- 8.9 As part of the restructuring of community safety, an options paper has been developed to identify how crime and disorder will be scrutinised, once the North Yorkshire Community Safety Partnership has been formally adopted. This options paper is attached at annex D.
- 8.10 Member views are sought on the preferred option.

9.0 IMPLICATIONS

- 9.1 The report is for information only

10.0 NEXT STEPS

- 10.1 Feedback will be taken to the shadow North Yorkshire Community Safety Partnership meeting, scheduled for the end of February.

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Background Papers:

None.

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Safer Ryedale Priorities Action Plan - RISK MATRIX – ANNEX A

Issue/Risk	Consequences if allowed to happen	Likelihood	Impact	Mitigation	Mitigated Likelihood	Mitigated Impact
Full description of risk (not just one or two words like 'income down' or 'planning')	Detail the implications	See below (score before mitigation)	See below (score before mitigation)	What you are going to put in place to mitigate the risk if anything	See below (score after mitigation)	See below (score after mitigation)
That the Safer Ryedale Priorities Action Plan does not address the key issues for partners and for the community of Ryedale	Work might be carried out by all partners with scarce resources being expended for little or no result	4	C	All members of Priority Action groups to focus on setting SMART Actions and Targets	1	A
The Safer Ryedale Plan is not delivered	Crime and perception of crime will increase	4	C	Ensure quarterly performance monitoring to keep on track with delivery actions	2	A
Partners duplicate activity	Waste of resources	3	C	Task groups assign actions	1	A
The JSIA does not reflect local priorities.	The PCC will not commission relevant activity.	3	C	Ensure JSIA includes comments from Safer Ryedale	2	B

Score	Likelihood	Score	Impact
1	Very Low	A	Low
2	Not Likely	B	Minor
3	Likely	C	Medium
4	Very Likely	D	Major
5	Almost Certain	E	Disaster

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PARTNERSHIP PLAN 2011 – 2014 ACTION PLAN 2013 – 14

Performance Monitoring Report Quarter 3

January 2014

Domestic Abuse

Aim: To protect and support people experiencing domestic abuse in Scarborough, Whitby and Ryedale

Indicators: Repeat incidents reviewed at MARAC	Baselines & Target Reduction Reduce repeat incidents of domestic Violence reviewed at MARAC	Local Target set at 20%				
Objective 1: Reduce repeat MARAC's and encourage referrals						
Action	Who	When	30/06/13	30/09/13	31/12/13	31/03/14
MARAC Protocol	DV Co-ordinator	By end of July 2013	Amber	Amber	Amber	
To monitor attendance, target for repeats	All Agencies	Ongoing	Amber	Amber	Green	
More awareness raising to encourage agencies to make more referrals to MARAC. All agencies to raise awareness and request DV Co-ordinator to attend staff briefings etc to raise awareness of the MARAC process	All Agencies	From September	Amber	Amber	Amber	
To undertake and implement actions from CADDa self-assessment process	All agencies		Red	Amber	Amber	
<p>Comments:</p> <p>Qtr 1 – MARAC Protocol still needs to be signed off by a representative from the Mental Health Service. Ongoing work with agencies to raise awareness of the MARAC process. CADDa self-assessment process to take place in September.</p> <p>TecSOS - Training has been delivered to issuing officer (PVP and DVC) ends this week, this will go live in August. TecSOS will only be distributed to high risk victims going through MARAC, initially only 15 phones available for the pilot. The Units will be available to high risk victims of Domestic Abuse. The activation of the Unit will ensure that Police respond quickly to any calls from victims. If the victim is unable to speak Officers, it should be possible to pin point their position if in immediate danger. Three Ryedale PCSO/PC's are now trained in Domestic Violence Training and will make visits to the victim following medium risk violence.</p> <p>Qtr 2 – Repeat MARAC's are continuing to fall, from 31% (in 2010/11) down to 11.2% in Scarborough and from 26% (2010/11) down to 12.5% in Ryedale.</p> <p>Qtr 3 – Repeat MARAC's are currently 14.5% in Scarborough and 0% in Ryedale but continuing to fall. Briefing have been delivered to GP's, health visitors and social workers. Referrals from Ryedale have dropped, agencies working together to raise awareness within their respective areas. Team Leader for Community Midwives is currently arranging for domestic violence and MARAC awareness raising to be part of their team meetings.</p>						

Objective 2: Deliver awareness raising and education initiatives

Action	Who	When	30/06/13	30/09/13	31/12/13	31/03/14
Evaluate and feedback on the "Breaking Free, Staying Free" programme delivered to victims.	DAS	Ongoing	Amber	Amber	Amber	
Update local websites to advertise services available	All agencies	Ongoing	Amber	Amber	Green	
To continue to promote the delivery of Awareness Training to SPOCs in different agencies so that staff can go to them for advice and assist with signposting	DV coordinator/ All agencies	Ongoing	Amber	Amber	Green	
To promote and deliver the Freedom Programme. Facilitated by Women's Community Centre Worker & NYCC Domestic Abuse PSA	Sam McAuley/Vicki Rylands	Ongoing	Green	Green	Green	

Comments:

Qtr 1 – The third pilot has just finished running in Scarborough with good attendance and positive feedback from victims. There was 8 people attending the four week bite size programmes, including two male victims who were accepted to attend by the women of the group (this does not include the Whitby pilot). There is currently a waiting list for the next course to run which will start again shortly. These are people currently working with DAS, some in dispersed refuge accommodation. Four victims in Ryedale have subsequently gone on to set up a small support group.

SPOC's awareness training will run again later in the year, currently 12 more trained.

Qtr 2 – There are now 91 members of staff from various agencies that have been trained within the Scarborough and Ryedale area around safety planning and how to use it within their roles. 12 Champions have been trained with the Scarborough and Ryedale area and 11 staff have been trained as Single Point of Contact (SPOC's), so that they can advise on the agencies available to offer support for victims, perpetrators and children of domestic abuse. Numerous members of health profession have been trained, midwives, health visitors etc. In October training is to be delivered in Ryedale to members of the social care teams. Training also to be delivered to GP's and staff working in the Scarborough and Whitby areas. Training has been delivered to the Senior Management Team at Friarage School, (within the Castle Ward, Scarborough – as this has the highest rate of report DV incidents). Training is also to be delivered to staff and students within schools and colleges in the Whitby area. Child Sexual Exploitation Training has been delivered to Foster Carers in both Scarborough and Ryedale.

Posters have been developed for both male and female victims – distributed to all pubs and clubs within the town centre (requested by Pubwatch). Posters also developed for Elder abuse and financial abuse, all posters will be distributed to GP's, libraries, hospital, children centres and all places where members of the community congregate. The 'Living with Abuse' campaign within Scarborough General Hospital has increased disclosures and referrals from both members of the public and staff members.

Qtr 3 – The delivery of the third 'Breaking Free' programme has now finished, there is a waiting list for the next one that starts at the beginning of September. Victims

from Ryedale have now set up their own friend's network. DAS will provide a report on the programme shortly. The promotion and delivery of the Freedom Programme is now up and running.

Objective 3: Develop and maintain Multi-Agency Partnership Working

Action	Who	When	30/06/13	30/09/13	31/12/13	31/03/14
Increase representation to the existing DAF membership for the hard to reach groups including BME, travellers, gay, bi sexual and transgender groups.	Forum	Ongoing	Amber	Amber	Green	
Increase representation to the existing DAF membership for the services and agencies working with people with mental health issues Membership to be monitored	Forum	Ongoing	Amber	Amber	Green	
Opportunities to work with travellers	Forum	Ongoing	Amber	Amber	Green	
Explore possibility of linking in with Age Concern and MESMAC. Websites to advertise services available.	Forum	Ongoing	Amber	Amber	Green	

Comments:

Qtr 1 – Ongoing work to encourage attendance at meetings and increase representation. A link has now been obtained with a Polish person in the community.

Qtr 2 – Two Task and Finish Groups have been set up to target specific areas where DA is under reported and where the highest number of incidents are reported. Looking at prevention work within the local schools and raising awareness, using the media and local free advertisers that are delivered to all residents.

Qtr 3 – Horton Housing engaging with the travelling community on a weekly basis. Posters and leaflets have been distributed at Tara Park and also the monthly Traveller Drop In Sessions at Rainbow Lane, Malton. Age Concern have now allocated a Ryedale representative to attend the Steering Group. Ryedale PCSO & CPO have targeted the wolds area and villages on A64 (east) with leaflets, booklets, posters, etc in village halls, public houses, doctors surgeries etc. Posters have also been distributed to all residential and care homes in Ryedale.

Objective 4: Develop and maintain services for children and young persons

Action	Who	When	30/06/13	30/09/13	31/12/13	31/03/14
Support the continuation of the delivery and availability of the Specialist Children's Therapeutic Worker at Domestic Abuse Services to children and young people. Support the delivery of the Articulate Programme	Forum	Ongoing	Amber	Amber	Green	
Continue to delivery Domestic Abuse education packages into schools. Promote the Expect/Respect Toolkits within schools	Forum Trainers	Ongoing	Amber	Amber	Green	
Look at and expand support services to address the needs of young people who historically have experienced Domestic Abuse but now may become perpetrators.	Forum Trainers	Ongoing	Red	Amber	Amber	
Engagement with children's homes, residential schools, youth offending team, targeted youth, foster carers	Forum Trainers	Ongoing	Red	Amber	Amber	

Comments:

Qtr 1 – A weekly two hour free session is now running for children in Whitby at the Children's Centre. Funding is now secure for the delivery of services by the Specialist Therapeutic Worker. Funding has also been secured from the Castle Health Centre Fund for a part time one to one Therapy/Counselling post in a group setting, which enables more access to services for children, this post will be advertised shortly. More schools are engaged with the Articulate Programme which costs £75 per delegate for a two day intensive course.

Forum Trainers are continuing to deliver education packages ie Youth Shack, Brompton Hall, Woodlands, Hull Uni (Scarborough Campus), Cademan and Ryedale Social Care Team. Gill Armstrong is delivering a session in Whitby to look at possible consequences of domestic abuse with a group of 12-15 year olds. Education Social Workers to look at children who are coming through their systems and look at doing group work with those children around Domestic Abuse.

Qtr 2 - Attended Freshers Week at Scarborough Sixth Form, Yorkshire Coast College and Hull University – in partnership with Safer Communities, raising awareness of drinking safely, linking into drugs, healthy relationships and domestic abuse.

Qtr 3 – Two part-time therapeutic workers funded for 12 months are now employed cover the whole of North Yorkshire. The delivery of the Articulate Programme for children is continuing in schools where no staff members have been trained, this is through Di Wicks and the new NYCC Domestic Abuse PSA's.

PCSO's continuing to approach secondary schools in the Ryedale area with regard to delivering Domestic Abuse education packages. In addition, NYCC are trying to progress the Toolkits in schools through the PSHE lessons. The Respect project is being delivered by the Youth Justice Service.

Objective 5: Improve availability of appropriate accommodation for victims of abuse

Action	Who	When	30/06/13	30/09/13	31/12/13	31/03/14
Provision of Refuge accommodation As soon as a site and funding has been finalised a task and finish group will look at the design of the building etc.	Foundation/ DAS/RSLs	Ongoing	Red	Red	Red	
Comments: Qtr 1/2 – No update on the refuge, Scarborough, Ryedale and Whitby will continue to operate with the dispersed refuge housing. Qtr 3 – Currently continuing with the dispersed refuge housing but there have been problems recently in relation to ASB, Foundation are therefore working with Yorkshire Coast Homes to change which houses are utilised as refuge homes.						

Objective 6: Domestic Homicide Reviews

Action	Who	When	30/06/13	30/09/13	31/12/13	31/03/14
Provision of Toolkits to be used for any future DHR's. To develop and implement a local practice toolkit.	DV Co-ordinator	By January 2014	Red	Amber	Amber	
Comments: Qtr 1 – Ongoing work, we will know if the trial is going ahead on 12 August, for a trial start in September, the toolkit will be ready early next year. Qtr 2 – First one in North Yorkshire, currently being undertaken in Scarborough. Will provide a toolkit and training package that can be used in the future. Qtr 3 – The Domestic Homicide trial did go ahead and the perpetrator was found guilty, the final report will be available shortly.						

Objective 7: Sustainability of the Making Safe Scheme

Action	Who	When	30/06/13	30/09/13	31/12/13	31/03/14
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To promote & develop Making Safe services delivered by Foundation & DAS. To increase referrals from other agencies.	Forum	Ongoing	Amber	Amber	Amber	
Probation & Foundation ensure that the Better Lives Programme is utilised within support offered to Perpetrators	Forum	Ongoing	Red	Red	Amber	
Assist the University of Wales with preparation of the 3 year study	Forum	Ongoing	Red	Amber	Amber	
Comments:						
Qtr 1 – All agencies are currently working together to provide a presentation with information to send to other agencies which is easy to use and can be delivered at team meetings. The University of Wales are currently looking at DAS data to see if there is enough to carry out a three year study.						
Qtr 2 – Scheme still working well within the area. September will see the TecSOS pilot launching across Scarborough and Ryedale; will be issued to high risk victims only.						
Qtr 3 – The TecSOS scheme is now up and running. The University of Wales have now confirmed that they will undertake a study and meetings with stakeholders will start shortly.						

Page 49

Objective 8: Sexual Abuse/Violence Forum: Services for Adult Survivors						
Action	Who	When	30/06/13	30/09/13	31/12/13	31/03/14
Ensure effective service delivery of established services for victims and their families	Hope	Ongoing	Red	Red	Red	
Comments:						
Qtr 1/2/3 – A leaflet is to be produced to advertise and sign post services to all victims which will be circulated to all agencies by the end of November.						

Objective 9: Sexual Abuse/Violence Forum: Services for Children & Young People						
Action	Who	When	30/06/13	30/09/13	31/12/13	31/03/14
To promote non-violent interpersonal relationships with children and young people.	Hope	Ongoing	Red	Red	Red	
To increase the number of schools and colleges delivering education	Hope	Ongoing	Red	Red	Red	

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to children and young people. Safety net to raise awareness of services available.						
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Comments:
Qtr 1/2/3 – County Healthy Relationship Task Group looking at training strategy for schools. Respect Courses aimed at 13-16 year olds to commence in schools shortly.

Objective 10: Sexual Abuse/Violence Forum: Work with perpetrators of sexual abuse

Action	Who	When	30/06/13	30/09/13	31/12/13	31/03/14
Provide programmes for perpetrators of sexual abuse and violence	Ann-Marie Ripley (Probation)	Ongoing	Red	Red	Amber	
Provide services that are offence focused for perpetrators:- 1. Post supervision 2. Not convicted 3. Under age of 18 4. Female offenders	Ann-Marie Ripley (Probation)	Ongoing	Red	Red	Red	

Comments:
Qtr 1/2/3 – Contact has been made with Lucy Nicholson, New Circles Co-ordinator, she will be attending the next Forum meeting.

Objective 11: Sexual Abuse/Violence Forum: Increase awareness and training on issues surrounding sexual abuse/violence with agencies, organisations and the general public

Action	Who	When	30/06/13	30/09/13	31/12/13	31/03/14
Share information about what training and resources are available	HOPE/DAS	Ongoing	Red	Red	Red	

Comments:
Qtr 1/2/3 – Drink Safe, Stay Safe initiative promoted and posters displayed in local pubs. Training is being developed for people working with clients aged under 21. IDAS have developed on-line training in relation to rape awareness. HOPE and DAS are also developing a training package for social services staff.

Safer Roads

Aim: To minimise the levels of Killed and Seriously Injured on the roads of Ryedale.

Indicators:						
Action	Who	When	30/06/13	30/09/13	31/12/13	31/03/14
1. Motorcycle Campaign	Safer Roads Steering Group	Ongoing	Green	Green	Green	
Comments:- Qtr 1 – NYCC Road Safety Officer and NYF&R Community Safety Officer carried out a motorcycle awareness campaign in Helmsley on 8 th July. Qtr 2 – Attendance at Olivers Mount Races 21 st July and 15 th September. Helmsley Market Place 8 th July 2014. Qtr 3 – Information delivered at all events including Winter Road Safety and Drink Drive Event						
2. Older Drivers (Cars) Campaign	Safer Roads Steering Group	Ongoing	Green	Green	Green	
Comments:- Qtr 1/2/3 – Ongoing road safety education, including training and publicity.						
3. Young Road User Campaign	Safer Roads Steering Group	Ongoing	Green	Green	Green	
Comments:- Qtr 1 – Road Safety Primary School Intervention carried out at Leavening, Amotherby and Pickering Infant School. Community Education event carried out at Burythorpe Qtr 2 – North Yorkshire Moors apprentice training session – 8 th July. Festival of Youth – Brooklyn Centre, Norton Qtr 3 – 23 October - Drive Alive, Lady Lumley's School, 13/14 November – Derwent Training (Cancelled at last minute), 16 December – Ryedale District Council Apprentices Qtr 4 – 14 February – Drive Alive Whitby Community College						
4. Deployment of Data Loggers and Matrix Signs	Safer Roads Steering Group	Ongoing	Green	Green	Green	
Comments:- Qtr 1 - Aislaby and Pickering Qtr 2 – Old Malton Qtr 3 – Amotherby Village, Amotherby Lane, Sand Hutton, Claxton, Middleton Road, Normanby, Great Barugh, Scarborough Road, Norton, Hambleton Road, Norton, A169 Whitby Road, Pickering, Keld Head, Pickering, Gillamoor Road, Kirkbymoorside, Allerston, North Grimston, Low Moorgate, Rillington.						

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Draft Safer Ryedale Partnership Plan Action Plan 2013-14 Performance Monitoring

Quarter 3

January 2014

<p>5. Seat Belt Monitoring</p> <p>The events focussing on seatbelts and mobile phone use will continue within Scarborough and Ryedale (Priority areas identified through the data loggers and also around schools), the Safer Neighbourhood Teams will continue to support where possible. Twelve events per year to be programmed in.</p>	<p>Safer Roads Steering Group</p>	<p>Ongoing</p>	<p>Green</p>	<p>Green</p>	<p>Green</p>	
<p>Comments:- Qtr 1 – Seatbelt survey carried out at Thornton le Dale and child car seat checking along with community engagement event at the Ropery Car Park, Pickering. Qtr 2 – 30 August McCains and Seamer Road, 12 September Whitby, 25 September A171 Sainsbury's Qtr 3 – 3 October A169 near Sleights School, 15 October Helmsley Primary School</p>						
<p>6. Occupational Road Risk/Users</p>	<p>Safer Roads Steering Group</p>	<p>On going</p>	<p>Green</p>	<p>Green</p>	<p>Green</p>	
<p>Comments:- Qtr 1 – No update Qtr 2 – Andrew delivered occupational road risk training to RAF Fylingdales employees and site contractors – 23rd July, 8th July NYMNP staff. Qtr 3 – 18th November meeting with McCains, 8th November letters and leaflet drops on the three industrial estates from recent seatbelt suveys on A171</p>						
<p>7. Laser Speed Enforcement, Speed camera van & Fixed Penalty Notices</p>	<p>NYP</p>	<p>On going</p>	<p>Green</p>	<p>Green</p>	<p>Green</p>	
<p>Comments:- Qtr 1 – Fixed Penalty Notices Speeding 40, Use of Motor Vehicle without Test Certificate 37, Contravening double white line 7, Drive a vehicle when the registration mark fails to comply with the regulations 7, Driver of vehicle fail to wear seat belt 10, Exceeding maximum authorised vehicle weight 1, No waiting 11, Limited Waiting 1, No Insurance 6, Tyre defects 2, Use of handheld mobile phone whilst driving 9, Contravening double white line system 7, Use a motor vehicle/trailer the number of passenger / manner carried likely to cause danger 1, Use handheld mobile phone while driving m/vehicle on road 9, Use on a road a vehicle/trailer – danger of injury due to weight/position/distribution/security 1, Front seat passenger fail to wear seat belt in motor vehicle 2, Lamps/reflector rear markings not maintained 1, Cycle no specified obligatory lamps 1, Use vehicle with inefficient exhaust system/altered system 1 Qtr 2 – 215 Fixed Penalty Notices received Qtr 3 – XX Fixed Penalty Notices received</p>						
<p>8. Community Engagement</p>	<p>Safer Roads Steering Group</p>	<p>On going</p>	<p>Green</p>	<p>Green</p>	<p>Green</p>	

NOT PROTECTIVELY MARKED

Qtr 1 – 21st April Olivers Mount Bike Racing, Crucial Crew workshops 3-14th June
 24 June – Child Safety Week – child car seat checking events
 Road Safety Day – 6th May aimed at secondary schools theme pedestrian safety
Qtr 2 – 20 – 21 July & 14 – 15 September Olivers Mount Bike Racing
 14 July – Malton Show Fire Crew & Safer Ryedale
 30 July – Ryedale Show Fire Crew & Safer Ryedale
 7 August – Thornton le Dale Show Fire Crew & Safer Ryedale
 25 July – RAF Flyingdales Family Fun Day
Qtr 3 – 22 Oct Drive Alive Lady Lumley's School Sixth Form Students
 27th November – Norton Children's Centre
 9th December – Winter Driver Awareness Helmsley Town Centre
 12th December – Driver Awareness Sheriff Hutton Village Hall
 16th December – Driver Apprentice Training Ryedale District Council
 18th December – Drink Drive Campaign Ian Brown Tryes, York Road, Malton
 19th December – Drink Drive Campaign Pickering Fire Station
 21st December – Winter Driver Awareness, Malton Market Town
 22nd December – Scooter Speed Awareness, Malton

NOT PROTECTIVELY MARKED

Draft Safer Ryedale Partnership Plan Action Plan 2013-14 Performance Monitoring

Quarter 3

January 2014

Aim: To minimise the effects of crime and anti-social behaviour within our communities in Ryedale to ensure people are safe and feel safe

Indicators:	Baselines & Targets	30/06/13	30/09/13	31/12/13	31/03/14	
Shop Theft	b/l (10/11) 76 target 3% per year to 31/03/14					
Theft from unattended vehicles	b/l (10/11) 126 target 3% per year to 31/03/14					
Violence	b/l (10/11) 401 target 3% per year to 31/03/14					
Hate Crime	b/l (10/11) 3 target 3% per year to 31/03/14					
Burglary of a Non Dwelling	b/l (10/11) 152 target 3% per year to 31/03/14					
Burglary Dwelling	b/l (10/11) 60 target 3% per year to 31/03/14					
Objective 1: To reduce all crime by 10% 2011-2014						
Action	Who	When	30/06/13	30/09/13	31/12/13	31/03/14
Deliver Seasonal Crime Plans relevant to Ryedale and associated initiatives eg No Cold Calling Zones.	Steering Group	Ongoing	Green	Green		
Promote Watch Schemes including joint patrols with Country Watch Members to detect, deter and disrupt poaching and travelling criminality such as burglary other, plant and diesel theft.	Steering Group	Ongoing	Green	Green		
To increase the use of the Restorative Justice Scheme as an alternative method of disposal in dealing with offenders	Steering Group	Ongoing	Green	Green		
Respond to community concerns around criminality relating to the seasonal influx of travellers into the district.	Steering Group	Ongoing	Green	Green		
Tackle issues of travelling criminality eg burglary other in Ryedale by working in partnership with Trading Standards and other agencies to deter and disrupt offenders	Steering Group	Ongoing	Green	Green		
Support the new Ryedale Taxi-watch scheme which will provide additional eyes and ears within the community and assist in keeping people safe.	Steering Group	Ongoing	Green	Green		
Effective Ryedale CCTV service in Malton, Norton and Pickering.	Steering Group	Ongoing	Green	Green		
Comments:						
Qtr 1 – Community Payback work in Ryedale April - Malton War Memorial Hall - Painting and decorating, Police station - General Gardening (Sweeping , raking leaves),						

NOT PROTECTIVELY MARKED

RDC - Weeding and litter picking(Ebberston and Thornton le dale), Cauwood day care centre (Malton). May - Malton War Memorial Hall - Painting and decorating, RDC - Kirbymoorside - Weeding, Cauwood day care centre (Malton). June - Cauwood day care centre (Malton), Thornton le dale (sweeping , weeding, litter picking , painting play equipment), Malton - leaflet drop bus time tables.

There was 1 No Cold Calling Zone for the 1st Qtr – Sherriff Hutton, total number for Ryedale 57.

CCTV - Inspector Everitt is working closely with the current steering group to look at our CCTV system in Ryedale and looking to big changes in the future. Possibilities include linking with other initiatives and including private businesses in the scheme. The scheme aims to be cost neutral to ensure sustainability in the future. Community Resolution Disposal Orders currently running at 7.7%.

Sheduction is being launched 22nd July and running until 19th August, a new leaflet has been produced and will be distributed by the Community Payback team at appropriate locations following a press release and photo.

Nip A Dipper – PC Jones, Gail and Sandi attended an awareness raising event at Morrison's supermarket, where they handed out new Nip A Dipper leaflets, purse bells and crime reduction information.

Seamer Horse Fair – Ongoing engagement with the travelling community. A strict plan was put in place with 24 hour patrol, supported by RSPCA and the Crime and Disorder Officer. Travellers received black refuse sacks, and a pack including information on rules and expectations in Ryedale, locations of doctors surgeries and local hospitals. NYP monitored all types of crime levels and lists of vehicles registration numbers. The Community Payback team cleared the site prior and after the road side temporary site dispersed.

Follow up education visits made by PCSO's to vulnerable residents. Joint Patrols – Paul Fenwick

Qtr 2 - Community Payback Team - Steve arranged for a team to delivery anti social behaviour letters to a large number of households around the Peasey Hill area of Malton and is also working closely with John Brown of RDC Street Scene regarding litter picking sites.

Target hardening work ongoing with regarding to travelling criminality ie transit vans and flat bed vans looking for items to coming back and steal at night. NYP know who most of these criminals are, once they are arrested the activity does stop.

Currently standing at a 10% reduction on last year, detection rate 31%, 8% are alternative disposals ie mediation, in general victims of crime are welcoming this to give them piece of mind and have the opportunity to explain how it made them feel.

Sheduction - leaflets have been distributed and PCSO's have been giving one to one engagement and hands on advice in appropriate locations around Ryedale.

Street Angels - PC Jones is working with MESMAC to local volunteers to initiate the Street Angels project. The groups first training session will be held on Saturday 7 September. Dee suggested that the group have basic training on the TYS referral pathway and offered to assist with this.

CCTV - Inspector Everitt and the CCTV Working Party are looking at new and innovative ways of improving the system. Two emerging options will be presented to

District Council Members before Christmas

Pickering Traction Engine Rally – not one reported crime was attributable to the showground. The use of the same security company has greatly improved this event. Travellers who parked on the sites cleared all their debris and no issues were reported.

Qtr 3 - Ryedale Cameras in Action have hooded the three Pickering cameras after Pickering Town Council's withdrawal of financial support for CCTV and the lack of alternative funds being sourced to meet Pickering Town Council's previous commitment to the scheme. Ryedale Cameras In Action highlighting this as an issue and canvassing public opinion. Helmsley and Kirkbymoorside Town Councils are also interested.

A spate of burglary dwellings in Norton (14) was the main reason for a rise in crime in October. The offender was caught and has received 18 months imprisonment following an early guilty plea; he admitted that he has a £150 per day crack cocaine habit. A team of burglars from South Yorkshire were also arrested in Norton following excellent vigilance from the community and they have also been charged with burglary.

Theft of items from isolated premises, farms and villages in Northern Ryedale has increased over Quarter 3. This mainly relates to metal, tools and farm machinery including trailers. Burglary dwelling has increased slightly following a spate of break-ins in the Hovingham area over the Christmas period however Burglary other has reduced slightly. The overall figure for burglary has remained the same as last year. Travelling criminals from outside of Ryedale are being investigated for all these matters. Smartwater is being marketed to our farming communities as a preventative tool and the number of watch schemes is increasing within Northern Ryedale.

It is a known fact that seasonal weather has an impact on the amount of crime committed and this winter we are experiencing relatively mild weather. The overall crime trend for Ryedale is following last years however it is reducing at a slower rate than 2012/13.

2012/13 was a record low for Ryedale in terms of the amount of crime committed and at the end of quarter 3 in 2012/13 we are 20 offences up against PYTD which equates to a 2% rise. Positive Disposals in Ryedale are currently at 31% which is the same as previous year to date.

Anti-social incidents are down by 34 incidents compared to this time last year.

We are doing a huge amount of work at this time through Operation Hawk in targeting those people whom we believe are travelling into Ryedale to commit these crimes on use and have had some excellent arrests and seizure of vehicles. Staxton NHW immediately reported a suspicious vehicle collecting scrap metal, within 30 minutes NYP had stopped the vehicle, recovered stolen items, arrested two persons and seized the vehicle they were using in order to commit crime.

We are continuing to regularly check our local scrap metal dealers on a regular basis although we know that items stolen in Ryedale will often end up at scrap metal dealers across the region.

A rejuvenated Malton & Norton Pubwatch scheme is having a positive effect in particular. Street Angels in Malton & Norton have started and were out in the town centre over the festive period. When we have sufficient numbers Street Angels will work in Pickering.

We have been continuing to ensure a regular presence at our beauty spot car parks that are traditionally targeted and also communicating directly with those owners who leave their vehicles either insecure or have valuable items on display.

NOT PROTECTIVELY MARKED

Community Payback Work

Malton – Cauwood, laying paving, painting planter, weeding, painting benches. Malton – litter picking site, footpath edging, sweeping leaves, Ashfield care home – edging paths, weeding and raking leaves. Eberston – clearing some stone and fixing parts of a dry stone wall. Rillington – footpath edging, Thornton le Clay – edging footpaths, Pickering – working in the cemetery fixing some of the curbs around the graves.

Objective 2: To address quality of life issues in Ryedale to include dog mess, littering, anti social use of vehicles, parking in our town centres and to improve perceptions of anti-social behaviour and reduce fear of crime by responding to public priorities highlighted in the latest community consultation survey

Action	Who	When	30/06/13	30/09/13	31/12/13	31/03/14
Dog Warden and local Police Officers to promote responsible dog ownership and to educate the community	Steering Group	Ongoing	Green	Green		
To tackle the anti social use of vehicles in Wentworth Street Car Park through education, enforcement and engineering. To work in partnership with RDC Street Scene to design out this activity within Wentworth Street car park.	Steering Group	Ongoing	Green	Green		
To continuously review and respond to the community concerns around anti social behaviour	Steering Group	Ongoing	Green	Green		
Establish street angels to work with young people gathering in public places to drink and commit acts of anti social behaviour. Promote the safety of people when out in the night time economy on Friday and Saturday nights and encourage responsible behaviour.	Steering Group	Ongoing	Green	Green		
Positive engagement and initiatives within our primary and secondary schools by Beat Managers, PCSO's and partner agencies	Steering Group	Ongoing	Green	Green		
Making full use of all media including social media to reduce crime and fear of crime	Steering Group	Ongoing	Green	Green		

Comments:

Qtr 1 - Wentworth Street Car Park - The concrete blocks are now in place and the new gate has been installed. The new engineering will be monitored for effectiveness and any displacement issues will be dealt with swiftly.

Parking Enforcement Officers are now deployed to Ryedale Market towns and are having a significant impact through education and enforcement of parking regulations. Some feedback from elected members that parking enforcement may deter visitors to our towns.

Vulnerable MAPs process being fully supported by Safer Ryedale.

Caught Doing Right Cards - High visibility patrols by North Yorkshire Police and Ryedale District Council Enforcement Officers are being carried out, responsible owners are rewarded with 'Caught Doing Right' cards that are then used to enter a free prize draw. This proactive work shows communities our persistence to solving the dog fouling complaints in Ryedale and will continue proactive work which will help raise awareness of this increasing problem.

A new community trigger system is being launched, for example a three complaints within the last three months used in conjunction with the VRA system.

Street Angels – PC Jones has issued a press release to encourage additional volunteers to come forward. Posters have been distributed to churches, libraries, doctors surgery and large employers in the Malton area.

Ryedale secondary schools are being contacted by local beat managers to ascertain a gap within the curriculum where Police can attend and talk through the effects of alcohol and its link to crime.

Qtr 2 - The Ryefest event was a huge success with 360 attendees from all over Ryedale. Some negative feedback has been received with regard to the graffiti workshops but overall a well attended unique event.

A large amount of work is ongoing with Beat Managers, PCSO's, Crime & Disorder Officer and partners at Bridge House and YMCA with excellent feedback from officers and residents. This work will be extended to the new apprentice jockey premises, once complete.

The skate park user group held a 24 hour skate, the event went extremely well with no incidents. The group will continue to meet weekly and work on their key fund bid with PC Coning, there is some real hard to reach individuals engaging with this project and responding well to support by NYP and Youth Support Officers.

Safer Ryedale has awarded the second 'Caught Doing Right' prize to a Ryedale resident. John Padley entered a free prize draw for a dog hamper after he was witnessed picking up after his dog by a North Yorkshire Police Officer. The prize was donated by Nose2Tail and Norton Pet Shop.

Qtr 3 – Communications calendar and literature in the process of being planned for the full year including seasonal activities. Safer Ryedale are continuing the 'Caught Doing Right' scheme and have awarded and publicised their third prize to a Ryedale resident for picking up after her dog.

Reports of anti social use of vehicles in Wentworth Street car park and Malton town centre has reduced massively following the arrival of temporary concrete blocks and gating. Driver behaviour in relation to parking in the town centres has improved markedly since the introduction of parking enforcement officers.

PCSO Education in Community/Schools

Stranger danger at the Rainbow pre school unit, a week of Malton Secondary School visits to Malton Police Station including talks on CCTV, tazer, dog handler and role of PCSO. Visits and talks to the Cauwood Centre and The Croft for those with learning disabilities, on personal safety, road safety and stranger danger.

'Who are our Friends' talk and road safety to children at Sherburn CP and West Luton schools. PCSO been into West Heselton School regarding issues. Talks on staying staying safe and firework safety at Ryedale, Gillamoor, Helmsley, Nawton, Kirkbymoorside, Rosedale schools, Pickering Infants, Pickering Juniors and St

Joseph's School. Road Safety talks in Norton Children's Centre, Norton Primary School and Norton College.

Objective 3: To target young offenders, vulnerable people and those at risk of offending through support, education and diversionary activities to reduce incidents of offending.

Action	Who	When	30/06/13	30/09/13	31/12/13	31/03/14
Maintain baseline data of young offenders and others on ASBO, ABC AND MAPS groups	Sandi Clark	Ongoing	Green	Green		
Work with the Police to assess complaints of anti-social behaviour, address through problem solving and deliver interventions	Sandi Clark	Ongoing	Green	Green		
Ensure continuance of the MAPS Groups by close liaison with four secondary schools, attendance at all meetings and roll out to larger Primary Schools	Sandi Clark	Ongoing	Green	Green		
Develop initiatives with the staff and residents of Bridge House and YMCA to influence behaviour around alcohol and drug use and to reduce offending	Steering Group	Ongoing	Green	Green		
Deliver more Community Resolution Disposals where appropriate in Ryedale to keep young offenders away from the courts and to deliver meaningful resolutions for both the victim and offender.	Steering Group	Ongoing	Green	Green		

Comments:

Qtr 1 - We have no young offenders on ASBO or ABC at the moment. Several young people in a street gang being targeted regarding their ASB in the Peasey Hills area, through a widely distributed generic letter to householders/ parents. Regular inter-partnership meetings between CDO and beat managers, to keep abreast of day to day complaints. Those complainants considered to be vulnerable have a VRA completed, the score from which determines the intervention. Community Trigger now in place for repeat victims. School MAPS going well. Malton Senior and Primary are well engaged. Lady Lumleys has not been as engaged since PCSO Jo Abbott left, but we are hopeful for re-engagement when the new PCSO settles in. Norton primary is now in the group. Norton College still maintains that there is little time to set up the regular meetings. Ryedale School is ready to start after the summer break.

Qtr 2 - Involvement in resolution of current neighbourhood complaints and community issues/disputes as at 31.08.13 in:

Pickering – 2, Malton – 5, Norton – 3, Helmsley –1 – this case was referred to MAPS. We are arranging a restorative conference for all five residents of Vicarage Farm, Thornton le Clay – 2, Crambeck – 1, Weaverthorpe – 1, Spaunton – 1, Rillington - 1

Anti Social Behaviour

Anti Social Behaviour Orders: as at 31.08.13 - 5 Current Acceptable Behaviour Contracts: as at 31.08.13 - 2 Current Acceptable Behaviour Conferences: as at 31.08.13 – 2 Restorative Justice Conferences – as at 31.08.13 - 2

ASBO reviews

Two yearly ASBO reviews are currently being undertaken for all our five ASBOs. I am working with NYCC solicitors and Traveller support workers on the four ASBOs appertaining to our roadside family.

MAPS – North Yorkshire

The MAPS group embraces the adoption of a partnership approach by harnessing the resources and energies of partner agencies to provide long-term sustainable solutions to vulnerable communities described as repeat victims, including older persons, repeat callers to agencies, individuals/families involved in Anti Social Behaviour and identified hot spot locations and community tensions which are having a detrimental effect on the quality of life for the residents and services in the District of Ryedale.

MAPS will also consider any concerns about individuals within the Prevent agenda and refer to appropriate agencies using the existing Channel process

We have referred FIVE cases to this panel, THREE of which have now been closed. Two more awkward problems to solve are continuing to be monitored.

School Multi-agency Problem Solving (MAPS) groups in Ryedale - NEW TERMS DATES TO BE CONFIRMED IN THE FOLLOWING SCHOOLS

Malton School, Norton College, Lady Lumleys School, Malton Primary, Norton Primary, Ryedale School, Average number of pupils discussed per school - 12

DYO (Deter Young Offenders) Panel – Youth Justice Service/Probation – Scarborough

The aim of the DYO scheme is to ensure that the local CJS supports the wider partnership efforts to reduce the re-offending of this high risk group of young offenders. The DYO scheme is characterised by a risk based approach and early local identification of offenders followed by intensive intervention to reduce re-offending.

Ryedale have no young persons who are of extra concern on the DYO panel currently.

Engineering to prohibit vehicle related anti social behaviour under way. Concrete bollards in place. Recycling bins have been moved in to the newly segregated area. Gate to be locked at 1800hrs. Small section of car park only will be available, therefore making racing, doing doughnuts, whizzing in one entrance and flying through to exit at the other side, a little less possible. (not impossible regarding the noise though as I feel that they may be noisy as a protest). There is a real possibility that the persons who drive irresponsibly in Wentworth Street Car Park, may disperse to another area and replicate their behaviour there.

Eastgate Car Park, Pickering

All working fine. No reports of any incidents. There are issues around alcohol fuelled asb at the bottom of Smiddy Hill, on the 'pocket park' around the memorial. Issues and public concerns being addressed. We have formulated and handed out a Community Crime perception survey to the most affected residents in the area and await the return of the sheets before we put together a problem solving plan.

Wentworth Street Car Park – Increase in vehicle related nuisance.

Engineering to prohibit vehicle related anti social behaviour under way. Concrete bollards in place. Recycling bins have been moved in to the newly segregated area. Gate to be locked at 1800hrs. Small section of car park only will be available, therefore making racing, doing doughnuts, whizzing in one entrance and flying through to exit at the other side, a little less possible. (not impossible regarding the noise though as I feel that they may be noisy as a protest). There is a real possibility that the persons who drive irresponsibly in Wentworth Street Car Park, may disperse to another area and replicate their behaviour there.

Roadside Traveller Family

Family currently at Pottery Lane, Sutton on Forest. This is a breach of the ASBO. . They have had recent stays at Barton Cross roads, and Moor Lane Flaxton. Head of family arrested and given conditional release when attempting to stay at Gennel Lane, Flaxton ahead of time.

Three of the family have been banned from keeping or handling horses for ten years, one has been banned for life. Head of family was due to come to court in May but the trial was adjourned. The date for this is now October. So, he can handle and care for the horses at the moment but if he is also banned, the horses will be removed all together from their care by RSPCA. This week another foal has been born, adding to the number and as a foal and its mother cannot be tethered, that means that the two will be allowed to roam free. The RSPCA continue to monitor the condition of the remaining horses. 32 horses have been seized from this family in the last 18 months.

General Traveller Issues

Preamble: Historically, there has been a fair at Seamer, for many hundreds of years, but only since the 20th century has it involved horses. Previously horses were shown and traded at the Great Malton Horse Fair, and of course Appleby.

The travellers that arrived early for the fair, could not get on to the official show site until the day before the show, so camped up wherever they could find a space near to Seamer, but those areas being few and far between, strung out along the A64 as far as Flaxton and York. The route to the fair and the stopping places have been used for many years, but the advent of the larger caravan towed by larger vehicles, have made the use of narrow verges untenable, and so more and more families opt to stay at the broader verge on a make shift campsite at the A64 turn off for Yedingham, alongside West Knapton village, to await the Crossgates field opening. Horse Fair 2013. This year's Horse Fair site was in the same field as last year i.e. off the B1261 between Seamer and Crossgates. The site was opened on Thursday 11 July. The site was vacated by 6pm on Monday 15 July.

A meeting to discuss issues and concerns raised by the communities affected by the above, was convened and held in May, to allow community members to air their views and to see if we could find solutions to make the situation this year, less fraught, and with a view to forming a longer term plan to address the problems

experienced by the most affected villages. With this in mind, regular contact was maintained between the gypsy and traveller community in transit through our area. Inspector Everitt, PC Nick Coning and myself, visited the 40 plus families that were encamped on the verge side. We distributed sacks for litter, and information packs which included Seamer site regulations and timetable of opening and closing times, RSPCA information, and an NYCC information sheet. We also made sure that they knew where the police station and Malton hospital is situated.

One lady who was heavily pregnant, had needed some medical intervention and had found the hospital easily from our directions. She said that she had been treated in a friendly, courteous and professional way and was highly impressed by the Ryedale people that she had dealt with so far. On the down side, we did have some fly tipping in the woods just alongside the A64 near West Knapton. Litter clear up was coordinated and undertaken by York & NY Probation Trust 'Community Payback Team' under the supervision of Steve Trotter.

Gateway – Horton Housing – Gypsy Traveller Support

The dates for the Rainbow Centre drop in are set until the end of next year. Good take up so far. Community Safety, Police, Housing Options all attending. Good attendance from traveller community this month as there have been some worrying issues regarding a lack of respect on the part of several residents of Hawthorne Ave. RJ or mediation between the main parties to be arranged by PC Jane Jones and myself. In an attempt to address a lack of respect and understanding on all sides of Malton community, especially school children, we are currently putting together an education package for Gypsy, Traveller and Showpeople who did not access education at the usual age. This is a joint effort by RDC, NYCC adult education service, Travellers Trust, and Horton Housing. We are targeting the families that are settled in Malton and pupils from the Malton schools, initially.

Qtr 3 Involvement in resolution of current neighbourhood complaints and community issues/disputes in Oct, Nov, Dec 13.

Pickering – 2, Malton – 5, Norton – 3, Helmsley – 1 – this case was referred to MAPS. Weaverthorpe – 1 Spaunton – 1 Ebberston – 1

Anti Social Behaviour

Anti Social Behaviour Orders: as at 30.11.13- **5 Current**.

Acceptable Behaviour Contracts: as at 30.11.13 - **2 Current**

Acceptable Behaviour Conferences: as at 30.11.13 – 2

Restorative Justice Conferences – as at 30.11.13 – 1

ASBO reviews

Two yearly ASBO reviews are currently being undertaken for all our five ASBOs. I am working with NYCC solicitors and Traveller support workers on the four ASBOs appertaining to our roadside family.

MAPS – North Yorkshire

The MAPS group embraces the adoption of a partnership approach by harnessing the resources and energies of partner agencies to provide long-term sustainable solutions to vulnerable communities described as repeat victims, including older persons, repeat callers to agencies, individuals/families involved in Anti Social Behaviour and identified hot spot locations and community tensions which are having a detrimental effect on the quality of life for the residents and services in the District of Ryedale. MAPS will also consider any concerns about individuals within the Prevent agenda and refer to appropriate agencies using the existing Channel process

We have referred **Four** cases on the list of this panel, and all our five ASBOs are being listed for review here. This meeting is currently held in Scarborough, but we are

hoping to bring it to Malton.

Developing Stronger Families project, which requires many of the same professionals to be round the table. At the start of this project, we were asked to provide a list of families that fit the criteria, which is:

- Are involved in crime and anti social behaviour
- Have a child or children not in education
- Have an adult on out of work benefit
- Cause high cost to the public purse

We now have 30 families in this project. Each family is discussed and their need addressed by whichever agency or worker can be most effective in bringing about changes for the better for the families.

School Multi-agency Problem Solving (MAPS) groups in Ryedale

Malton School – last meeting 3rd December – 12 pupils discussed

Norton College – dates being considered

Lady Lumleys School - dates being considered

Malton Primary – last meeting 26th November – 10 pupils discussed

Norton Primary – next meeting 14th January

Ryedale School – take up being considered

DYO (Deter Young Offenders) Panel – Youth Justice Service/Probation – Scarborough

The aim of the DYO scheme is to ensure that the local CJS supports the wider partnership efforts to reduce the re-offending of this high risk group of young offenders. The DYO scheme is characterised by a risk based approach and early local identification of offenders followed by intensive intervention to reduce re-offending.

Ryedale have no young persons who are of extra concern on the DYO panel currently.

Eastgate Car Park, Pickering

All working fine. No reports of any incidents.

Wentworth Street Car Park – Increase in vehicle related nuisance.

Engineering to prohibit vehicle related anti social behaviour is now in place. Recycling bins have been moved in to the newly segregated area. Gate is locked at 1800hrs alongside the other three barriers. Small section of car park is available after 6, therefore making racing, doing doughnuts, whizzing in one entrance and flying through to exit at the other side, a little less possible.

Roadside Traveller Family

Family currently at MoorLane, Flaxton which is a breach of their ASBO. NYP dealing. Three of the family have been banned from keeping or handling horses for ten

years, one has been banned for life The RSPCA continue to monitor the condition of the remaining horses. 32 horses have been seized from this family in the last 18 months.

General Traveller Issues

Probation have one traveller male on curfew and tagged. Residing at the wardens caravan on the Tara Park site. The new pitches are finished and open and that brings us to 20 pitches on the site. This site is well run by RDC and well managed by Maudie Foster, who is a member of the travelling community herself.

We have, in conjunction with Adult learning services, attempted to provide a learning opportunity for Gypsy Traveller women, starting with free soft learning classes in making jewellery, jazzing up their own items, hair clips etc., at which children were welcome. All materials were supplied free of charge. Despite personal invitations and a leaflet drop on two occasions, we had no take up. The classes have been withdrawn as the Adult Learning Service cannot continue to finance a course where there has been no interest shown.

Gypsy Traveller Support – Drop In

The dates for the Rainbow Centre drop in are set until the end of next year. Good take up so far.

Norton/ Malton and Pickering Pubwatch Schemes.

The Malton/Norton scheme has gone from strength to strength. The members are more relaxed with each other and have formed some good relationships amongst themselves, with several good practices evolving, i.e. ring rounds when trouble is occurring, banning etc. The landlord of The Globe is the current Chair. Gail continues to support with admin and management of the banned from one banned from all list, and PC Jane Jones keeps everyone up to speed law wise, and with posters and print outs to update the licensee packs. Pickering scheme is running well and Sgt Mukerjee is overseeing any changes.

Restorative Justice Conferences

In an attempt to restore a feeling of community in a small complex of five houses in Helmsley, we held a conference between the five families in the old Town Hall. The meeting went generally well and it remains to be seen, whether or not it had the desired effect.

Ryedale Womens Group

We now have regular Womens Group meeting at Rainbow Lane Community Centre, primarily for women who have either been on the edge of crime or are on probation. The remit of this group, which is open to anyone and is a spin off from the Scarborough Womens Project, is to encourage women to form new friendships, and form a network to help each other to regain self confidence. Gaining on the way, new skills in anything from cookery to jewellery making, all within a friendly, inviting, relaxed atmosphere.

Alcohol Harm Reduction

Aim: To reduce the negative impact that alcohol consumption has on both people and communities in Ryedale.

NOT PROTECTIVELY MARKED

Draft Safer Ryedale Partnership Plan Action Plan 2013-14 Performance Monitoring

Quarter 3

January 2014

Indicators:	Baselines & Targets	30/06/13	30/09/13	31/12/13	31/03/14	
Assaults	9/10 b/l 400 reduce 3.5% per yr					
Criminal Damage	9/10b/l					
Public Disorder	9/10b/l 397 reduce by 3% per yr					
Objective 1: To continue to raise awareness of the consequences to an individual's health and community welfare.						
Action	Who	When	30/06/13	30/09/13	31/12/13	31/03/14
Review the Alcohol Harm Reduction Strategy and align with the County Strategy (All)	Steering Group	Ongoing	Green	Green	Green	
Continue proactive operations with Trading Standards	Steering Group	Ongoing	Green	Green	Green	
Link in with the 2013/17 Crime Plan and Health & Wellbeing Priorities with particular focus on alcohol/drug abuse and links to housing and homelessness	Steering Group	Ongoing	Green	Green	Green	
Explore opportunities to raise local awareness by linking up with key agencies	Steering Group	Ongoing	Green	Green	Green	
Comments:						
<p>Qtr 1 – Trading Standards continuing to check refusals registers in all licensed premises. The Partnership have requested Trading Standards to carry out a further mini ARC campaign in the autumn.</p> <p>Sgt Richard Hammond has carried out visits to licensed premises in Malton, Kirkbymoorside and Pickering, introducing himself, explaining his role on the Alcohol Harm Reduction Steering Group and explaining current legislation.</p> <p>Qtr 2 - A large amount of work ongoing with AA, for example, drop in talks at Bridge House and YMCA with excellent feedback from officers and residents. This work will be extended to the new apprentice jockey premises, once complete. Trading Standards continuing to attend Steering Group Meetings and Pubwatch meetings where they continue to give advice and assistance where necessary.</p> <p>Qtr 3 - NYP are continuing to go out on patrol Friday and Saturday evenings, monitoring pubs and getting to know what is going on. The Yard has recently had issues with people taking their drinks out onto the street and no door staff in place, this has now been rectified by working with the new Manager, tables and chairs are also now removed at 10am. We have recently a breach of license at Speedy Peppers due to staying open after time, not enough staff. Richard has a meeting with the owner, made him aware and if he did not rectify this issue further action would be taken. To date the issue has been dealt with and no further issues have arisen.</p> <p>NYP Licensing officer PC Jackie Allen is visiting all the pubs in Pickering and she works very closely with Ryedale officers in following up any concerns with licensed premises in Ryedale.</p>						

NOT PROTECTIVELY MARKED

Objective 2: To reduce the number of repeat offenders linked to alcohol related offences.						
Action	Who	When	30/06/13	30/09/13	31/12/13	31/03/14
Maintain links to the Ryedale Women's Hub in order to assess its impact on re-offending	Steering Group	Ongoing	Amber	Green	Green	
To increase the amount of Alternative Disposals with regard to alcohol related offences in Ryedale	Steering Group	Ongoing	Green	Green	Green	
To engage with other key organisations such as AA to assist in supporting repeat offenders	Steering Group	Ongoing	Green	Green	Green	
To continue the referral of offenders and victims through the existing VRA process	Steering Group	Ongoing	Green	Green	Green	
Establish a link with A&E Link Worker (11-19 year olds)	Steering Group	Ongoing	Green	Green	Green	
<p>Comments:-</p> <p>Qtr 1 – A meeting has now been set on 2 August with Elaine Hewitt and Rebecca Millhouse, the Through the Gate Worker and CPO to start implementing the Ryedale Women's Hub. Martin is attending Bridge House and YMCA with PC Jones on a regular basis, also liaising with all four secondary schools. CPO had a meeting with the A&E Link Worker who will report quarterly on the numbers from Ryedale that have received brief intervention.</p> <p>Alternative Disposal Orders are being utilised ie Fixed Penalty Notices to be used for disorder incidences, as an alternative to a court prosecution and more victim led.</p> <p>Qtr 2 - Ryedale Women's Hub - A steering group has now been set up, a venue has been agreed and 50% of the funding for this been agreed. The one stop shop drop in will take place every other Tuesday morning 10am-12noon at the Church Room, Church Hill, Malton and will be attended by all relevant agencies including the Women's Resettlement Worker.</p> <p>Continued work regarding the referral of offenders to through the VRA process. Currently the relevant officers have to travel to Scarborough for the monthly joint MAPS meeting but it has been agreed that this will shortly be held in the Ryedale area also through the Developing Stronger Families agenda.</p> <p>SNT officers regularly patrol places where young people gather to drink. The Youth Support Service are currently recruiting additional staff, once in place they will extend their outreach work to Friday evenings and look at wider areas.</p> <p>The A&E Link Worker Saffron Mason is now attending our Steering Group meetings and has regular liaison with Safer Ryedale. Alternative Disposals are currently at 8%. AA posters are now displayed on the back of all public toilet doors in Ryedale by RDC Street Scene staff.</p>						

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Draft Safer Ryedale Partnership Plan Action Plan 2013-14 Performance Monitoring

Quarter 3

January 2014

Qtr 3 - Three sessions, 10am-12noon held at Rainbow Lane Community Centre have worked well. All the women were service users of the Cambridge Centre.

Week 1 - Alcohol Awareness - 6 women attended
 Week 2 - Jewellery Making - 3 women attended
 Week 3 - Xmas Table Decs - 3 women attended

The venue worked well but would benefit from a room divider for one to one sessions. Professionals attended from Cambridge Centre, Horton Housing and Probation. The women that have attended have identified the following as topics they would like to see covered:- Education ie basic literacy and numeracy, CV writing, information on courses and training, self protection and keeping safe, overdose response, healthy eating, feeding a family on a budget, first aid training and food hygiene.

Good links have been made with the A&E Link Worker and the information exchange is working well.

Alternative Disposals

The Ryedale SNT have been making referrals more actively. Recently there was an drink related incident where 3 schools kids vandalised a car, there was enough evidence to charge all three but we all sat round a table with the victim who was a teacher, the kids were in tears and very sorry for their actions. Richard confirmed that this personally felt very good, all alternative disposals are recorded but that they are not charged and disposed of. The victim wanted this also which meant this had a big impact on everyone. Richard has since contacted the victim, who has bumped into the young people recently and found them friendly towards them.

Page 67

Objective 3: To reduce the level of alcohol related crime and disorder offences						
Action	Who	When	30/06/13	30/09/13	31/12/13	31/03/14
Regularly visit those places where young people gather to drink. Youth Support Service and NYP to share information and deliver actions.	Steering Group	Ongoing	Green	Green	Green	
Continue the media programme for Ryedale, promoting links to national campaigns/initiatives and obtain merchandise from Drink Aware	Steering Group	Ongoing	Green	Green	Green	
Target those licensed premises where a disproportionate number of public order and violence incidents are being reported. Actions to be taken through Licensing Officers. Consider Licensing Reviews and closure orders.	Steering Group	Ongoing	Green	Green	Green	
Targeted outreach work in Pickering/Malton/Norton with referrals to the Youth Support Service (TYS)	Steering Group	Ongoing	Green	Green	Green	
Police and Trading Standards to monitor all licensed premises and	Steering	Ongoing	Green	Green	Green	

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Draft Safer Ryedale Partnership Plan Action Plan 2013-14 Performance Monitoring

Quarter 3

January 2014

take action where it is suspected that alcohol is being sold to minors or breach in license conditions	Group					
Promote alcohol awareness in Ryedale secondary schools through presentations. Sixth forms to be targeted.	Steering Group	Ongoing	Green	Green	Green	
Offer an incentive of a shorter ban to people barred from licensed premises through Pubwatch ie self referral to CC/Compas. All pubwatch letters to include a pack.	Steering Group	Ongoing	Green	Green	Green	
Support the new Pubwatch Scheme in Malton/Norton. Carry out early intervention in licensed premises through police patrols, CCTV, apex radios and increased communication with door staff. Support a 'banned from one banned from all' approach. Licensees, door staff and partner agencies to take collective responsibility in promoting a safe night time economy by working more closely together.	Steering Group	Ongoing	Green	Green	Green	
Deliver initiatives to residents in Bridge House and YMCA around drug and alcohol abuse and crime prevention.	Steering Group	Ongoing	Green	Green	Green	
Increase the effectiveness of Ryedale CCTV in preventing and detecting incidents of crime, violence and disorder in the night time economy.	Steering Group	Ongoing	Green	Green	Green	
Taxi Watch to provide additional monitoring of actual/potential incidents of alcohol related crime in the night time economy and to identify potential victims or offenders and those whose safety is otherwise compromised through their own state of intoxication.	Steering Group	Ongoing	Green	Green	Green	
Introduction of Street Angels to promote safety of persons in the night time economy on Saturday nights, encourage responsible behaviour and assist those who may be in need of help. To encourage people to make the right choices around their own sexual health and the importance of properly informed consent that may otherwise be impaired through intoxicification or intimidation.	Steering Group	Ongoing	Amber	Green	Green	

Comments:

Qtr 1 – Night time economy violence has reduced this year by 18%

Malton/Norton Pubwatch - These meeting have been running for almost a year and have gone from strength to strength. The members are more relaxed with each other and have formed some good relationships amongst themselves, with several good practices evolving, i.e. ring rounds when trouble is occurring, banning etc. The landlord of The Globe has agreed to be the new Chair. Gail continues to support with admin and management of the banned from one banned from all list, and PC Jane

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Jones keeps everyone up to speed law wise and with posters and print outs to update their packs.

Matt France and Debbie Cooper (NYP licensing officers) continue to work proactively on all licensing issues in Ryedale with speedy intervention work when problems occur at licensed premises.

Martin is attending Bridge House and YMCA with PC Jones on a monthly basis, talking to residents about his past experiences, the work Martin carries out has received a good response and lots of interaction with residents.

Street Angels – PC Jones has issued a press release to encourage additional volunteers to come forward. Posters have been distributed to churches, libraries, doctors surgery and large employers in the Malton area.

Taxi Watch is now up and running and working well under close supervision of Sgt Vicky Lowery. Ongoing work by NYP and Trading Standards with regarding to checking refusals registers in all licensed premises.

CCTV – Inspector Everitt is working with the current steering group to look at our CCTV system and make big changes in the future. Possibilities include, linking with other initiatives and including private businesses in the scheme. The scheme aims to be cost neutral to be sustainable in the future.

PC Nick Coning is continuing to use the YMCA as an office, which ensures close collaborative working. Ryedale Police have conducted numerous drink drive checks throughout June using static and mobile officers, also utilising static ANPR devices and intelligence gathered, the Road Policing Group have assisted with this.

Beat Managers and PCSO's continue to visit places where young people consume alcohol, referrals made including contact with parents. AA and Compass leaflets have been distributed to The Maltings, Cornmill, Bridge House, YMCA and Malton Secondary School.

Officers on footbeat have been deployed in Malton, Kirkbymoorside and Pickering specifically to coincide with the pub closing times. Group 4 Security and the prison bus are being utilised on Friday and Saturday evenings, taking prisoners to custody in Scarborough.

Ryedale Police attended Crucial Crew in June, all secondary schools in Ryedale attended this event, this included presentations on the dangers of teenagers drinking alcohol. Ongoing information sharing and collaborative working between Youth Support Officers and Police.

Ryedale Police Officers are tasked with checking that licensed premises are complying with their license including door staff on a Friday and Saturday, several licensed premises in Ryedale are currently being monitored and will be subject to further checks.

PC Nick Coning is working with a group of young people from the Malton/Norton skatepark every Wednesday evening, the group will continue to meet at Brooklyn into winter months as the skatepark is not utilised as much during winter, NYCC Youth Support staff will continue to organise events off site during the winter and provide multi agency engagement with the young people.

Qtr 2 - The skate park user group have recently held a 24 hour skate, the event went extremely well with no incidents. The group will continue to meet weekly and work on their key fund bid with PC Coning, there is some real hard to reach individuals engaging with this project and responding well to support by NYP and Youth Support

Officers.

The Ryefest in July was an excellent success with 360 attendees from all over Ryedale, the event was unique in that it catered for all tastes and abilities from creative to sport driven. All key areas of the event were well attended by young people and the taster sessions were an excellent way of introducing young people to experiences in each area.

NYP Officers are on foot patrol Friday and Saturday evenings targeting certain licensed premises in the market towns.

Street Angels - PC Jones is working with MESMAC to local volunteers to initiate the Street Angels project. The groups first training session will be held on Saturday 7 September.

Wentworth Street Car Park - We have received no further anti social behaviour complaints since the new barriers and gate have been in place and the noise levels are been severely reduced. NYP are not experiencing any displacement from the engineering at the moment but they will continue to monitor. The Fitzwilliam Estate have confirmed that they are more than happy with this temporary solution to a historical problem.

Malton/Norton Pubwatch is an excellent success with regular well attended meetings supported by Safer Ryedale. Licensees share information, give out warning letters and where necessary place individuals on the banned list.

Police officers have now distributed AA leaflets to every resident in the Cornmill and Maltings. Officers now hand out AA cards at incidents they attend where alcohol has been a factor. CPO is continuing to distribute AA posters/cards, Compass posters/cards to all suitable organisations and public places in Ryedale including schools, job centres, area offices, libraries, children's centres, doctors, RVA, Bridge House, YMCA, Brooklyn, Atmosphere etc.

Qtr 3 – Malton/Norton Pubwatch is continuing to work well with excellent meeting attendance by licensees, further work is required around the supermarkets to ensure they send a representative.

Incidents of violence in the night time economy remains the same as 2012/13.

Work has begun on the Ryepod on Tuesday evenings and as yet has not been reviewed. Detached work on a Friday evening did not report much activity and certainly nothing of concern.

Futsal is being run on a Friday evening at Malton Sports Centre with up to 50 young people attending, which is keeping a large number actively engaged and reducing risk at a hot spot time. This is term time only, additional sports funding is currently being sought to expand this successful project in January, but at the moment there is full commitment to continue.

The NEET project is starting in February for 6 months with access to accredited training, residential, personal and social support for young people age 16-19 not in education, employment or training. We will be in contact with Foundation Housing and YMCA with regard to access for any of the young people they are working with.

Brooklyn has been opening on a Friday evening, however, not many young people are turning up but it seems to be attracting the skate group who are doing really well

at the moment, with help and support from PC Nick Coning, who attends the Thursday evening session.

Ryedale Street Angels

The project is now working well on a Saturday evening in Malton and Norton. Volunteers have been trained and are receiving excellent community support. It is hoped that the numbers of volunteers will increase in the new year and will expand to cover Pickering. It may be possible to utilise the function room at Sudaby's in Wheelgate, Malton for the briefing.

Alternative Disposals

The Ryedale SNT have been making referrals more actively. Recently there was an drink related incident where 3 schools kids vandalised a car, there was enough evidence to charge all three but we all sat round a table with the victim who was a teacher, the kids were in tears and very sorry for their actions. Richard confirmed that this personally felt very good, all alternative disposals are recorded but that they are not charged and disposed of. The victim wanted this also which meant this had a big impact on everyone. Richard has since contacted the victim, who has bumped into the young people recently and found them friendly towards them.

Community Development

Aim: To encourage community participation to inform Safer Ryedale's work.

Objective 1: To develop opportunities to involve the community in raising concerns, setting priorities and to receive information on Safer Ryedale's Work

Action	Who	When	30/06/13	30/09/13	31/12/13	31/03/14
Gather community concerns and feedback via website questionnaire ie street survey	Community Partnerships Officer	Ongoing	Green	Green		
Monitor numbers of Neighbourhood Watch Co-ordinators	Community Partnerships Officer	Ongoing	Green	Green		
Update the Safer Ryedale website	Community Partnerships Officer	Ongoing	Green	Green		
Attend Community and Police meetings, local and Regional/NY NHW meetings	Community Partnerships Officer	Ongoing	Green	Green		

Comments:

Qtr 1 – Ongoing updates on the Safer Ryedale website and continuation of attendance and reporting at the Community & Police meetings, NY NHW Association Meetings and servicing the Yorkshire & Humber Regional NHW when in held in the Yorkshire region. The annual Safer Ryedale survey is booked for week commencing 16th September in all four market towns of Ryedale. Safer Ryedale will also consult with hard to reach groups, young and elderly residents. Work ongoing with relevant agencies involved regarding updating the questionnaire. No of NHW Co-ordinators 334, Business Watch 12, Gold Watch 12, Horse Watch 58, Post Office Watch 11, Pubwatch 86, Rural Watch 414, School Watch 26, Shop Watch 97 and Taxi Watch 4.

Qtr 2 - 23 July – Attended Regional NHW meeting at RDC. NHW Co-ordinators assisted with the Community Consultation Week. No of NHW Co-ordinators 334, Business Watch 16, Gold Watch 12, Horse Watch 58, Post Office Watch 11, Pubwatch 87, Rural Watch 417, School Watch 26, Shop Watch 96 and Taxi Watch 4.

Qtr 3 – Organised the well attended NHW AGM – 19 November at Pickering Memorial Hall where the Chief Constable attended as guest speaker. Attended NHW talk on 28 October at Sand Hutton Village Hall and delivered directories and Nominated Neighbour Scheme. Attended NY NHW meeting at Athena House. No of NHW Co-ordinators 340, Business Watch 20, Gold Watch 11, Horse Watch 66, Post Office Watch 11, Pubwatch 87, Rural Watch 417, School Watch 27, Shop Watch 98 and Taxi Watch 5. Terry Wallis has set up a new Normandy Village NHW Scheme with 70 members.

Local Authority Crime and Disorder Committees - Options

1 Background

- 1.1 Section 19 of the Police and Justice Act 2006 requires every local authority to have a crime and disorder committee with the power to review or scrutinise decisions made, or other action taken, in connection with the discharge by the responsible authorities of their crime and disorder functions.
- 1.2 The responsible authorities are the police service; local authorities; fire and rescue authority; probation service; and clinical commissioning groups.
- 1.3 The Police and Crime Commissioner is not a responsible authority; the power to scrutinise the Commissioner is vested in the Police and Crime Panel (a joint committee of the nine local authorities).
- 1.4 As with other local authority functions, this duty could be undertaken by a joint committee on behalf of a number of local authorities.
- 1.5 To date, to avoid duplication of effort, there has been an informal agreement that the district council crime and disorder committees will focus on community safety issues within their district, and the county council crime and disorder committee will focus on county-wide agreements and partnerships.

2 Changing circumstances

- 2.1 In the interests of efficiency and economy, it is proposed that the six district based Community Safety Partnerships (CSPs) are merged into a single CSP for North Yorkshire (to be known as the North Yorkshire Community Safety Partnership). This will require a formal request for a merger to be made to the Police and Crime Commissioner by the responsible authorities. Until the proposed merger has been approved, the North Yorkshire CSP will function on a shadow basis.
- 2.2 A Local Delivery Team will be created for each of the districts within North Yorkshire, comprising operational managers, tasked with ensuring the delivery of the North Yorkshire Community Safety Partnership Plan in the district.

3 Options for local authority crime and disorder committees

- 3.1 Decisions about the future arrangements of local authority crime and disorder committees are the responsibility of the local authorities, but the proposed changes in community safety structures offer an opportunity for local authorities to review the arrangements of local authority crime and disorder committees.
- 3.2 All options need to consider how local arrangements for local authority crime and disorder committees are currently set up and how they may be impacted. The options include:

- 3.3 Option One: To continue the informal agreement that the district council crime and disorder committees will focus on community safety issues within their district, particularly the impact of the Local Delivery Team, and the county council crime and disorder committee will focus on the activity and impact of the North Yorkshire Community Safety Partnership. This would require a similar level of resources from local authorities to the current arrangements.
- 3.4 Option Two: The eight local authorities to create a joint crime and disorder committee. This would require a lower level of resources from local authorities than the current arrangements, particularly if the chairing and administrative support of the committee rotated among the eight councils.
- 3.5 Option Three: The district council crime and disorder committees to focus on community safety issues within their district, particularly the impact of the Local Delivery Team, and for the eight local authorities to create a joint crime and disorder committee to focus on the activity and impact of the North Yorkshire Community Safety Partnership. This would require a higher level of resources from local authorities than the current arrangements, although the increase could be limited if the chairing and administrative support of the joint committee rotated among the eight councils.
- 3.6 Option Four: Maintain status quo.



REPORT TO: OVERVIEW AND SCRUTINY COMMITTEE

DATE: 20 FEBRUARY 2014

REPORT OF THE: BUSINESS SUPPORT MANAGER
ANGELA JONES

TITLE OF REPORT: CUSTOMER COMPLAINTS RECEIVED QUARTER 3
(2013/14)

WARDS AFFECTED: ALL

EXECUTIVE SUMMARY

1.0 PURPOSE OF REPORT

1.1 To inform Members of the number and type of complaints received under the Council's complaint procedure for the period October – December 2013.

2.0 RECOMMENDATION

2.1 It is recommended that members accept the report as attached.

3.0 REASON FOR RECOMMENDATION

3.1 This report includes complaints monitored under individual service complaints systems (Annex 1).

3.2 The report also includes a summary of customer feedback to Community Leisure Ltd (CLL) for the period October – December 2013 together with the action taken where appropriate (Annex 2).

4.0 REPORT DETAILS

4.1 The annexes of the report show the number of complaints received and the actions which have been taken.

Angela Jones
Business Support Manager

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Background Papers:
RDC Complaints Procedure

Background Papers are available for inspection at:
http://www.ryedale.gov.uk/council_and_democracy/corporate_complaints.aspx

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Complaints Q3 2013-14

Generated on: 06 February 2014

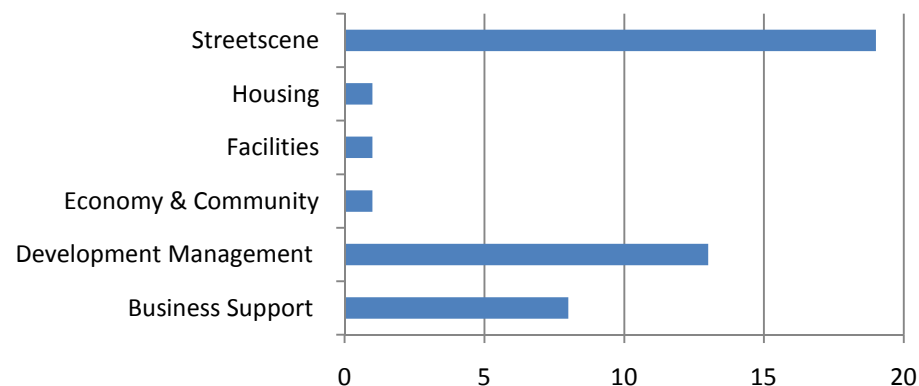


Complaint Type Description



- Bias or unfair discrimination = 1
- Complaints regarding conduct, attitude and actions of employees = 3
- Delays in responding or complaints about the administrative process = 7
- Dissatisfaction with the way Council policies are carried out = 18
- Failure to achieve standards of service = 9
- Failure to fulfil statutory responsibilities = 2
- Failure to provide a service = 3

Complaints by Service Unit Q3 2013-14



Page 77

Service Unit	Summary of Complaint	Complaint Type	Remedy	Ward	Opened Date	Closed Date	Total
Business Support	Ticket Sales at Pickering TIC	Failure to achieve standards of service	Written apology and offer of compensation as gesture of goodwill	Cropton	10-Oct-2013	21-Oct-2013	
Business Support	Name and addresses displayed on planning portal	Dissatisfaction with the way Council policies are carried out	Phone call to customer to explain process	Dales	22-Oct-2013	22-Oct-2013	
Business Support	Delay in processing Council Tax Support	Failure to achieve standards of service	Letter of explanation and apology	Thornton Dale	24-Oct-2013	24-Oct-2013	
Business Support	Attitude of member of staff	Complaints regarding conduct, attitude and actions of employees	Investigation and letter of explanation and apology	Kirkbymoorside	13-Nov-2013	21-Nov-2013	
Business Support	Failed Council Tax Direct Debit	Delays in responding or complaints about the administrative process	Letter of explanation	Ryedale South West	09-Dec-2013	12-Dec-2013	
Business Support	Behaviour of Council Tax staff	Complaints regarding conduct, attitude and actions of employees	Letter of apology	Sinnington	09-Dec-2013	16-Dec-2013	
Business Support	Council Tax Direct debit	Delays in responding or complaints about the administrative process	Letter of apology	Amotherby	30-Dec-2013	31-Dec-2013	
Business Support	Council Tax Direct debit	Delays in responding or complaints about the administrative process	Letter of apology	Amotherby	30-Dec-2013	07-Jan-2014	8

Service Unit	Summary of Complaint	Complaint Type	Remedy	Ward	Opened Date	Closed Date	Total
Development Management	Dis satisfaction with Planning committee proceedings & decision	Dissatisfaction with the way Council policies are carried out	Letter of apology and explanation	Kirkbymoorside	01-Oct-2013	18-Oct-2013	
Development Management	Dis satisfaction with Planning committee proceedings & decision	Dissatisfaction with the way Council policies are carried out	Letter of apology and explanation	Kirkbymoorside	02-Oct-2013	18-Oct-2013	
Development Management	Dis satisfaction with Planning committee proceedings & decision	Dissatisfaction with the way Council policies are carried out	Letter of apology and explanation	Kirkbymoorside	02-Oct-2013	18-Oct-2013	
Development Management	Dis satisfaction with Planning committee proceedings & decision	Dissatisfaction with the way Council policies are carried out	Letter of apology and explanation	Kirkbymoorside	04-Oct-2013	18-Oct-2013	
Development Management	In correct Planning information and lack of communication	Failure to provide a service	Letter of explanation	Amotherby	07-Oct-2013	09-Oct-2013	
Development Management	Dis satisfaction with Planning committee proceedings & decision	Dissatisfaction with the way Council policies are carried out	Letter of apology and explanation	Kirkbymoorside	14-Oct-2013	18-Oct-2013	
Development Management	Lack of action to halt development	Delays in responding or complaints about the administrative process	Letter to customer advising of process	Ryedale South West	21-Oct-2013	21-Oct-2013	
Development Management	Planning process	Failure to fulfil statutory responsibilities	Letter of explanation	Kirkbymoorside	03-Dec-2013	12-Dec-2013	
Development Management	Lack of publicity of departure from the local plan	Failure to fulfil statutory responsibilities	Letter of explanation	Wolds	26-Nov-2013	28-Nov-2013	9
Democratic Services	On Line Canvass only available in one other language	Bias or unfair discrimination	Letter of explanation	Unknown	10-Oct-2013	10-Oct-2013	
Democratic Services	Electoral registration reminder	Delays in responding or complaints about the administrative process	Letter of explanation	Hovingham	11-Nov-2013	11-Nov-2013	
Democratic Services	Electoral registration reminder	Delays in responding or complaints about the administrative process	Letter of explanation	Cropton	11-Nov-2013	11-Nov-2013	
Democratic Services	Electoral Registration reminder	Delays in responding or complaints about the administrative process	Letter of explanation	Unknown	04-Dec-2013	04-Dec-2013	4
Economy & Community	Loss of Community facilities at Community House	Failure to achieve standards of service	Letter of explanation and apology	Malton	09-Oct-2013	25-Oct-2013	1
Facilities	Lack of response to correspondence regarding rent review	Failure to achieve standards of service	Letter to customer outlining process regarding rent review	Kirkbymoorside	23-Oct-2013	29-Oct-2013	1
Housing	White Rose Home Improvement Agency service	Failure to provide a service	Investigation, work and letter of apology	Ampleforth	18-Nov-2013	06-Feb-2014	1

Service Unit	Summary of Complaint	Complaint Type	Remedy	Ward	Opened Date	Closed Date	Total
Streetscene	Behaviour of Streetscene Operative	Complaints regarding conduct, attitude and actions of employees	Phone call to customer and conversation with staff member	Pickering East	08-Oct-2013	11-Oct-2013	
Streetscene	Location of bins after collection	Failure to achieve standards of service	Phone call of apology	Norton East	18-Oct-2013	22-Oct-2013	
Streetscene	Charging for disabled parking	Dissatisfaction with the way Council policies are carried out	Letter of explanation	N/A	24-Oct-2013	07-Nov-2013	
Streetscene	Clearing of dead leaves	Failure to achieve standards of service	Letter of apology and explanation	Malton	25-Oct-2013	25-Oct-2013	
Streetscene	Charging for Garden waste	Dissatisfaction with the way Council policies are carried out	Letter of explanation	Sheriff Hutton	04-Nov-2013	08-Nov-2013	
Streetscene	Re cycling not collected	Failure to provide a service	Phone call of apology and explanation to customer	Norton West	04-Nov-2013	07-Nov-2013	
Streetscene	Charging for garden waste	Dissatisfaction with the way Council policies are carried out	Letter of explanation	Rillington	14-Nov-2013	14-Nov-2013	
Streetscene	Charging for Garden waste	Dissatisfaction with the way Council policies are carried out	letter of explanation	Unknown	19-Nov-2013	20-Nov-2013	
Streetscene	Recycling in wrong boxes not collected	Dissatisfaction with the way Council policies are carried out	Letter of explanation	Ryedale South West	21-Nov-2013	25-Nov-2013	
Streetscene	Charging for garden waste	Dissatisfaction with the way Council policies are carried out	Letter of explanation	Norton West	25-Nov-2013	26-Nov-2013	
Streetscene	Late issue of tags on bins	Failure to achieve standards of service	Letter of explanation and apology	Kirkbymoorside	25-Nov-2013	27-Feb-2014	
Streetscene	Charging for Garden Waste	Dissatisfaction with the way Council policies are carried out	Letter of explanation	Hovingham	26-Nov-2013	27-Nov-2013	
Streetscene	Late issue of tags on bins	Failure to achieve standards of service	Letter of explanation and apology	Kirkbymoorside	25-Nov-2013	27-Nov-2013	
Streetscene	Charging for Garden Waste	Dissatisfaction with the way Council policies are carried out	Letter of explanation	Ryedale South West	27-Nov-2013	27-Nov-2013	
Streetscene	Location of bins after collection	Failure to achieve standards of service	Letter of apology	Malton	28-Nov-2013	28-Nov-2013	
Streetscene	Lack of winter Garden Waste collection	Dissatisfaction with the way Council policies are carried out	Letter of explanation	Pickering East	02-Dec-2013	05-Dec-2013	
Streetscene	Accessibility of Garden Waste information	Dissatisfaction with the way Council policies are carried out	Letter of explanation	Pickering East	04-Dec-2013	06-Dec-2013	
Streetscene	Charging for Garden Waste	Dissatisfaction with the way Council policies are carried out	letter of explanation	Ryedale South West	10-Dec-2013	10-Dec-2013	
Streetscene	Charging for Garden Waste	Dissatisfaction with the way Council policies are carried out	Letter of explanation	Thornton Dale	16-Dec-2013	17-Dec-2013	19
Total Complaints							43

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Agenda Item 10

DERWENT POOL – CUSTOMER FEEDBACK

OCTOBER TO DECEMBER 2013	very good	good	fair	poor	very poor
Efficiency of the staff	0	0	0	0	0
Helpfulness of the staff	0	0	0	0	0
Courtesy of the staff	0	0	0	0	0
General cleanliness	0	0	0	1	0
Condition of the facilities	0	0	0	0	0
Safety and security	0	0	0	0	0
Pool water temperature	0	0	1	0	0
Air temperature	0	0	1	0	0
Value for money	0	0	0	0	0
Overall experience	0	0	0	1	0
	0	0	0	2	0

October	No comments received	
November	Really poor choice of music for a Sunday afternoon, the member of staff who chose it when there were 6 middle aged and upwards in there needs speaking to. Also the ladies changing room is in a right state - very poor.	We have spoken to the staff regarding the choice of music. We are aware of the condition of the changing facilities and hopefully they will be refurbished shortly
December	No comments received	

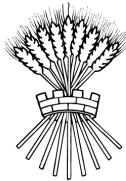
RYEDALE POOL – CUSTOMER COMMENTS FEEDBACK

OCTOBER TO DECEMBER 2013	very good	good	fair	poor	very poor
Efficiency of the staff	0	1	0	0	0
Helpfulness of the staff	0	1	0	0	0
Courtesy of the staff	0	1	0	0	0
General cleanliness	0	1	0	0	0
Condition of the facilities	0	1	0	0	0
Safety and security	0	1	0	0	0
Pool water temperature	0	1	0	0	0
Air temperature	0	0	1	0	0
Value for money	0	1	0	0	0
Overall experience	0	1	0	0	0
	0	9	1	0	0

October	I have recently started swimming regularly again and could go to either Scarborough or Pickering pools. I wanted to tell you why I choose Pickering. Your staff are helpful, kind, friendly and intelligent (they have just returned my driving licence by sleuthing – Thank you). The pool is clean, warm, well maintained and managed. It is a joy to go to. I moan about bad service and complain. I'd like to think I praise too so please pass on my thanks and congratulations- the staff make swimming a pleasure	Comments and thanks have been passed on to staff
November	I always find the water cold when I first get in and it would better if the water was slightly warmer	Water temp is around 29, though this can feel cooler if the poolside air temp is higher.
December	No Comments received	

OVERVIEW AND SCRUTINY ITEM, FOR CONSIDERATION PRIOR TO FULL COUNCIL

**RYEDALE
DISTRICT
COUNCIL**



REPORT TO:	COUNCIL
DATE:	25 FEBRUARY 2014
REPORT OF THE:	CORPORATE DIRECTOR (s151) PAUL CRESSWELL
TITLE OF REPORT:	TREASURY MANAGEMENT STRATEGY STATEMENT AND ANNUAL INVESTMENT STRATEGY 2014/15
WARDS AFFECTED:	ALL

EXECUTIVE SUMMARY

1.0 PURPOSE OF REPORT

- 1.1 To consider the Treasury Management and Annual Investment Strategies, the Minimum Revenue Provision Policy and set the Prudential Indicators for 2014/15.

2.0 RECOMMENDATIONS

- 2.1 That Council is recommended to approve:
- (i) Members receive this report;
 - (ii) The Treasury Management and Investment Strategies be noted and approved by the Council;
 - (iii) The Minimum Revenue Provision Policy Statement be approved by the Council and;
 - (iii) That the Prudential Indicators in the report be approved by the Council.

3.0 REASON FOR RECOMMENDATIONS

- 3.1 The Chartered Institute of Public Finance & Accountancy (CIPFA) Code of Practice on Treasury Management in Local Authorities (The Code) was adopted by the Council.
- 3.2 The Local Government Act 2003 and supporting regulations requires the Council to have regard to specified codes of practice, namely the CIPFA publications *Prudential Code for Capital Finance in Local Authorities* and *Treasury Management in the Public Services; Code of Practice and Cross Sectoral Guidance Notes*.

4.0 SIGNIFICANT RISKS

- 4.1 There are significant risks when investing public funds especially with unknown institutions. However, by the adoption of the CIPFA Code and a prudent investment policy, these are minimised. The employment of Treasury Advisors also helps reduce the risk.

5.0 POLICY CONTEXT AND CONSULTATION

- 5.1 The Council has adopted the CIPFA Code of Practice on Treasury Management in Local Authorities and this report complies with the requirements under this code and the relevant requirements of the Local Government Act 2003.
- 5.2 The Council use the services of Capita Asset Services to provide treasury management information and advice.

REPORT

6.0 REPORT DETAILS

- 6.1 The Council is required to operate a balanced budget, which broadly means that cash raised during the year will meet cash expenditure. Part of the treasury management operation is to ensure that this cash flow is adequately planned, with cash being available when it is needed. Surplus monies are invested in low risk counterparties or instruments commensurate with the Council's low risk appetite, providing adequate liquidity initially before considering investment return.
- 6.2 The second main function of the treasury management service is the funding of the Council's capital plans. These capital plans provide a guide to the borrowing need of the Council, essentially the longer term cash flow planning to ensure that the Council can meet its capital spending obligations. This management of longer term cash may involve arranging long or short term loans, or using longer term cash flow surpluses. On occasion any debt previously drawn may be restructured to meet Council risk or cost objectives.
- 6.3 CIPFA defines treasury management as: "The management of the local authority's investments and cash flows, its banking, money market and capital market transactions; the effective control of the risks associated with those activities; and the pursuit of optimum performance consistent with those risks."

Reporting Requirements

- 6.4 The Council is required to receive and approve, as a minimum, three main reports each year, which incorporate a variety of policies, estimates and actuals. These reports are required to be adequately scrutinised by committee before being recommended to the Council. This role will be undertaken by the Audit Committee.

Prudential and Treasury Indicators and Treasury Strategy (this report) – The first and most important report covers:

- The capital plans (including prudential indicators);
- A Minimum Revenue Provision Policy (how residual capital expenditure is charged to revenue over time);
- The Treasury Management Strategy (how the investments and borrowings are to be organised) including treasury indicators; and
- An investment strategy (the parameters on how investments are to be managed).

A Mid Year Treasury Management Report. This will update members with the progress of the capital position, amending prudential indicators as necessary, and whether the treasury strategy or whether any policies require revision.

An Annual Treasury Report. This provides details of a selection of actual prudential treasury indicators and actual treasury operations compared to the estimates within the strategy.

Treasury Management Strategy for 2014/15

6.5 The strategy for 2014/15 covers two main areas

Capital Issues

- The capital plans and prudential indicators
- The MRP strategy

Treasury Management Issues

- The current treasury position;
- Treasury indicators which will limit the treasury risk and activities of the Council;
- Prospects for interest rates;
- The borrowing strategy;
- Policy on borrowing in advance of need;
- The investment strategy; and
- Creditworthiness policy.

These elements cover the requirements of the Local Government Act 2003, the CIPFA Prudential Code, the CLG MRP Guidance, the CIPFA Treasury Management Code and the CLG Investment Guidance.

THE CAPITAL PRUDENTIAL INDICATORS 2014/15 – 2016/17

6.6 The Council’s capital expenditure plans are the key driver of treasury management activity. The output of the capital expenditure plans are reflected in prudential indicators, which are designed to assist members overview and confirm capital expenditure plans.

6.7 **Capital Expenditure.** This prudential indicator is a summary of the Council’s capital expenditure plans, both those agreed previously, and those forming part of this budget cycle. **Members are asked to approve the capital expenditure forecasts:**

Capital Expenditure	2012/13 Actual £m	2013/14 Estimate £m	2014/15 Estimate £m	2015/16 Estimate £m	2016/17 Estimate £m
Capital Programme	1.968	5.068	1.375	0.984	0.782

The above financing need excludes other long term liabilities, such as PFI and leasing arrangements which already included borrowing instruments.

6.8 The table below summarises the above capital expenditure plans and how these plans are being financed by capital or revenue resources. Any shortfall of resources results in a funding need (borrowing):

Capital Expenditure	2012/13 Actual £m	2013/14 Estimate £m	2014/15 Estimate £m	2015/16 Estimate £m	2016/17 Estimate £m
Total	1.968	5.068	1.375	0.984	0.782
Financed by:					
Capital receipts	0	-0.340	0	-0.395	-0.016
Capital grants	-0.532	-2.155	0	-0.430	-0.620
Revenue	-1.436	-1.735	-0.143	-0.159	0.146
Net financing need for the year	0	0.838	1.232	0	0

6.9 The Council's Borrowing Need (the Capital Financing Requirement)

The second prudential indicator is the Council's Capital Financing Requirement (CFR). The CFR is simply the total historic outstanding capital expenditure which has not yet been paid for from either revenue or capital resources. It is essentially a measure of the Council's underlying borrowing need. Any capital expenditure above, which has not immediately been paid for, will increase the CFR.

- 6.10 Following accounting changes the CFR includes any other long term liabilities (e.g. PFI schemes, finance leases) brought onto the balance sheet. Whilst this increases the CFR, and therefore the Council's borrowing requirement, these types of scheme include a borrowing facility and so the Council is not required to separately borrow for these schemes. The Council currently has £0.295m of such schemes within the CFR.

The Council is asked to approve the CFR projections below:

£m	2012/13 Actual £m	2013/14 Estimate £m	2014/15 Estimate £m	2015/16 Estimate £m	2016/17 Estimate £m
Capital Financing Requirement					
CFR – non Housing	0.295	1.092	2.616	2.570	2.410
Total CFR	0.295	1.092	2.616	2.570	2.410
Movement in CFR	-0.178	0.797	1.524	-0.046	-0.160

Movement in CFR represented by					
Net financing need for the capital programme	0	0.838	1.232	0	0
Net financing need – other long term liabilities	0	0.152	0.474	0.158	0
Less MRP and other financing movements	-0.178	-0.193	-0.182	-0.204	-0.160
Movement in CFR	-0.178	0.797	1.524	-0.046	-0.160

MRP Policy Statement

- 6.11 The Council is required to pay off an element of the accumulated General Fund capital spend each year (the CFR) through a revenue charge (the minimum revenue provision – MRP), although it is also allowed to undertake additional voluntary payments if required (voluntary revenue provision – VRP).
- 6.12 CLG Regulations have been issued which require the full Council to approve an MRP Policy Statement in advance of each year. A variety of options are provided to councils so long as there is a prudent provision. **The Council is recommended to approve the following MRP Statement.**

Certain expenditure reflected within the actual debt liability at 31 March 2013 will

under delegated powers be subject to MRP under option 3 of the guidance; this relates to the acquisition through finance lease of refuse and recycling vehicles and will be charged over a period which is commensurate with the life of the lease, using the annuity method.

For future borrowing, estimated life periods will be determined under delegated powers. To the extent that expenditure is not on the creation of an asset and is of a type that is subject to estimated life periods that are referred to in the guidance, these periods will generally be adopted by the Council. However, the Council reserves the right to determine useful life periods and prudent MRP in exceptional circumstances where the recommendations of the guidance would not be appropriate.

As some types of capital expenditure incurred by the Council are not capable of being related to an individual asset, asset lives will be assessed on a basis which most reasonably reflects the anticipated period of benefit that arises from the expenditure. Also, whatever type of expenditure is involved, it will be grouped together in a manner which reflects the nature of the main component of expenditure and will be divided up in cases where there are two or more major components with substantially different useful economic lives.

The Use of the Council's Resources and the Investment Position

- 6.13 The application of resources (capital receipts, reserves etc.) to either finance capital expenditure or other budget decisions to support the revenue budget will have an on-going impact on investments unless resources are supplemented each year from new sources (asset sales etc). Detailed below are estimates of the year end balances for each resource and anticipated day to day cash flow balances.

Year End Resources	2012/13 Actual £m	2013/14 Estimate £m	2014/15 Estimate £m	2015/16 Estimate £m	2016/17 Estimate £m
Fund balances / reserves	2.969	1.816	1.618	1.668	1.737
Capital receipts	0.142	0.142	0.822	0.457	0.481
Provisions	0	0	0	0	0
Other	0	0	0	0	0
Total core funds	3.111	1.958	2.440	2.125	2.218
Working capital*	2.595	2.500	2.500	2.500	2.500
Under/over borrowing	0	(0.838)	0	0	0
Expected Investments	5.706	3.620	4.940	4.625	4.718

*working capital balances shown are estimated year end; these may be higher mid year

Affordability Prudential Indicators

- 6.14 The previous sections cover the overall capital and control of borrowing prudential indicators, but within this framework prudential indicators are required to assess the affordability of the capital investment plans. These provide an indication of the impact of the capital investment plans on the Council's overall finances. **The Council is asked to approve the following indicators:**

Actual and estimates of the ratio of financing costs to net revenue stream. This indicator identifies the trend in the cost of capital (borrowing and other long term obligation costs net of investment income) against the net revenue stream.

%	2012/13 Actual £m	2013/14 Estimate £m	2014/15 Estimate £m	2015/16 Estimate £m	2016/17 Estimate £m
Non HRA	1.29%	1.78%	2.79%	2.74%	2.13%

The estimates of financing costs include current commitments and the proposals in this budget report.

Estimates of the incremental impact of capital investment decisions on council tax. This indicator identifies the revenue costs associated with proposed changes to the capital programme recommended in this budget report compared to the Council's existing approved commitments and current plans. The assumptions are based on the budget, but will invariably include some estimates, such as the level of Government support, which are not published over a three year period.

Incremental impact of capital investment decisions on the band D council tax

£	2014/15 Estimate	2015/16 Estimate	2016/17 Estimate
Council tax – band D	£1.18	£1.17	£1.88

TREASURY MANAGEMENT STRATEGY

- 6.15 The capital expenditure plans provide details of the service activity of the Council. The treasury management function ensures that the Council's cash is organised in accordance with the relevant professional codes, so that sufficient cash is available to meet the service activity. This will involve both the organisation of the cash flow and, where capital plans require, the organisation of appropriate borrowing facilities. The strategy covers the relevant treasury / prudential indicators, the current and projected debt positions and the annual investment strategy.

Current Portfolio Position

- 6.16 The Council's treasury portfolio position at 31 March 2013, with forward projections are summarised below. The table shows the actual external debt (the treasury management operations), against the underlying capital borrowing need (the Capital Financing Requirement – CFR), highlighting any over or under borrowing.

	2012/13 Actual £m	2013/14 Estimate £m	2014/15 Estimate £m	2015/16 Estimate £m	2016/17 Estimate £m
External Debt					
Debt at 1 April	0	0	0	2.053	2.012
Expected change in debt	0	0	2.053	-0.041	-0.042
Other long term liabilities (OLTL)	0.473	0.295	0.254	0.563	0.558
Expected change in OLTL	-0.178	-0.041	0.309	-0.005	-0.118
Actual gross debt at 31 March	0.295	0.254	2.616	2.570	2.410
Capital financing Requirement	0.295	1.092	2.616	2.570	2.410
Under / (over) borrowing	0	0.838	0	0	0

- 6.17 Within the prudential indicators there are a number of key indicators to ensure that the Council operates its activities within well defined limits. One of these is that the Council needs to ensure that its total debt net of any investments, does not, except in the short term, exceed the total of the CFR in the preceding year plus the estimates of any additional CFR for 2014/15 and the following two financial years (shown as net borrowing above). This allows some flexibility for limited early borrowing for future years, but ensures that borrowing is not undertaken for revenue purposes.

- 6.18 The Corporate Director (s151) reports that the Council complied with this prudential indicator in the current year and does not envisage difficulties for the future. This view takes into account current commitments, existing plans, and the proposals in this budget report.

Treasury Indicators: Limits to Borrowing Activity

- 6.19 **The Operational Boundary.** This is the limit beyond which external debt is not normally expected to exceed. In most cases, this would be a similar figure to the CFR, but may be lower or higher depending on the levels of actual debt.

Operational Boundary	2013/14 Estimate £m	2014/15 Estimate £m	2015/16 Estimate £m	2016/17 Estimate £m
Debt	5.000	5.000	5.000	5.000
Other long term liabilities	0.300	0.700	0.900	0.800
Total	5.300	5.700	5.900	5.800

- 6.20 **The Authorised Limit for external debt.** A further key prudential indicator represents a control on the maximum level of borrowing. This represents a limit beyond which external debt is prohibited, and this limit needs to be set or revised by the full Council. It reflects the level of external debt which, while not desired, could be afforded in the short term, but is not sustainable in the longer term.

This is the statutory limit determined under section 3 (1) of the Local Government Act 2003. The Government retains an option to control either the total of all councils' plans or those of a specified council, although this power has not been exercised.

The Council is asked to approve the following Authorised Limit:

Authorised Limit	2013/14 Estimate £m	2014/15 Estimate £m	2015/16 Estimate £m	2016/17 Estimate £m
Debt	20.000	20.000	20.000	20.000
Other long term liabilities	0.500	1.000	1.000	1.000
Total	21.000	21.000	22.000	22.000

Prospects for Interest Rates

- 6.21 The Council has appointed Capita as its treasury advisor and part of their service is to assist the Council to formulate a view on interest rates. Annex G draws together a number of current city forecasts for short term (bank rate) and longer fixed interest rates. The following table gives the Capita central view

Annual Average %	Bank Rate %	PWLB Borrowing Rates % (including certainty rate adjustment)		
		5 year	25 year	50 year
Mar 2014	0.50	2.50	4.40	4.40
Jun 2014	0.50	2.60	4.50	4.50
Sep 2014	0.50	2.70	4.50	4.50
Dec 2014	0.50	2.70	4.60	4.60
Mar 2015	0.50	2.80	4.60	4.70
Jun 2015	0.50	2.80	4.70	4.80
Sep 2015	0.50	2.90	4.80	4.90
Dec 2015	0.50	3.00	4.90	5.00
Mar 2016	0.50	3.10	5.00	5.10
Jun 2016	0.75	3.20	5.10	5.20

Sep 2016	1.00	3.30	5.10	5.20
Dec 2016	1.00	3.40	5.10	5.20
Mar 2017	1.25	3.40	5.10	5.20

6.22 The economic recovery in the UK since 2008 has been the worst and slowest recovery in recent history. However, growth rebounded in quarter 1 and 2 of 2013 to surpass all expectations. Growth prospects remain strong looking forward, not only in the UK economy as a whole, but in all three main sectors, services, manufacturing and construction. One downside is that wage inflation continues to remain significantly below CPI inflation so disposable income and living standards are under pressure, although income tax cuts have offset this to some extent.

A rebalancing of the economy towards exports has started but as 40% of UK exports go to the Eurozone, the difficulties in this area are likely to continue to dampen UK growth. The US, the main world economy, faces similar debt problems to the UK, but thanks to reasonable growth, cuts in government expenditure and tax rises, the annual government deficit has been halved from its peak without appearing to do much damage to growth.

6.23 The current economic outlook and structure of market interest rates and government debt yields have several key treasury management implications:

- Although Eurozone concerns have subsided in 2013, Eurozone sovereign debt difficulties have not gone away and there are major concerns as to how these will be managed over the next few years as levels of government debt, in some countries, continue to rise to levels that compound already existing concerns. Counterparty risks therefore remain elevated. This continues to suggest the use of higher quality counterparties for shorter time periods;
- Investment returns are likely to remain relatively low during 2014/15 and beyond;
- Borrowing interest rates are on a rising trend;
- There will remain a cost of carry to any new borrowing which causes an increase in investments as this will incur a revenue loss between borrowing costs and investment returns.

Borrowing Strategy

6.24 The Council has resolved to borrow £2.070m as funding towards the 4 year capital programme. Although specifically earmarked as funding towards the A64 Brambling Fields upgrade, the council has delayed borrowing until internal capital funds reach the point where they are insufficient to meet capital expenditure. It is anticipated that the council will reach this position towards the end of 2013/14, at which point internal borrowing of £0.838m is scheduled to take place. This is presently the cheapest method of borrowing and involves running down cash balances and forgoing interest earned at historically low rates. However, in view of the overall forecast for increases in long term borrowing rates over the next few years, this will be a short term measure and the Council will look to borrow the full £2.070m externally from the PWLB during 2014/15 in order to avoid the potential long term costs of taking loans at rates which will be higher in future years.

The Chief Financial Officer will monitor interest rates in conjunction with Treasury Advisors and seek to borrow at the most advantageous point in time. Any decisions will be reported to the Policy and Resources Committee.

Treasury Management Limits on Activity

6.25 There are three debt related treasury activity limits. The purpose of these are to restrain the activity of the treasury function within certain limits, thereby managing risk and reducing the impact of any adverse movement in interest rates. However, if these are set to be too restrictive they will impair the opportunities to reduce costs / improve performance. The indicators are:

- Upper limits on variable interest rate exposure. This identifies a maximum limit for variable interest rates;
- Upper limits on fixed interest rate exposure. This is similar to the previous indicator and covers a maximum limit on fixed interest rates;
- Maturity structure of borrowing. These gross limits are set to reduce the Council's exposure to large fixed rate sums falling due for refinancing, and are required for upper and lower limits.

The Council is asked to approve the following treasury indicators and limits:

%	2014/15 £'000	2015/16 £'000	2016/17 £'000
Interest Rates Exposure	Upper	Upper	Upper
Borrowing:			
Limits on fixed interest rates	100%	100%	100%
Limits on variable interest rates	5%	5%	5%
Investments:			
Limits on fixed interest rates	100%	100%	100%
Limits on variable interest rates	50%	50%	50%
Maturity Structure of fixed interest rate borrowing 2014/15		Lower	Upper
		N/a	N/a

Policy on Borrowing in Advance of Need

6.26 The Council will not borrow more than or in advance of its needs purely in order to profit from the investment of the extra sums borrowed. Any decision to borrow in advance will be within forward approved Capital Financing Requirement estimates, and will be considered carefully to ensure that value for money can be demonstrated and that the Council can ensure the security of such funds.

ANNUAL INVESTMENT STRATEGY

Investment Policy

6.27 The Council's investment policy has regard to the CLGs Guidance on Local Government Investments ("the Guidance") and the 2011 revised CIPFA Treasury Management in Public Services Code of Practice and Cross Sectoral Guidance Notes ("the CIPFA TM Code") The Council's investment priorities will be security first, liquidity second, and then return.

6.28 In accordance with guidance from the CLG and CIPFA, and in order to minimise the risk to investments, the Council has below clearly stipulated the minimum acceptable credit quality of counterparties for inclusion on the lending list. The creditworthiness methodology used to create the counterparty list fully accounts for the ratings, watches and outlooks published by all three ratings agencies with a full understanding of what these reflect in the eyes of each agency. Using the Sector ratings service banks ratings are monitored on a real time basis with knowledge of

any changes notified electronically as the agencies notify modifications.

- 6.29 Further the Council's officers recognise that ratings should not be the sole determinant of the quality of an institution and that it is important to continually assess and monitor the financial sector on both a micro and macro basis and in relation to the economic and political environments in which institutions operate. The assessment will also take account of information that reflects the opinion of the markets. To this end the Council will engage with its advisors to maintain a monitor on market pricing such as "Credit Default Swaps" and overlay that information on top of the credit ratings. This is fully integrated into the credit methodology provided by the advisors, Sector in producing its colour coding which show the varying degrees of creditworthiness.
- 6.30 The aim of the strategy is to generate a list of highly creditworthy counterparties which will also enable diversification and thus avoidance of concentration risk. The intention of the strategy is to provide security of investment and minimisation of risk.
- 6.31 Investment securities identified for use in the financial year are listed in Annex B under the Specified and Non-Specified Investments categories. Counterparty limits will be as set through the Council's Treasury Management Practices – schedules. The Council's current bankers, National Westminster Bank are excluded from these limits.

Creditworthiness Policy

- 6.32 This Council applies the creditworthiness service provided by Sector. This service employs a sophisticated modelling approach utilising credit ratings from all three main credit rating agencies - Fitch, Moodys and Standard and Poors. The credit ratings of counterparties are supplemented with the following overlays:
- Credit watches and credit outlooks from credit rating agencies;
 - CDS spreads to give early warning of likely changes in credit ratings;
 - Sovereign ratings to select counterparties from only the most creditworthy countries.
- 6.33 This modelling approach combines credit ratings, credit watches, and credit outlooks in a weighted scoring system which is then combined with an overlay of CDS spreads for which the end product is a series of colour code bands, which indicate the relative creditworthiness of counterparties. These colour codes are also used by the Council to determine the duration for investments. The Council will therefore use the counterparties within the following durational bands:
- Yellow 5 years *
 - Dark Pink 5 years for enhanced money market funds with a credit score of 1.25
 - Light Pink 5 years for enhanced money market funds with a credit score of 1.5
 - Purple 2 years
 - Blue 1 year (only applies to nationalised or semi nationalised UK Banks)
 - Orange 1 year
 - Red 6 months
 - Green 100 days
 - No colour not to be used

* This category has been added for AAA rated Government debt or its equivalent.

- 6.34 The Sector creditworthiness service uses a wider array of information than just primary ratings and by using a risk weighted scoring system, does not give undue preponderance to just one agency ratings.
- 6.35 Typically the minimum credit ratings criteria the Council use will be a short term rating (Fitch or equivalents) of Short Term rating F1 , Long Term rating A-. Viability ratings of BB+, and a Support rating of 3. There may be occasions when the counterparty ratings from one rating agency are marginally lower than these ratings but may still be used. In these, instances consideration will be given to the whole range of ratings available, or other topical market information, to support their use.
- 6.36 All credit ratings will be monitored daily. The Council is alerted to changes to ratings of all three agencies through its use of the Sector creditworthiness service.
- If a downgrade results in the counterparty / investment scheme no longer meeting the Council's minimum criteria, its further use as a new investment will be with drawn immediately;
 - In addition to the use of credit ratings the Council will be advised of information in movements in Credit Default Swap against the iTraxx benchmark and other market data on a weekly basis. Extreme market movements may result in downgrade of an institution or removal from the lending list.
- 6.37 Sole reliance will not be placed on the use of this external service. In addition this Council will also use market data and market information, information on government support for banks and the credit ratings of that government support

Country Limits

- 6.38 The Council has determined that it will only use approved counterparties from countries with a minimum sovereign credit rating of AA- from Fitch Ratings (or equivalent from other agencies). The list of countries that qualify using this credit criteria as at the date of this report are shown in Annex C. This list will be added to or deducted from by officers should ratings change in accordance with this policy.

Investment Strategy to be followed with cash flow derived balances

- 6.39 Investments will be made with reference to the core balance and cash flow requirements and the outlook for short-term interest rates i.e. rates for investments up to 12 months.
- 6.40 **Investment returns expectations.** Bank Rate is forecast to remain unchanged at 0.5% before starting to rise from quarter 2 of 2016. Bank Rate forecasts for financial year ends (March) are:
- 2013/2014 0.50%
 - 2014/2015 0.50%
 - 2015/2016 0.50%
 - 2016/2017 1.25%

There are upside risks to these forecasts (i.e. start of increases in bank rate occurs sooner) if economic growth remains strong and unemployment falls faster than expected. However, should the pace of growth fall back there could be downside risk, particularly if Bank of England inflation forecasts for the rate of fall of unemployment were to prove to be too optimistic.

- 6.41 **Investment Treasury Indicator and limit** - total principal funds invested for greater than 364 days. These limits are set with regard to the Council's liquidity requirements

and to reduce the need for early sale of an investment, and are based on the availability of funds after each year-end.

The Council is asked to approve the treasury indicator and limit:

Maximum principal sums invested > 364 days			
	2014/15	2015/16	2016/17
Principal sums invested > 364 days	£1.0m	£1.0m	£1.0m

- 6.42 For its cash flow generated balances, the Council will seek to utilise its business reserve accounts, notice accounts, and short dated deposits (overnight to three months) in order to benefit from the compounding of interest.

End of Year Investment Report

- 6.43 At the end of the financial year the Council will report on its investment activity as part of the Annual Treasury Report.

Policy on the use of external service providers

- 6.44 The Council currently uses Sector as its external treasury management advisors.
- 6.45 The Council recognises that responsibility for treasury management decisions remains with the organisation at all times and will ensure that undue reliance is not placed upon our external service providers.
- 6.46 It also recognises that there is value in employing external providers of treasury management services in order to acquire access to specialist skills and resources. The Council will ensure that the terms of their appointment and the methods by which their value will be assessed are properly agreed and documented, and subjected to regular review.

Scheme of Delegation

- 6.47 Please see Annex D.

Role of the section 151 officer

- 6.48 Please see Annex E.

7.0 IMPLICATIONS

- 7.1 The following implications have been identified:
- a) Financial
The results of the investment strategy affect the funding of the Capital Programme.
 - b) Legal
There are no legal implications regarding this report.
 - c) Other (Equalities, Staffing, Planning, Health & Safety, Environmental, Crime & Disorder)
There are no legal implications regarding this report.

Paul Cresswell
Corporate Director (s151)

Author: Paul Cresswell, Corporate Director (s151)

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Background Papers:

None

Background Papers are available for inspection at:

None

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TREASURY MANAGEMENT STATEMENT AND INVESTMENT STRATEGY REPORT- RISK MATRIX – ANNEX A

Issue/Risk	Consequences if allowed to happen	Likelihood	Impact	Mitigation	Mitigated Likelihood	Mitigated Impact
Credit risk - associated with investing with financial institutions that do not meet the credit rating criteria.	Could mean loss of principal sum and interest accrued.	2	D	Although the economic climate is improving, counterparty risk is still a big issue. As a result the Council have adopted a stringent credit rating methodology.	1	D
Market risk - Selection of wrong type of investment for higher return.	The poor performance of the chosen investment.	2	B	The number of investment options is kept to a minimum. Investments are normally restricted to short term fixed rate deposits or instant access accounts.	2	B
Liquidity risk - Use of fixed term deposits and / or instruments / investments with low marketability may mean a lack of liquidity	Unable to take advantage of better investment options. Funds are unavailable to cover capital spend.	1	B	This Strategy specifies the type of instrument the authority is prepared to invest in and maximum terms for those investments, after taking advice from Treasury Advisors.	1	B

Score	Likelihood	Score	Impact
1	Very Low	A	Low
2	Not Likely	B	Minor
3	Likely	C	Medium
4	Very Likely	D	Major
5	Almost Certain	E	Disaster

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SPECIFIED AND NON-SPECIFIED INVESTMENTS

SPECIFIED INVESTMENTS:

All such investments will be sterling denominated, with **maturities up to maximum of 1 year**, meeting the minimum 'high' rating criteria where applicable.

The criteria, time limits and monetary limits applying to institutions or investment vehicles are:

Investment	Minimum Credit Criteria / Colour Band	£ limit per institution	Max maturity period
Debt Management Agency Deposit Facility	N/A	£2.5m	6 months
Term deposits – local authorities	N/A	£2.5m	1 year
Term deposits - UK part nationalised banks	Blue **	£3.0m	1 year
Term deposits – banks and building societies	Orange Red Green No colour	£2.5m	Up to 1 year Up to 6 months Up to 100 days Not for use
Money Market Funds	AAA	£2.5m	Liquid

**only applies to nationalised or semi nationalised UK Banks

NON-SPECIFIED INVESTMENTS

A maximum of £1.0m will be held in aggregate in non-specified investment

1. Maturities of ANY period

Investment	Minimum Credit Criteria / Colour Band	Maximum Investment	Maximum maturity period
Certificates of deposits issued by banks and building societies	Green	£1.0m	Up to 2 years
UK Government Gilts	Sovereign rating	£1.0m	Up to 2 years
Bonds issued by multilateral development banks	AAA	£1.0m	Up to 2 years
Bonds issued by a financial institution which is explicitly guaranteed by the UK government	Sovereign rating	£1.0m	Up to 2 years
Fixed term deposits with variable rate and variable maturities:			
Structured deposits	Green	£1.0m	Up to 2 years
Commercial paper issuance by UK banks covered by UK Government guarantee	Green	£1.0m	Up to 2 years
Other debt issuance by UK banks covered by UK Government guarantee	Green	£1.0m	Up to 2 years

2. Maturities in excess of 1 year

Investment	Minimum Credit Criteria	Maximum Investment	Maximum maturity period
Term deposits – local authorities	N/A	£1.0m	Up to 2 Years
Term deposits – banks and building societies	Purple	£1.0m	Up to 2 Years

APPROVED COUNTRIES FOR INVESTMENT

Based on lowest available rating

AAA Rating

- Australia
- Canada
- Denmark
- Finland
- Germany
- Luxembourg
- Netherlands
- Norway
- Singapore
- Sweden
- Switzerland

AA+ Rating

- France
- Hong Kong
- U.K.
- U.S.A.

AA Rating

- Abu Dhabi (UAE)

AA- Rating

- Belgium
- Saudi Arabia

TREASURY MANAGEMENT SCHEME OF DELEGATION

1. Full Council

- receiving and reviewing reports on treasury management policies, practices and activities;
- approval of annual strategy.

2. Policy and Resources Committee

- approval of/amendments to the organisation's adopted clauses, treasury management policy statement and treasury management practices;
- budget consideration and approval;
- approval of the division of responsibilities;
- receiving and reviewing regular monitoring reports and acting on recommendations;
- approving the selection of external service providers and agreeing terms of appointment.

3. Audit Committee

- reviewing the treasury management policy and procedures and making recommendations to the responsible body.

THE TREASURY MANAGEMENT ROLE OF THE SECTION 151 OFFICER

The S151 (responsible) officer

- recommending clauses, treasury management policy/practices for approval, reviewing the same regularly, and monitoring compliance;
- submitting regular treasury management policy reports;
- submitting budgets and budget variations;
- receiving and reviewing management information reports;
- reviewing the performance of the treasury management function;
- ensuring the adequacy of treasury management resources and skills, and the effective division of responsibilities within the treasury management function;
- ensuring the adequacy of internal audit, and liaising with external audit;
- recommending the appointment of external service providers.

THE GLOBAL ECONOMY

The Eurozone. The sovereign debt crisis has eased during 2013 which has been a year of comparative calm after the hiatus of the Cyprus bailout in the spring. The EZ finally escaped from seven quarters of recession in quarter 2 of 2013 but growth is likely to remain weak and so will dampen UK growth. The ECB's pledge to buy unlimited amounts of bonds of countries which ask for a bail out, has provided heavily indebted countries with a strong defence against market forces. This has bought them time to make progress with their economies to return to growth or to reduce the degree of recession. However, debt to GDP ratios (2012 figures) of 176% Greece, Italy 131%, Portugal 124%, Ireland 123% and Cyprus 110%, remain a cause of concern, especially as many of these countries are experiencing continuing rates of increase in debt in excess of their rate of economic growth i.e. these debt ratios are continuing to deteriorate. Any sharp downturn in economic growth would make these countries particularly vulnerable to a new bout of sovereign debt crisis. It should also be noted that Italy has the third biggest debt mountain in the world behind Japan and the US. Greece remains particularly vulnerable and continues to struggle to meet EZ targets for fiscal correction. Many commentators still view a Greek exit from the Euro as inevitable and there are concerns that austerity measures in Cyprus could also end up in forcing an exit. The question remains as to how much damage an exit by one country would do and whether contagion would spread to other countries. However, the longer a Greek exit is delayed, the less are likely to be the repercussions beyond Greece on other countries and on EU banks.

Sentiment in financial markets has improved considerably during 2013 as a result of firm Eurozone commitment to support struggling countries and to keep the Eurozone intact. However, the foundations to this current "solution" to the Eurozone debt crisis are still weak and events could easily conspire to put this into reverse. There are particular concerns as to whether democratically elected governments will lose the support of electorates suffering under EZ imposed austerity programmes, especially in countries like Greece and Spain which have unemployment rates of over 26% and unemployment among younger people of over 50%. The Italian political situation is also fraught with difficulties in getting a viable coalition which will implement an EZ imposed austerity programme and undertake overdue reforms to government and the economy.

USA. The economy has managed to return to reasonable growth in Q2 2013 of 2.5% y/y in spite of the fiscal cliff induced sharp cuts in federal expenditure that kicked in on 1 March, and increases in taxation. The Federal Reserve has continued to provide huge stimulus to the economy through its \$85bn per month asset purchases programme of quantitative easing. However, it is expected that this level of support will start to be tapered down by the end of 2013. It has also pledged not to increase the central rate until unemployment falls to 6.5%; this is probably unlikely to happen until early 2015. Consumer, investor and business confidence levels have improved markedly in 2013. The housing market has turned a corner and house sales and increases in house prices have returned to healthy levels. Many house owners have therefore been helped to escape from negative equity and banks have also largely repaired their damaged balance sheets so that they can resume healthy levels of lending. All this portends well for a reasonable growth rate looking forward.

China. Concerns that Chinese growth could be heading downwards have been allayed by recent stronger statistics. There are still concerns around an unbalanced economy which is heavily dependent on new investment expenditure, and for a potential bubble in the property sector to burst, as it did in Japan in the 1990s, with its consequent impact on the financial health of the banking sector. There are also increasing concerns around the potential size, and dubious creditworthiness, of some bank lending to local government organisations and major corporates. This primarily occurred during the government promoted expansion of

credit, which was aimed at protecting the overall rate of growth in the economy after the Lehmans crisis.

Japan. The initial euphoria generated by “Abenomics”, the huge QE operation instituted by the Japanese government to buy Japanese debt, has tempered as the follow through of measures to reform the financial system and introduce other economic reforms, appears to have stalled. However, at long last, Japan has seen strong growth of 4% in the first two quarters of 2013 which portends well for the hopes that Japan can escape from the bog of stagnation and help support world growth. The fiscal challenges though are huge; the gross debt to GDP ratio is about 245% in 2013 while the government is currently running an annual fiscal deficit of around 50% of total government expenditure. Within two years, the central bank will end up purchasing about Y190 trillion (£1,200 billion) of government debt. In addition, the population is ageing due to a low birth rate and will fall from 128m to 100m by 2050.

THE UK ECONOMY

Economic growth. Until 2013, the economic recovery in the UK since 2008 had been the worst and slowest recovery in recent history. However, growth rebounded in quarter 1 (+0.3%) and 2 (+0.7%) of 2013 to surpass all expectations as all three main sectors, services, manufacturing and construction contributed to this strong upturn. The August 2013 Bank of England Inflation Report consequently upgraded growth forecasts for 2013 from 1.2% to 1.4% and for 2014 from 1.7% to 2.5%. However, Bank Governor Mark Carney put this into perspective by describing this welcome increase as not yet being “escape velocity” to ensure we return to strong AND sustainable growth. So very encouraging - yes, but, still a long way to go! However, growth is expected to be strong for the immediate future. One downside is that wage inflation continues to remain significantly below CPI inflation so disposable income and living standards are under pressure, although income tax cuts have ameliorated this to some extent. A rebalancing of the economy towards exports has started but as 40% of UK exports go to the Eurozone, the difficulties in this area are likely to continue to dampen UK growth.

Forward guidance. The Bank of England also issued forward guidance with this Inflation Report which said that the Bank will not start to consider raising interest rates until the jobless rate (Labour Force Survey / ILO i.e. not the claimant count measure) has fallen to 7% or below. This would require the creation of about 750,000 jobs and was forecast to take three years. The UK unemployment rate currently stands at 2.5 million i.e. 7.7 % on the LFS / ILO measure. The Bank's guidance is subject to three provisos, mainly around inflation; breaching any of them would sever the link between interest rates and unemployment levels. This actually makes forecasting Bank Rate much more complex given the lack of available reliable forecasts by economists over a three year plus horizon. The recession since 2007 was notable for how unemployment did NOT rise to the levels that would normally be expected in a major recession and the latest Inflation Report noted that productivity had sunk to 2005 levels. There has therefore been a significant level of retention of labour, which will mean that a significant amount of GDP growth can be accommodated without a major reduction in unemployment. The forecast in this report for Bank Rate not to start increasing until quarter 3 of 2016 is based on a slow reduction of unemployment, (in line with the Bank of England's forecast), and contrary to the prevalent market view where rates are indicating that Bank Rate is expected to start going up in early 2015.

Credit conditions. While Bank Rate has remained unchanged at 0.5% and quantitative easing has remained unchanged at £375bn in 2013, the Funding for Lending Scheme (FLS), aimed at encouraging banks to expand lending to small and medium size enterprises, has been extended. The FLS certainly seems to be having a positive effect in terms of encouraging house purchases (though levels are still far below the pre-crisis level), FLS is also due to be bolstered by the second phase of Help to Buy aimed to support purchasing of

second hand properties, which is now due to start in October 2013. While there have been concerns that these schemes are creating a bubble in the housing market, the housing market remains weak outside of London and the south-east with a significant increase in house prices either being entirely absent or minimal. However, bank lending to small and medium enterprises continues to remain weak and inhibited by banks still repairing their balance sheets and anticipating tightening of regulatory requirements.

Inflation. Inflation has fallen from a peak of 3.1% in June 2013 to 2.7% in September. It is expected to fall back to reach the 2% target level within the two year horizon.

AAA rating. The UK has lost its AAA rating from Fitch and Moody's but that caused little market reaction.

Capita Asset Services forward view

Economic forecasting remains difficult with so many external influences weighing on the UK. Major volatility in bond yields is likely during the remainder of 2013/14 as investor fears and confidence ebb and flow between favouring more risky assets i.e. equities, and safer bonds.

Near-term, there is some residual risk of further QE - if there is a dip in strong growth or if the MPC takes action to do more QE in order to reverse the rapid increase in market rates, especially in gilt yields and interest rates up to 10 years. This could cause shorter-dated gilt yields and PwLB rates over the next year or two to significantly undershoot the forecasts in the table below. The failure in the US, (at the time of writing), over passing a Federal budget for the new financial year starting on 1 October, and the expected tension over raising the debt ceiling in mid October, could also see bond yields temporarily dip until any binding agreement is reached between the opposing Republican and Democrat sides. Conversely, the eventual start of tapering by the Fed could cause bond yields to rise.

The longer run trend is for gilt yields and PwLB rates to rise, due to the high volume of gilt issuance in the UK, and of bond issuance in other major western countries. Increasing investor confidence in economic recovery is also likely to compound this effect as a continuation of recovery will further encourage investors to switch back from bonds to equities.

The overall balance of risks to economic recovery in the UK is currently weighted to the upside after five months of robust good news on the economy. However, only time will tell just how long this period of strong economic growth will last; it also remains exposed to vulnerabilities in a number of key areas.

Downside risks currently include:

- The conflict in the UK between market expectations of how quickly unemployment will fall as opposed to the Bank of England's forecasts
- Prolonged political disagreement over the US Federal Budget and raising the debt ceiling
- A return to weak economic growth in the US, UK and China causing major disappointment to investor and market expectations.
- The potential for a significant increase in negative reactions of populaces in Eurozone countries against austerity programmes, especially in countries with very high unemployment rates e.g. Greece and Spain, which face huge challenges in engineering economic growth to correct their budget deficits on a sustainable basis.
- The Italian political situation is frail and unstable.

- Problems in other Eurozone heavily indebted countries (e.g. Cyprus and Portugal) which could also generate safe haven flows into UK gilts.
- Monetary policy action failing to stimulate sustainable growth in western economies, especially the Eurozone and Japan.
- Weak growth or recession in the UK's main trading partners - the EU and US, depressing economic recovery in the UK.
- Geopolitical risks e.g. Syria, Iran, North Korea, which could trigger safe haven flows back into bonds

The potential for upside risks to UK gilt yields and PWLB rates, especially for longer term PWLB rates include: -

- A sharp upturn in investor confidence that sustainable robust world economic growth is firmly expected, causing a surge in the flow of funds out of bonds into equities.
- A reversal of Sterling's safe-haven status on a sustainable improvement in financial stresses in the Eurozone.
- Further downgrading by credit rating agencies of the creditworthiness and credit rating of UK Government debt, consequent upon repeated failure to achieve fiscal correction targets and sustained recovery of economic growth which could result in the ratio of total government debt to GDP to rise to levels that undermine investor confidence in the UK and UK debt.
- UK inflation being significantly higher than in the wider EU and US, causing an increase in the inflation premium inherent to gilt yields.
- In the longer term – an earlier than currently expected reversal of QE in the UK; this could initially be implemented by allowing gilts held by the Bank to mature without reinvesting in new purchases, followed later by outright sale of gilts currently held.

UK Interest Rate Forecast

Bank Rate														
	NOW	Mar-14	Jun-14	Sep-14	Dec-14	Mar-15	Jun-15	Sep-15	Dec-15	Mar-16	Jun-16	Sep-16	Dec-16	Mar-17
Capita Asset Services	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.75%	1.00%	1.00%	1.25%
UBS	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.75%	1.00%	-	-	-	-	-
Capital Economics	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.75%	-	-	-	-	-
Syr PWLB Rate														
	NOW	Mar-14	Jun-14	Sep-14	Dec-14	Mar-15	Jun-15	Sep-15	Dec-15	Mar-16	Jun-16	Sep-16	Dec-16	Mar-17
Capita Asset Services	2.52%	2.50%	2.60%	2.70%	2.70%	2.80%	2.80%	2.90%	3.00%	3.10%	3.20%	3.30%	3.40%	3.40%
UBS	2.52%	-	-	-	-	-	-	-	-	-	-	-	-	-
Capital Economics	2.52%	2.60%	2.60%	2.60%	2.60%	2.70%	2.80%	3.00%	3.20%	-	-	-	-	-
10yr PWLB Rate														
	NOW	Mar-14	Jun-14	Sep-14	Dec-14	Mar-15	Jun-15	Sep-15	Dec-15	Mar-16	Jun-16	Sep-16	Dec-16	Mar-17
Capita Asset Services	3.55%	3.60%	3.70%	3.80%	3.80%	3.90%	3.90%	4.00%	4.10%	4.20%	4.30%	4.30%	4.40%	4.50%
UBS	3.55%	3.70%	3.80%	3.90%	4.05%	4.05%	4.30%	4.55%	4.55%	-	-	-	-	-
Capital Economics	3.55%	3.80%	3.80%	3.80%	3.80%	3.80%	3.80%	3.80%	4.05%	-	-	-	-	-
25yr PWLB Rate														
	NOW	Mar-14	Jun-14	Sep-14	Dec-14	Mar-15	Jun-15	Sep-15	Dec-15	Mar-16	Jun-16	Sep-16	Dec-16	Mar-17
Capita Asset Services	4.26%	4.40%	4.50%	4.50%	4.60%	4.60%	4.70%	4.80%	4.90%	5.00%	5.10%	5.10%	5.10%	5.10%
UBS	4.26%	4.55%	4.55%	4.80%	4.80%	5.05%	5.05%	5.30%	5.30%	-	-	-	-	-
Capital Economics	4.26%	4.35%	4.35%	4.35%	4.35%	4.35%	4.35%	4.35%	4.45%	-	-	-	-	-
50yr PWLB Rate														
	NOW	Mar-14	Jun-14	Sep-14	Dec-14	Mar-15	Jun-15	Sep-15	Dec-15	Mar-16	Jun-16	Sep-16	Dec-16	Mar-17
Capita Asset Services	4.22%	4.40%	4.50%	4.50%	4.60%	4.70%	4.80%	4.90%	5.00%	5.10%	5.20%	5.20%	5.20%	5.20%
UBS	4.22%	4.45%	4.45%	4.70%	4.70%	4.90%	4.90%	5.05%	5.05%	-	-	-	-	-
Capital Economics	4.22%	4.50%	4.50%	4.50%	4.50%	4.50%	4.50%	4.50%	4.60%	-	-	-	-	-

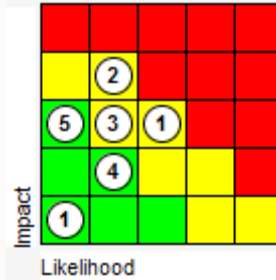
- Please note – The current PWLB rates and forecast shown above have taken into account the 20 basis point certainty rate reduction effective as of the 1st November 2012

Corporate Risk Register 2013-17

Generated on: 31 January 2014

Corporate Risk Register 2013-17

Current Risk Matrix




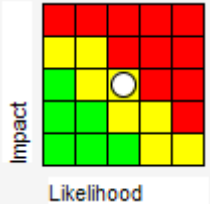
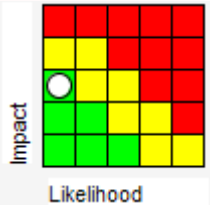
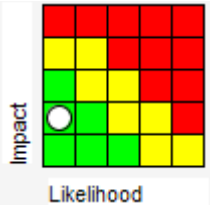
Page 109

Status	Code	Title			
	CRR 01	Significant Partnerships			CRR 09 Failure to influence the proposed changes to the national framework for the resourcing of Ryedale District Council.
	CRR 02	Capital Programme			CRR 11 Council Assets
	CRR 03	Staff Management			CRR 12 Customer Expectations
	CRR 04	External Funding			CRR 13 Fraud and Corruption
	CRR 05	Affordable Housing			CRR 14 Data Quality
	CRR 06	Procurement			CRR 15 Delivering Efficiencies
	CRR 07	Health and Safety			CRR 16 Data Protection
	CRR 08	Emergency Planning and Business Continuity Planning			CRR 17 Regulation and Investigatory Powers Act


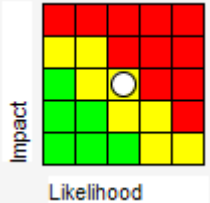
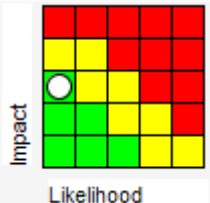
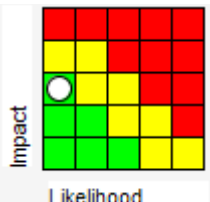
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Risk Status	
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	Warning
	Alert
	Unknown

Agenda Item 12


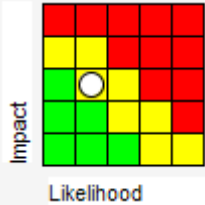
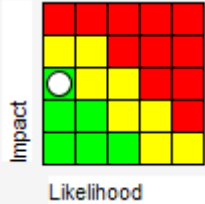
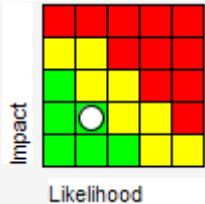
CRR 01 Significant Partnerships

Risk Code	Risk Title	Description			Status
CRR 01	Significant Partnerships	That the Council fails to manage its partnerships effectively			
Consequences		Financial cost to the Council through partnership failure, breach of legislation by partnership with consequences for Council and its reputation, levels of service satisfaction and quality fall below acceptable levels.			
Original Matrix		Original Rating Description			
		Original Impact	C	Original Likelihood	3
			Medium		Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	C	Current Likelihood	1
			Medium		Very Low
Target Risk Matrix		Target Rating Description			
		Target Impact	B	Target Likelihood	1
			Minor		Very Low
Latest Progress				Last Review Date	SMT Lead
03-Feb-2014 The recent audit of partnerships was concluded with an outcome of high assurance				03-Feb-2014	Clare Slater


CRR 02 Capital Programme

Risk Code	Risk Title	Description	Status					
CRR 02	Capital Programme	Failure to deliver Council priorities, due to poor management of the capital programme.						
Consequences		Failure to deliver the Council priorities						
Original Matrix		Original Rating Description						
		Original Impact	<table border="1"> <tr> <td data-bbox="1146 395 1476 432">C</td> <td data-bbox="1480 395 1785 614" rowspan="2">Original Likelihood</td> <td data-bbox="1794 395 2141 432">3</td> </tr> <tr> <td data-bbox="1146 435 1476 614">Medium</td> <td data-bbox="1794 435 2141 614">Likely</td> </tr> </table>	C	Original Likelihood	3	Medium	Likely
C	Original Likelihood		3					
Medium		Likely						
Current Risk Matrix		Current Rating Description						
		Current Impact	<table border="1"> <tr> <td data-bbox="1146 657 1476 694">C</td> <td data-bbox="1480 657 1785 876" rowspan="2">Current Likelihood</td> <td data-bbox="1794 657 2141 694">1</td> </tr> <tr> <td data-bbox="1146 697 1476 876">Medium</td> <td data-bbox="1794 697 2141 876">Very Low</td> </tr> </table>	C	Current Likelihood	1	Medium	Very Low
C	Current Likelihood		1					
Medium		Very Low						
Target Risk Matrix		Target Rating Description						
		Target Impact	<table border="1"> <tr> <td data-bbox="1146 919 1476 962">C</td> <td data-bbox="1480 919 1785 1137" rowspan="2">Target Likelihood</td> <td data-bbox="1794 919 2141 962">1</td> </tr> <tr> <td data-bbox="1146 965 1476 1137">Medium</td> <td data-bbox="1794 965 2141 1137">Very Low</td> </tr> </table>	C	Target Likelihood	1	Medium	Very Low
C	Target Likelihood		1					
Medium		Very Low						
Latest Progress			Last Review Date					
<p>03-Feb-2014</p> <p>Progress monitoring embedded in the work of Resources Working Party, who receive bi-monthly reports with highlight reporting on individual projects. Capital Programme updated with budget approval in February each year.</p>			<p>03-Feb-2014</p> <p>Paul Cresswell</p>					


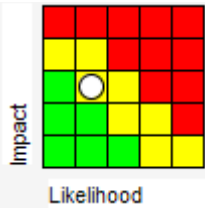
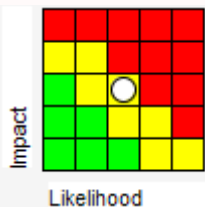
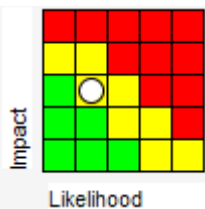
CRR 03 Staff Management


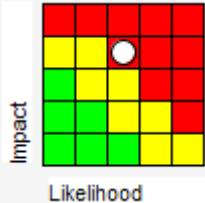
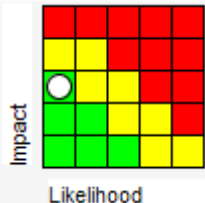
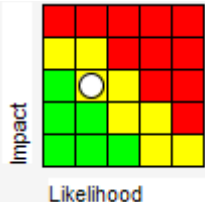
Risk Code	Risk Title	Description	Status
CRR 03	Staff Management	Failure to effectively manage and develop our workforce assets	
Consequences		Decline in employee performance and delivery	
Original Matrix		Original Rating Description	
	Original Impact	C	2
		Medium	Original Likelihood
Current Risk Matrix		Current Rating Description	
	Current Impact	C	1
		Medium	Current Likelihood
Target Risk Matrix		Target Rating Description	
	Target Impact	B	2
		Minor	Target Likelihood
Latest Progress			Last Review Date
03-Feb-2014 Absence management is working effectively in relation to short term absences.			03-Feb-2014
			SMT Lead
			Clare Slater

CRR 04 External Funding


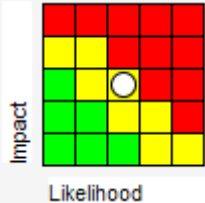
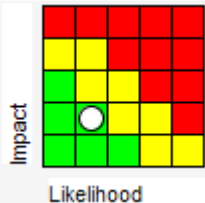
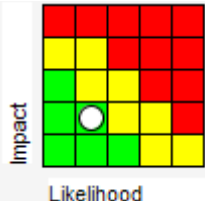
Risk Code	Risk Title	Description	Status	
CRR 04	External Funding	Failure to attract external funding to support the priorities of the Council		
Consequences		Failure to deliver Council priorities requiring major financial investment. Increased costs to RDC. Failure to regenerate the local economy. Uncompetitive service delivery. Withdrawal or failure of a service. Inability to deliver new services		
Original Matrix		Original Rating Description		
		Original Impact	C	3
			Medium	Original Likelihood
Current Risk Matrix		Current Rating Description		
		Current Impact	C	2
			Medium	Current Likelihood
Target Risk Matrix		Target Rating Description		
		Target Impact	C	2
			Medium	Target Likelihood
Latest Progress		Last Review Date	SMT Lead	
03-Feb-2014 Strategic approach to new funding opportunities being developed in response to legislation and Community Infrastructure Levy (CIL). Linked to the development of the Local Development Framework and LEP 5 year Growth Strategy. Secondment of senior officer to support the LEP and develop Ryedale involvement in the sub region. Unallocated New Homes Bonus Funding placed into reserve with options to use as match funding.		03-Feb-2014	Paul Cresswell	

CRR 05 Affordable Housing


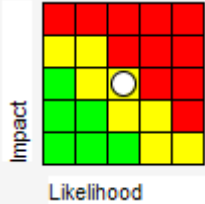
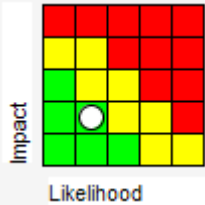
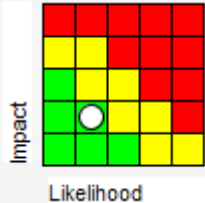
Risk Code	Risk Title	Description	Status						
CRR 05	Affordable Housing	Failure to meet identified housing need in Ryedale							
Consequences		Homelessness increases with resultant service costs. Unbalanced housing market. Negative impact on the local economy. Lack of key workers to support the needs of the community. Local people forced to move away from Ryedale.							
Original Matrix		Original Rating Description							
		Original Impact	<table border="1"> <tr> <td data-bbox="1140 440 1473 478">C</td> <td data-bbox="1478 440 1787 478">2</td> </tr> <tr> <td data-bbox="1140 481 1473 657">Medium</td> <td data-bbox="1478 481 1787 657">Original Likelihood</td> </tr> <tr> <td colspan="2" data-bbox="1792 481 2145 657">Not Likely</td> </tr> </table>	C	2	Medium	Original Likelihood	Not Likely	
C	2								
Medium	Original Likelihood								
Not Likely									
Current Risk Matrix		Current Rating Description							
		Current Impact	<table border="1"> <tr> <td data-bbox="1140 702 1473 740">C</td> <td data-bbox="1478 702 1787 740">3</td> </tr> <tr> <td data-bbox="1140 743 1473 919">Medium</td> <td data-bbox="1478 743 1787 919">Current Likelihood</td> </tr> <tr> <td colspan="2" data-bbox="1792 743 2145 919">Likely</td> </tr> </table>	C	3	Medium	Current Likelihood	Likely	
C	3								
Medium	Current Likelihood								
Likely									
Target Risk Matrix		Target Rating Description							
		Target Impact	<table border="1"> <tr> <td data-bbox="1140 963 1473 1002">C</td> <td data-bbox="1478 963 1787 1002">2</td> </tr> <tr> <td data-bbox="1140 1005 1473 1181">Medium</td> <td data-bbox="1478 1005 1787 1181">Target Likelihood</td> </tr> <tr> <td colspan="2" data-bbox="1792 1005 2145 1181">Not Likely</td> </tr> </table>	C	2	Medium	Target Likelihood	Not Likely	
C	2								
Medium	Target Likelihood								
Not Likely									
Latest Progress			Last Review Date						
03-Feb-2014 Delivery of new affordable homes for 2012/13 was 95 affordable homes see Delivering the Council Plan report for further detail.			03-Feb-2014						
			SMT Lead						
			Gary Housden						

Risk Code	Risk Title	Description	Status
CRR 06	Procurement	Failure to procure in line with legislation and in line with best value principles	
Consequences		Failure to make efficiency savings. Priority projects not delivered to budget. Adverse external inspection. Breach of legislation eg. equalities or health and safety. Damage to RDC reputation.	
Original Matrix		Original Rating Description	
	Original Impact	D	3
		Major	Original Likelihood
Current Risk Matrix		Current Rating Description	
	Current Impact	C	1
		Medium	Current Likelihood
Target Risk Matrix		Target Rating Description	
	Target Impact	C	2
		Medium	Target Likelihood
Latest Progress			Last Review Date
03-Feb-2014 Procurement Partnership established and the service received by the Council is working well with savings being achieved in line with efficiency targets. Significant procurement exercise underway in relation to the Leisure Contract, external support contracted to assist.			03-Feb-2014
			SMT Lead
			Phil Long


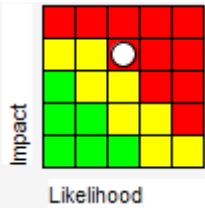
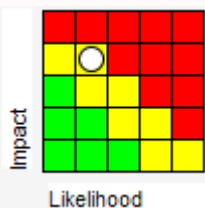
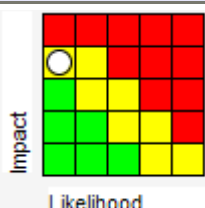
CRR 07 Health and Safety

Risk Code	Risk Title	Description			Status
CRR 07	Health and Safety	Failure to ensure appropriate systems are in place to manage Health and safety			
Consequences		Failure to meet legislative requirements, prosecution and financial penalties incurred as a result of incident.			
Original Matrix		Original Rating Description			
		Original Impact	C	Original Likelihood	3
			Medium		Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	B	Current Likelihood	2
			Minor		Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	B	Target Likelihood	2
			Minor		Not Likely
Latest Progress				Last Review Date	SMT Lead
03-Feb-2014 Member and officer training undertaken. Health and Safety policy framework in place. Ownership across the organisation, roles and responsibilities clarified at all levels of management.				03-Feb-2014	Clare Slater


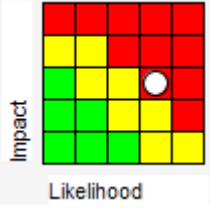
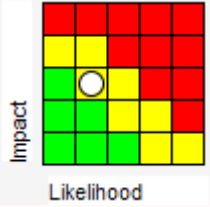
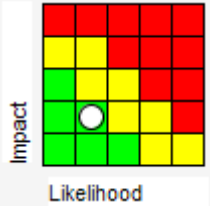
CRR 08 Emergency Planning and Business Continuity Planning

Risk Code	Risk Title	Description		Status	
CRR 08	Emergency Planning and Business Continuity Planning	Failure to produce effective, comprehensive and tested plan.			
Consequences		Failure in continuity of service delivery. Negative impact on the most vulnerable on our communities. Damage to RDC reputation. Financial penalties and litigation			
Original Matrix		Original Rating Description			
		Original Impact	C Medium	Original Likelihood	3 Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	B Minor	Current Likelihood	2 Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	B Minor	Target Likelihood	2 Not Likely
Latest Progress				Last Review Date	SMT Lead
03-Feb-2014 Emergency planning arrangements in place and tested. Training undertaken for all staff. Comprehensive Business Continuity Planning in place and fully tested.				03-Feb-2014	Paul Cresswell


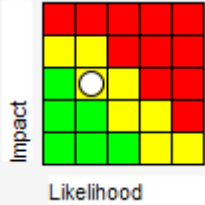
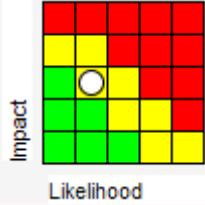
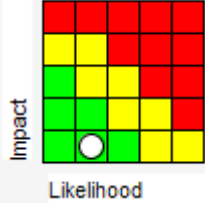
CRR 09 Failure to influence the proposed changes to the national framework for the resourcing of Ryedale District Council.

Risk Code	Risk Title	Description		Status	
CRR 09	Failure to influence the proposed changes to the national framework for the resourcing of Ryedale District Council.	Failure to influence the proposed changes to the national framework for the resourcing of Ryedale District Council.			
Consequences		Adverse financial effect on the Council which could impact on Ryedale residents or require cuts to RDC services.			
Original Matrix		Original Rating Description			
		Original Impact	D	Original Likelihood	3
			Major		Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	D	Current Likelihood	2
			Major		Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	D	Target Likelihood	1
			Major		Very Low
Latest Progress				Last Review Date	SMT Lead
03-Feb-2014 Significant changes are being proposed by the government to materially affect the financing and responsibilities of RDC. Officers working at national level through relevant treasurer societies and networks. Joint discussions underway within North Yorkshire and the region. Responses submitted to all relevant consultations.				03-Feb-2014	Paul Cresswell


CRR 11 Council Assets

Risk Code	Risk Title	Description	Status
CRR 11	Council Assets	Ensure the Council has proper plan to ensure maintenance and fitness for purpose of the Council assets	
Consequences			
Original Matrix		Original Rating Description	
	Original Impact	C	4
		Medium	Original Likelihood
Current Risk Matrix		Current Rating Description	
	Current Impact	C	2
		Medium	Current Likelihood
Target Risk Matrix		Target Rating Description	
	Target Impact	B	2
		Minor	Target Likelihood
Latest Progress			Last Review Date
03-Feb-2014 Multi disciplinary Asset Management Group is working effectively			03-Feb-2014
			SMT Lead
			Phil Long


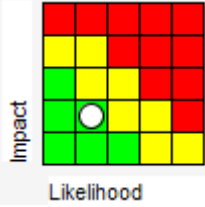
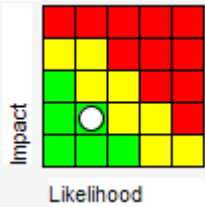
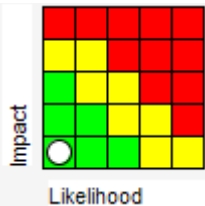
CRR 12 Customer Expectations

Risk Code	Risk Title	Description		Status	
CRR 12	Customer Expectations	Failure to meet customer service standards and meet customer expectations.			
Consequences		Include CR02			
Original Matrix		Original Rating Description			
		Original Impact	C	Original Likelihood	2
			Medium		Not Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	C	Current Likelihood	2
			Medium		Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	A	Target Likelihood	2
			Low		Not Likely
Latest Progress				Last Review Date	SMT Lead
03-Feb-2014 Managing customer expectations through media and communications in relation to funding challenges facing the public sector.				03-Feb-2014	Paul Cresswell


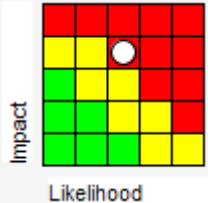
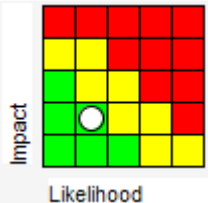
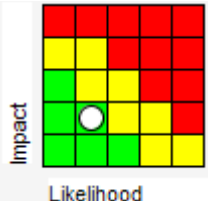
CRR 13 Fraud and Corruption


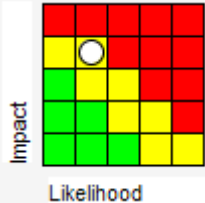
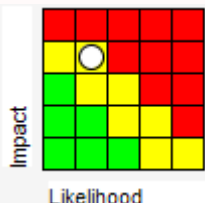
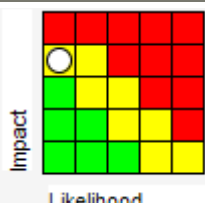
Risk Code	Risk Title	Description			Status
CRR 13	Fraud and Corruption	Failure to ensure Council has proper procedures and policies for the prevention and detection of fraud.			
Consequences		Financial loss to the Council, damage to our reputation and credibility			
Original Matrix		Original Rating Description			
		Original Impact	B	Original Likelihood	2
			Minor		Not Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	A	Current Likelihood	1
			Low		Very Low
Target Risk Matrix		Target Rating Description			
		Target Impact	A	Target Likelihood	1
			Low		Very Low
Latest Progress				Last Review Date	SMT Lead
03-Feb-2014 Arrangement with Veritau working well to deliver fraud and corruption service for Housing Benefit, currency of fraud and corruption policy maintained and training provided to managers				03-Feb-2014	Paul Cresswell

CRR 14 Data Quality


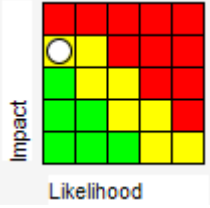
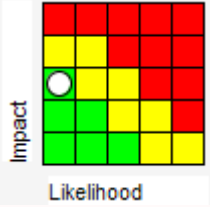
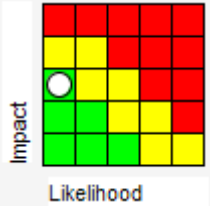
Risk Code	Risk Title	Description			Status	
CRR 14	Data Quality	The Council recognises the importance of data quality as we need reliable, accurate and timely performance information with which to manage services, inform users and account for our performance				
Consequences						
Original Matrix			Original Rating Description			
			Original Impact	B	Original Likelihood	2
				Minor		Not Likely
Current Risk Matrix			Current Rating Description			
			Current Impact	B	Current Likelihood	2
				Minor		Not Likely
Target Risk Matrix			Target Rating Description			
			Target Impact	A	Target Likelihood	1
				Low		Very Low
Latest Progress					Last Review Date	SMT Lead
03-Feb-2014 Data Quality Strategy in place and publicised to all staff. Audit of Data Quality undertaken with positive outcome					03-Feb-2014	Clare Slater

CRR 15 Delivering Efficiencies

Risk Code	Risk Title	Description			Status
CRR 15	Delivering Efficiencies	Council fails to meet efficiency targets which necessitates cuts to other services			
Consequences		Cuts to frontline services, reputational damage to the Council, possible poor outcome of external inspection.			
Original Matrix		Original Rating Description			
		Original Impact	D	Original Likelihood	3
			Major		Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	B	Current Likelihood	2
			Minor		Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	B	Target Likelihood	2
			Minor		Not Likely
Latest Progress				Last Review Date	SMT Lead
03-Feb-2014 Successful delivery of One-11 programme achieving savings of over £1 million. Going for Gold programme underway with significant efficiencies achieved to contribute to the target saving of £700k. Round 3 delivered £721k. A balanced budget agreed for 2014/15 incorporating further £170k efficiencies.				03-Feb-2014	Paul Cresswell

Risk Code	Risk Title	Description			Status
CRR 16	Data Protection	To ensure the Council meets all of its statutory obligations relating to the protection of personal and confidential data.			
Consequences		Legal action resulting in large fines (£100k-£500k). Reputational damage and adverse publicity.			
Original Matrix		Original Rating Description			
		Original Impact	D	Original Likelihood	2
			Major		Not Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	D	Current Likelihood	2
			Major		Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	D	Target Likelihood	1
			Major		Very Low
Latest Progress				Last Review Date	SMT Lead
03-Feb-2014 A range of data protection policies are available to all staff on the intranet, in addition to regular updates based on current cases. Positive response to internal audit and training for all staff being undertaken				03-Feb-2014	Phil Long

CRR 17 Regulation and Investigatory Powers Act

Risk Code	Risk Title	Description	Status
CRR 17	Regulation and Investigatory Powers Act	That the Council does not meet the requirements of legislation including the RIPA 2000 and the Protection of Freedoms Act 2012	
Consequences		That the Council is found to be in breach of the legislation.	
Original Matrix		Original Rating Description	
	Original Impact	D	1
		Major	Original Likelihood
Current Risk Matrix		Current Rating Description	
	Current Impact	C	1
		Medium	Current Likelihood
Target Risk Matrix		Target Rating Description	
	Target Impact	C	1
		Medium	Target Likelihood
Latest Progress			Last Review Date
03-Feb-2014 The Council has policies and procedures in place to enable it to meet the requirements of the legislation. The Council has not had any cases reported to the Information Commissioner. Training undertaken with all managers in line with regulations.			03-Feb-2014
			SMT Lead
			Paul Cresswell

















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Council Plan 2013-17 Key Indicators

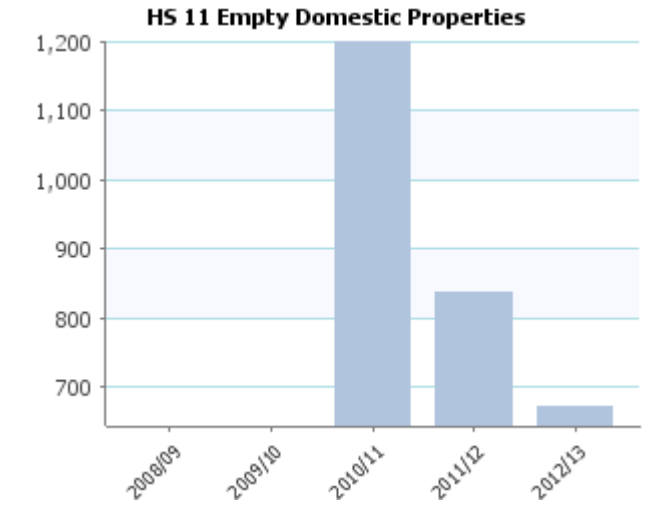
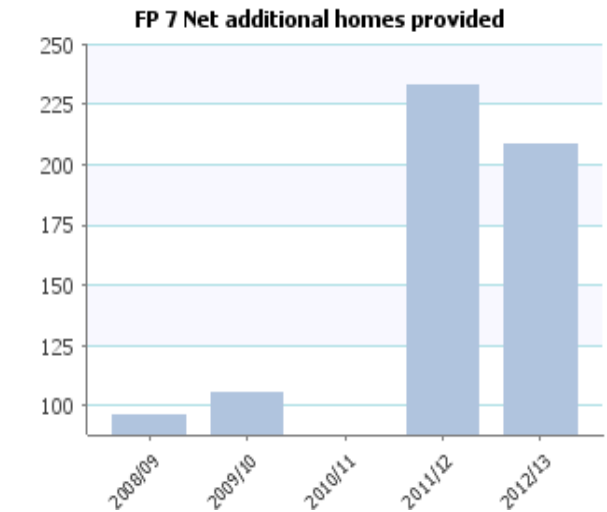
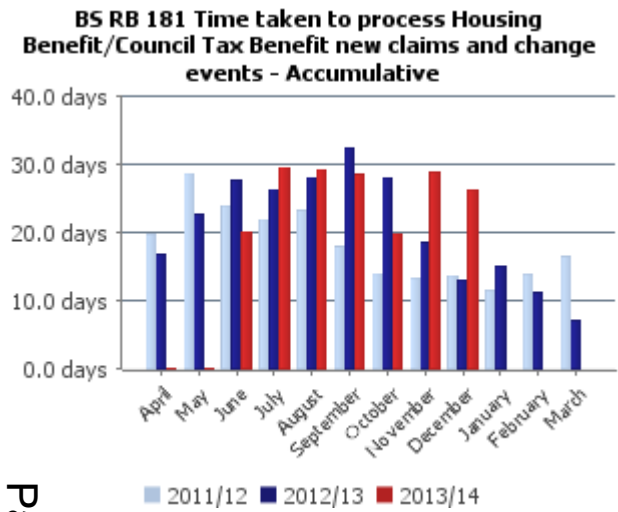
Policy & Resources Committee – 6 February 2014

1. Housing Need											
	BS RB 181	FP 7	FP 8	HS 1	HS 2	HS 5	HS 8	HS 11	HS 14	HS 17	HS 10
2. Economic Success											
	EC 10	EC 12c	EC 12d	EC 40	EC 12a	EC 12b	EC 13a	EC 13b			
3. High Quality Environment											
	DM 2	HE 13	SS 16	SS 17	SS 35	SS 36	SS 192	SS 15	DM 157a	DM 157b	DM 157c
4. Active Safe Communities											
	EC 77	HE 10									
5. Transforming the Council											
	BS AS 3	BS RB 12	DS 2d	BS RB 11	HR A 01 R	BS AS 1 RDC	BS MD 1				

1. Housing Need					
	BS RB 181	Time taken to process Housing Benefit/Council Tax Benefit new claims and change events - Accumulative			
Current Value	19.7 days	January 2014	Current Target	26.2 days	Target is reviewed quarterly following analysis of benchmarking data and performance trends
Changes to the design of the benefits service are being implemented and have resulted in an improvement of 20 days in July and August in the speed of processing of new claims received. It is hoped that as further changes are made to the service that improved performance levels can be maintained. The team are currently assessing new claims received in the third week of December 2013 and changes of circumstances received in the first week of November 2013.					
	FP 7	Net additional homes provided			
Current Value	208	2012/13	Current Target	200	Target set according to http://extranet.ryedale.gov.uk/PDF/Background%20Paper%20-%20Population%20and%20Housing.pdf page 6, para 2.2.3
Annual return to be calculated at the end of March 2014. Officers are considering the reporting of FP7 and FP8 on a quarterly basis in order to maintain up to date figures for additional homes provided throughout the year together with a rolling figure for the Council's five year housing supply.					



	FP 8	Supply of deliverable housing sites			
Current Value	96.9%	2012/13	Current Target	100.0%	See Annual Monitoring Statement and Strategic Housing Land Availability Assessments. Target five year housing supply= 100%
Annual return to be calculated at the end of March 2014					
	HS 1	Homeless applications on which RDC makes decision and issues notification to the applicant within 33 working days (was LPI 70)			
Current Value	100.0%	Q3 2013/14	Current Target	100.0%	Target is to decide on all applications within 33 days
6 applications were made within the period of which 6 decisions were made = 100%					
	HS 2	Length of stay in temporary accommodation (B&B, weeks) Snapshot			
Current Value	0.00 weeks	Q3 2013/14	Current Target	4.00 weeks	Target: National maximum allowable is 6 weeks. Local target of 4 weeks
0 households were accommodated between 1st Oct to 31st December 2013.					
	HS 5	Number of Homeless Applications			
Current Value	6	Q3 2013/14	Current Target	11	Total number of applications for 2011/12 = 52
Between 1st October 2013 and 31st December 2013 6 homeless applications were taken.					
	HS 8	Prevention of Homelessness through Advice and Proactive Intervention (values and targets are per quarter, not accumulative)			
Current Value	301	2012/13	Current Target	154	Target is to achieve 10% improvement in numbers of preventions year on year
From 1st April to 30th June 2013 there were 82 homeless preventions. (This includes 14 partner preventions).					
	HS 11	Empty Domestic Properties			
Current Value	671	2012/13	Current Target	837	Target is to improve on previous years performance
Annual figure of 671 as at 31st March 2013, with 360 being empty for more than 6 months.					
	HS 14	Affordability Ratio			
Current Value	8.03	2012/13	Current Target	8.59	Target is to improve on previous years performance
	HS 17	Number of affordable homes delivered (gross)			
Current Value	94	2012/13	Current Target	75	35% of market housing target would result in 70 affordable homes arising from 200 net additional homes.
From 1st April - 31st March 2013 the total number of affordable units provided was 94.					



	HS 10	% Households in Ryedale in Fuel Poverty			
Current Value	26%	2011/12	Current Target	25.4%	Target is to improve on previous years performance
Overview and Scrutiny Committee are currently undertaking a review of fuel poverty in Ryedale.					







Page 19



Economic Success



	EC 10	Total Job Seeker Allowance Claimants Aged 16 - 64			
Current Value	1.6%	November 2013	Current Target	3.8%	Target is Yorkshire and Humber average
The current value for North Yorkshire is 1.9%					



	EC 12c	% Ryedale population qualified - NVQ3 or equivalent			
Current Value	51.7%	2012/13	Current Target	50.88%	Target is North Yorkshire Average
Ryedale performance is above the North Yorkshire average for this level of qualification.					

	EC 12d	% Ryedale population qualified - NVQ4 or equivalent			
Current Value	35.6%	2012/13	Current Target	30.96%	Target is North Yorkshire Average
Ryedale performance is well above the North Yorkshire average for this level of qualification.					

	EC 40	Employment Rate			
Current Value	74.7	2012/13	Current Target	73.2	Target is to improve on previous years performance
The employment rate is being maintained at a level above that achieved in 2012/13.					

	EC 12a	% Ryedale population qualified - NVQ1 or equivalent			
Current Value	78.1%	2012/13	Current Target	81.9%	Target is North Yorkshire Average
Ryedale has the lowest population qualified to NVQ level 1 or above in North Yorkshire and is the third lowest in Yorkshire and the Humber. Young people achieve level 1 and 2 NVQ's in order to improve their career prospects. The council has targeted resources through various apprenticeships. This level is a stepping stone to future learning opportunities.					

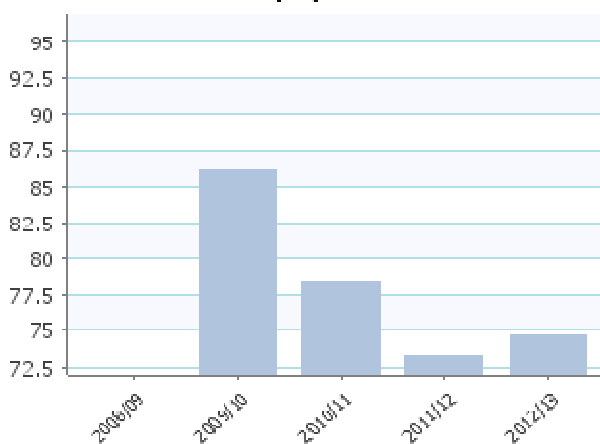
	EC 12b	% Ryedale population qualified - NVQ2 or equivalent			
Current Value	68.2%	2012/13	Current Target	68.72%	Target is North Yorkshire Average
Ryedale has the lowest of population qualified to NVQ level 1 or above in North Yorkshire. Young people achieve level 1 and 2 NVQ's in order to improve their career prospects. The council has targeted resources through various apprenticeships. This level is a stepping stone to future learning opportunities.					

	EC 13a	Gross weekly earnings by workplace			
Current Value	£395.70	2012/13	Current Target	£430.00	Target is North Yorkshire Average
Ryedale has the lowest level of earnings by workplace in Yorkshire and the Humber					

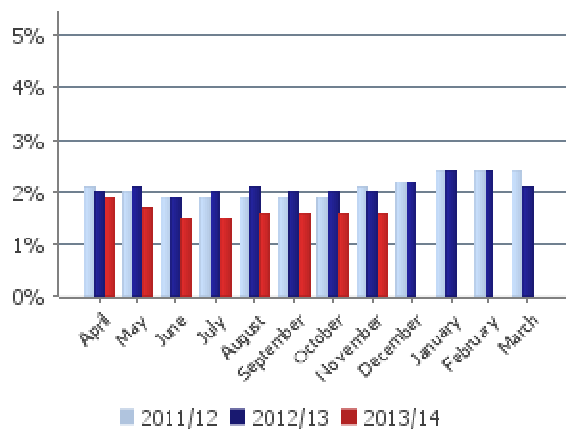
	EC 13b	Gross weekly earnings by residency			
Current Value	£399.70	2012/13	Current Target	£430.00	Target is North Yorkshire Average
Ryedale has the lowest level of earnings by residency in Yorkshire and the Humber					

Page 130















EC 40 Employment Rate





EC 10 Total Job Seeker Allowance Claimants Aged 16 - 64







3. High Quality Environment

	DM 2	Planning appeals allowed			
Current Value	25.0%	Q3 2013/14	Current Target	33.0%	Target based on national averages and benchmarking
The national performance level is consistently in line with the target figure of 33%, performance for Ryedale has varied because of the relatively low number of appeals received. However the current level of appeals allowed is well below the target.					
	HE 13	% of Food establishments in the area broadly compliant with food hygiene law			
Current Value	75%	2012/13	Current Target	72%	Target is to improve on previous year. Assessments of premises undertaken using risk based scoring and national guidance. 17% of premises are low risk and not accessed and by default not compliant under the national definition for this indicator.
17% of premises are low risk and not assessed and by default not compliant under the national definition for this indicator.					
	SS 16	% of Household Waste Composted			
Current Value	33.30%	2012/13	Current Target	30.00%	Target set following analysis of previous performance levels
Performance continues to be above target.					
	SS 17	Household Waste Collection - % change in kilograms per head			
Current Value	-5.19%	2012/13	Current Target	0.25%	Target is to improve on previous years change
Residual waste going to landfill continues to reduce, year on year.					
	SS 35	% CO2 reduction from LA operations.			
Current Value	-6.0%	2011/12	Current Target	-3.0%	Target set for three years, based on national guidance. To be reviewed following analysis of performance to date
Investment made in energy efficiency measures continues to result in improvements in levels of CO2.					
	SS 36	Tonnes of CO2 from LA operations			
Current Value	1,697	2011/12	Current Target	1,750	Target set for three years, based on national guidance. To be reviewed following analysis of performance to date
See above					
	SS 192	% of household waste sent for reuse, recycling and composting			
Current Value	51.97%	2012/13	Current Target	49.70%	National target to achieve 50% by 2020
Performance continues to be above target and national average					

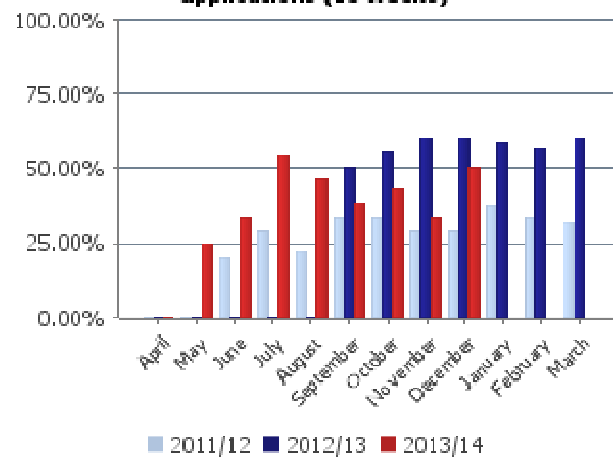
	SS 15	% of Household Waste Recycled				
Current Value	18.67%	2012/13	Current Target	20.00%	Target set following analysis of previous performance levels	
Performance continues to improve slightly but priority is now to maintain this level of performance						

	DM 157a	Processing of planning applications: Major applications (13 weeks)				
Current Value	50.00%	December 2013	Current Target	70.00%	Targets originally set under Planning Delivery Grant regime	
By definition these applications are complex, often requiring for example legal agreements such as Section 106. These applications represent 4% of the total number received. Whilst well below target, performance is improving. Additional resources are being utilised to ensure improvements in performance are maintained						

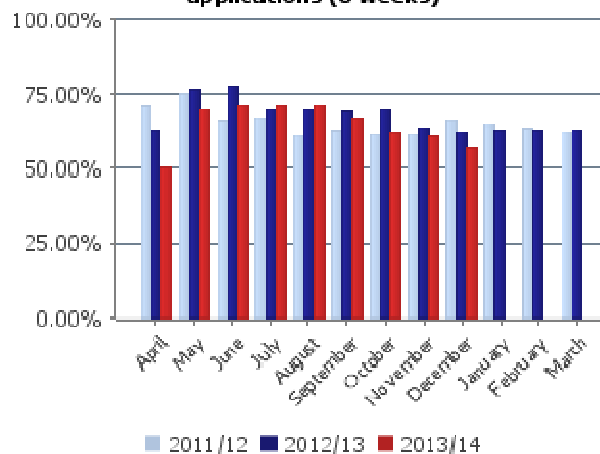
	DM 157b	Processing of planning applications: Minor applications (8 weeks)				
Current Value	56.88%	December 2013	Current Target	80.00%	Targets originally set under Planning Delivery Grant regime	
Performance has been improving for some months and this Trend is continuing. Customer satisfaction has increased on previous years. Additional resources are being utilised to ensure improvements in performance are maintained						

	DM 157c	Processing of planning applications: Other applications (8 weeks)				
Current Value	78.29%	December 2013	Current Target	93.00%	Targets originally set under Planning Delivery Grant regime	
Performance has been improving for some months and this Trend is continuing. Customer satisfaction has increased on previous years Delegated decisions are currently made on 88% of decisions against a target of 90%. Additional resources are being utilised to ensure improvements in performance are maintained						

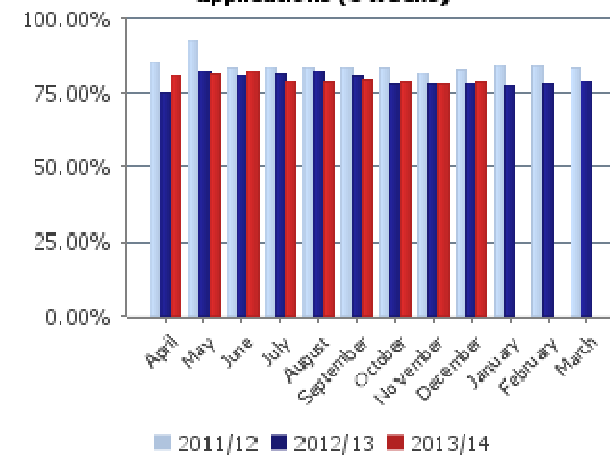
DM 157a Processing of planning applications: Major applications (13 weeks)





DM 157b Processing of planning applications: Minor applications (8 weeks)





DM 157c Processing of planning applications: Other applications (8 weeks)



4. Active Safe Communities

	EC 77	Total Crime in Ryedale			
Current Value	1,860	December 2013	Current Target		Target to see a reduction over same month from previous year.
In the year ending 30 June 2013, the crime rate in Ryedale was lower than average for the North Yorkshire force area, and had the lowest crime rate in North Yorkshire and compared to Ryedale's Family Group of authorities. Calculation method changed from April 2013.					

	HE 10	Adult participation in sport and active recreation. Sport England Active People Survey-Annual			
Current Value	22.3%	2012/13	Current Target	22.6%	Target is to improve on previous years performance
Performance overall remains consistent with UK average - NB men substantially lower and women substantially higher					



5. To Transform the Council



	BS AS 3	Payments made using electronic channels			
Current Value	90%	October 2013	Current Target	85%	Target is set to maintain performance
Electronic channels include web, telephone and Direct Debit.					



	DS 2d	Percentage turnout for elections - District			
Current Value	46.54%	2011/12	Current Target	43.06%	Target is to improve on previous election turnout
05.13 Ryedale South West By-election: 38.39% Pickering East By-election: 36.34% http://www.ryedale.gov.uk/news_and_press_releases/local_elections.aspx					

	BS RB 12	% of Non-domestic Rates Collected			
Current Value	88.69%	December 2013	Current Target	89.20%	Target is set to maintain performance achieved same month previous year
Collection rates are in line with the previous years performance					

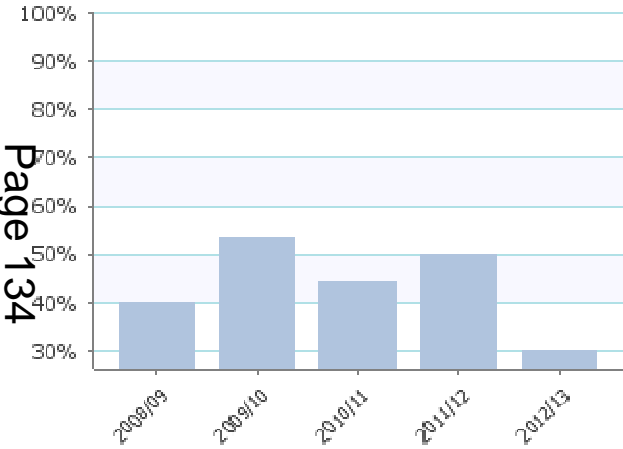
	BS RB 11	% of Council Tax collected			
Current Value	86.56%	December 2013	Current Target	86.88%	Target is set to maintain performance achieved same month previous year
Collection rates are in line with the previous years performance					

	HR A 01 R	Number of Working Days Lost Due to Sickness Absence (result is projected until Year End actual) Average based on FTE, no partnerships			
Current Value	8.19 days	March 2013	Current Target	7.50 days	Target is North Yorkshire average for 2009

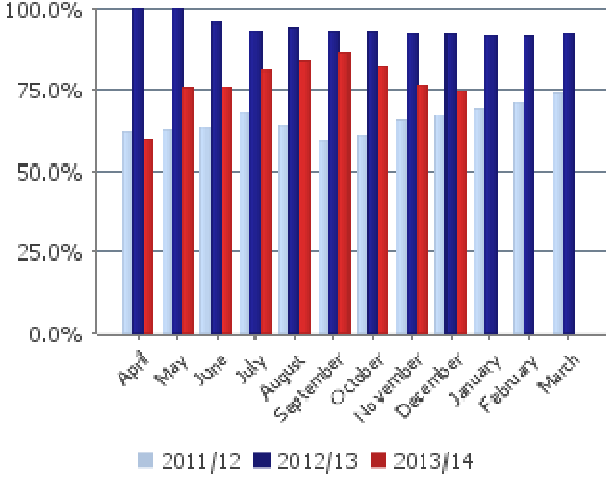
	BS AS 1 RDC	Service enquiries resolved at first point of contact (telephone)				
Current Value	30%	2012/13	Current Target	40%	Target is for year on year improvement	
As more customers take advantage of electronic payment channels, the nature of the remaining enquiries can be complex in nature and will impact on this indicator.						

	BS MD 1	Standard searches done in 5 working days				
Current Value	74.7%	December 2013	Current Target	90.0%	Target is set to maintain performance	
Late responses from NYCC have had an impact on performance levels						

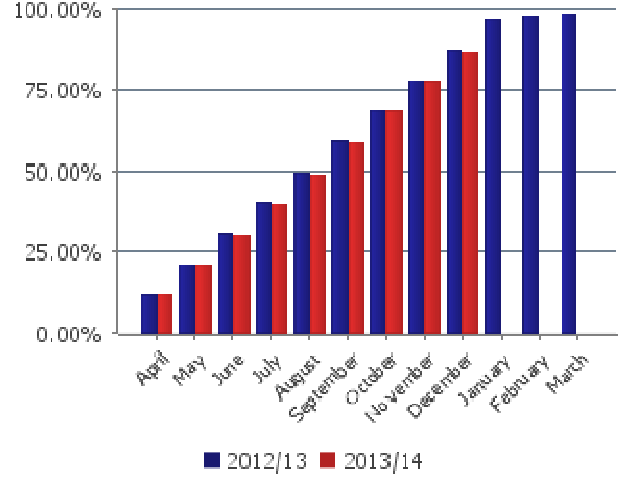
BS AS 1 RDC Service enquiries resolved at first point of contact (telephone)



BS MD 1 Standard searches done in 5 working days



BS RB 11 % of Council Tax collected



Scrutiny Review

Member Roles on Outside Bodies and as Member Champions

RYEDALE
DISTRICT
COUNCIL



DRAFT

Overview and Scrutiny Committee
February 2014

Executive Summary

This Report sets out the results of a review of Member roles on outside bodies and as Member Champions. This review has been carried out by Ryedale District Council's Overview and Scrutiny Committee.

The aim of the review was to make best use of the Council's resources such as member time and expertise in relation to representation of the Council on outside bodies and as member champions, and best use of the Council's corporate systems.

The Committee made the following recommendations:

Recommendations to Management Team

- (i) That a named Council officer contact be provided for each outside body, where appropriate, to support Member representatives;
- (ii) That details of remit, frequency, timing and venue of meetings and links to outside bodies' websites be published on the Council's website via the modern.gov committee management system;

Recommendations to the Member Development Task Group

- (iii) That training needs relating to outside bodies be investigated and a generic role description be developed;
- (iv) That consideration be given to including a briefing to all Members on the modern.gov committee management system in the Member Development Programme;

Recommendations to the Policy and Resources Committee, and then on to Full Council

- (v) That the following outside bodies be removed from the list: Supporting People NY Joint Committee (agreed at Annual Council on 16 May 2013), Endowment Governors Charity called Malton School, LG Yorkshire & Humber Elected Members Cohesion Group (agreed at Annual Council on 16 May 2013), Rural Action Yorkshire (formerly YRCC);
- (vi) That substitute representatives be appointed for outside bodies, where their governance arrangements permit, and that it be the nominated representatives responsibility to notify the substitute if they are unable to attend a meeting of the outside body;
- (vii) That a précis from Member representatives on outside bodies be published on the website following each meeting, subject to the approval of the outside bodies, to ensure feedback of key decisions and discussions relevant to the Council is available, and including their attendance record;

- (viii) That appointments to outside bodies be for four year terms, from 2015 onwards to coincide with the District elections, subject to an annual review to address any attendance issues;
- (ix) That attendance on outside bodies be reviewed annually by the Overview and Scrutiny Committee, at its meeting in February, as part of its role reviewing and scrutinising performance, based on the attendance details in the précis and prior to consideration at Annual Council, and that recommendations be made on appointments to Annual Council;
- (x) That nominations of representatives to outside bodies should be recommended to Council based on their skills and expertise, in addition to attendance records, and that Members be asked to provide an oral statement of this upon nomination. That Members of Council should consider the recommendation made by the Overview and Scrutiny Committee to inform the vote at Annual Council;
- (xi) That the Independent Remuneration Panel be requested to review allowances payable to representatives on outside bodies, where a payment is currently made;
- (xii)
 - (a) That subject to the exceptions in sub paragraph (b) below , all Members note that any representative on an outside body cannot be involved in any financial or regulatory decision taken by the Council that relates to that body. They can make representations, either through the public speaking opportunity for a relevant application at Planning Committee, or for other committees and Full Council by addressing the meeting at the chairman's discretion;
 - (b) The exceptions where Members may participate and vote are the setting of council tax or a precept under the Local Government Finance Act 1992 or where a dispensation has been granted.
- (xiii) That the Member Champion role be abolished.

The Task Group wishes to thank all those who gave their time in contributing to this review.

CONTENTS

Scope of the review	5
Membership of the committee	6
Methodology	6
Findings	7
Recommendations	7
Annexes	10

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Scope of the review

The terms of reference for the Review were agreed at the Overview and Scrutiny Committee on the 13th December 2012 and revised on 21st February 2013. (See Annex A)

The aim of the review was to make best use of the Council's corporate systems and of the Council's resources such as member and officer time and expertise in relation to representation of the Council on outside bodies and as member champions.

The scope of the review covered the following:

- To review the list of outside bodies and consider if representation on all of them is beneficial to the Council and Ryedale.
- To find out more about the outside bodies on which RDC is represented including remit of body, frequency, timing and venue of meetings, any trustee role and requirements, phone and email contacts, and websites.
- To explore the use of the modern.gov. committee management system to best effect to provide more information about outside bodies to both members and the public.
- To consider any conflicts of interest for Members by sitting on outside bodies where they undertake a trustee role and how this may impact on members decisions making role within the Council.
- To consider paperless ways of making more information about the activities of outside bodies accessible to Members.
- To understand the role of member champions and review the themes of these.
- To estimate the cost of representation on outside bodies to the Council and identify the value this may add for the Council and Ryedale.
- To investigate how we can ensure Members appropriate skills are used when assigning Members to organisations.
- To consider whether appointments to outside bodies should be political.
- To prepare a recommendation for Council on if and when these appointments should be political.
- To consider how many organisations receive regular Council funding and how many of these do not have either Member or officer Council representation on them.

Membership of the committee

Current : P J Andrews, SL Collinson, D E Cussons, Mrs J Frank, G E Hawkins, J R Raper, L Richardson, Mrs E L Shields (Vice Chairman), C R Wainwright (Chairman)

Previous: Mrs A D Hopkinson, S Ward, J Windress

Meeting dates of the Scrutiny Review Task Group:

- 23/01/13 Considered list of outside bodies and representatives for 2012/13, feedback from Members on their roles on outside bodies (gathered Feb-March 2012), list of Member Champions for 2012/13 and the Member Champion role description.
- 06/03/13 Considered information received to date from Members who are representatives on outside bodies, information received to date from Members who are Member Champions, information received to date from outside bodies, information from North Yorkshire District Councils on Member Champions, details of funding given to external organisations and details of expenses claimed by representatives on outside bodies.
- 16/04/13 Considered summary and detailed information received from Members who are representatives on outside bodies, summary and detailed information received from Members who are Member Champions, summary and detailed information received from outside bodies, and list of responses and non-responses.
- 21/05/13 Considered advice on declarations of interest in relation to outside bodies, and in particular trustee roles, from the Monitoring Officer.
- 16/07/13 Considered draft report and recommendations.
- 21/01/14 Reviewed draft report and recommendations as requested by the Policy and Resources Committee, considering comments from Members and additional information from outside bodies.

Scrutiny Review Task Group supporting officers:

Simon Copley (Democratic Services Manager)
Jane Robinson (Transformation Officer)
Justine Coates (Business Improvement Officer)
Will Baines (Business Improvement Officer)
Anthony Winship (Council Solicitor)
Paul Cresswell (Corporate Director (s151))

Methodology

The Committee/Task Group approached the review through:

- An audit of current representation on outside bodies, roles of members and requirements of those bodies.
- A review of feedback from current member champions and representatives on outside bodies to inform future representation by members of the Council. To clarify the role and expectations of members understanding of their role as a trustee.
- A review of the member champion job description
- A review of the role officers should play in supporting members in their role as representative, trustee or member champion.

Findings

The research undertaken highlighted the following key findings:

Member Champions

- A third of the Member Champions did not respond to the survey.
- Half of the Member Champions who responded were not aware of the role description.
- The role of Member Champions is not clearly defined in the Constitution of the Council.
- There is no framework in place to facilitate how Council officers should work with the Member Champions.
- All Member Champions who responded felt they had the skills and expertise to fulfil the role, however, some felt there was a need for additional training.
- There is no formal mechanism of reporting to Council about the Member Champion role and the progress being made in that role.
- The Member Champion role has no decision making powers.
- There was the potential risk of the Member Champion role straying into either an officer role or a Member decision making role which did not exist.
- Half of the Member Champions who responded did not feel their expectations of the role were being met.
- The perception of the group carrying out the review was that there was limited demonstrable value added by the Member Champion role and no subjective way of measuring this.
- Selby and Hambleton District Councils have recently removed their Member Champion roles, Richmondshire District Council does not have a Member Champion role and Harrogate Borough Council has sought to limit the number of Member Champions because of the difficulty in representing the Council when having no decision making powers.

Recommendations

That the Member Champion role be abolished.

Representation on Outside Bodies

- The majority of members representing the Council on outside bodies have voting rights (70%)
- 14% of members felt that their expectations of the role were not being met, citing the following reasons:
 - § The Council could do more to support the organisation concerned
 - § Meetings not always relevant
 - § No voting rights
 - § Limited discussions
 - § No meetings held
 - § Some meetings are a waste of time.
- There is no standard process for feeding back to Council.
- The majority of members did feel it was important for these outside bodies to have a Council representative.

- In 74% of cases there was no named substitute, and 71% thought there should be and that these substitutes should be named rather than taken from a pool of substitutes.
- Of the 27 out of 50 outside bodies who responded to the request for further information, 48% had governance arrangements which allowed for substitutes and 22% did not.
- Overwhelmingly, Members thought that the appointment of representatives should be based on skills and expertise.
- All Members felt they had the skills and expertise to fulfil the role, however, some felt there was a need for additional training.
- Nearly 60% of Members received officer support in their role, and only one member felt that the support could be improved.
- The majority of representatives did attend meetings on a regular basis.
- Minutes are produced for the majority of meetings although only half of these are made public.
- Only 2 of the outside bodies paid expenses to the representatives, the remaining representative's expenses being met by the Council.
- Representatives on three outside bodies (Local Government York & North Yorkshire, the LGA General Assembly and the LGA Rural Commission) receive an allowance of £29.50 per month under the Members' Allowances Scheme.
- Information regarding the outside bodies is not easily available and Members expressed interest in the work being undertaken to make more information available through the modern.gov committee management system.
- Of the 27 out of 50 outside bodies who responded to the request for further information, 30% confirmed that representation from the Council was a statutory requirement for their organisation and 67% that it was not. Of those where there was no statutory requirement, 32% stated that they no longer required Council representation.
- That some outside bodies could be removed from the list in the light of feedback received.
- That longer terms of appointment to outside bodies would allow representatives to build up a more detailed knowledge of the organisations and provide greater continuity.
- Members felt that because of their role, they were often excluded from Council meetings where a decision was being made about the organisation they are a representative on, and the skills, knowledge and expertise in that area were not available to support other members in their decision making.

Recommendations

That a named Council officer contact be provided for each outside body, where appropriate, to support Member representatives;

That details of remit, frequency, timing and venue of meetings and links to outside bodies' websites be published on the Council's website via the modern.gov committee management system;

That training needs relating to outside bodies be investigated and a generic role description be developed;

That consideration be given to including a briefing to all Members on the modern.gov committee management system in the Member Development Programme;

That the following outside bodies be removed from the list: Supporting People NY Joint Committee (agreed at Annual Council on 16 May 2013), Endowment Governors Charity called Malton School, LG Yorkshire & Humber Elected Members Cohesion Group (agreed at Annual Council on 16 May 2013), Rural Action Yorkshire (formerly YRCC);

That substitute representatives be appointed for outside bodies, where their governance arrangements permit, and that it be the nominated representatives responsibility to notify the substitute;

That a précis from Member representatives on outside bodies be published on the website following each meeting, subject to the approval of the outside bodies, to ensure feedback of key decisions and discussions relevant to the Council is available, and including their attendance record;

That appointments to outside bodies be for four year terms, from 2015 onwards to coincide with the District elections, subject to a review mid term to address any attendance issues;

That attendance on outside bodies be reviewed annually by the Overview and Scrutiny Committee, at its meeting in February, as part of its role reviewing and scrutinising performance, based on the attendance details in the précis and prior to consideration at Annual Council, and that recommendations be made on appointments to Annual Council;

That nominations of representatives to outside bodies should be recommended to Council based on their skills and expertise, in addition to attendance records, and that Members be asked to provide an oral statement of this upon nomination. That Members of Council should consider the recommendation made by the Overview and Scrutiny Committee to inform the vote at Annual Council;

That the Independent Remuneration Panel be requested to review allowances payable to representatives on outside bodies, where a payment is currently made;

- (a) That subject to the exceptions in sub paragraph (b) below , all Members note that any representative on an outside body cannot be involved in any financial or regulatory decision taken by the Council that relates to that body. They can make representations, either through the public speaking opportunity for a relevant application at Planning Committee, or for other committees and Full Council by addressing the meeting at the chairman's discretion;
- (b) The exceptions where Members may participate and vote are the setting of council tax or a precept under the Local Government Finance Act 1992 or where a dispensation has been granted.

Annexes

Annex A - Terms of Reference

Annex B – Summary of information from outside bodies

Annex C – Summary of information from Member representatives on outside bodies

Annex D – Summary of information from Member Champions

Annex E – Declaring Interests Flowchart

Annex F – Summary of additional information from outside bodies

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Terms of Reference for a Scrutiny Review of the Role of Members on Outside Bodies and as Member Champions

Aim of the Review	To make best use of the Council's corporate systems and of the Council's resources such as member and officer time and expertise in relation to representation of the Council on outside bodies and as member champions.
Scope of the Review	<p>That the scope for the review be as follows:</p> <ul style="list-style-type: none"> • To review the list of outside bodies and consider if representation on all of them is beneficial to the Council and Ryedale. • To find out more about the outside bodies on which RDC is represented including remit of body, frequency, timing and venue of meetings, any trustee role and requirements, phone and email contacts, and websites. • To explore the use of the modern.gov. committee management system to best effect to provide more information about outside bodies to both members and the public. • To consider any conflicts of interest for Members by sitting on outside bodies where they undertake a trustee role and how this may impact on members decisions making role within the Council. • To consider paperless ways of making more information about the activities of outside bodies accessible to Members. • To understand the role of member champions and review the themes of these. • To estimate the cost of representation on outside bodies to the Council and identify the value this may add for the Council and Ryedale. • To investigate how we can ensure Members appropriate skills are used when assigning Members to organisations. • To consider whether appointments to outside bodies should be political. • To prepare a recommendation for Council on if and when these appointments should be political. • To consider how many organisations receive regular Council funding and how many of these do not have either Member or officer Council representation on them.
Why has this review been selected?	<p>The topic of this review has been selected as a consequence of the work undertaken to review the role of the council in supporting a sustainable community and voluntary sector and follows on from one of the recommendations:</p> <p>'That a review be undertaken to define members roles as champions and board members of voluntary and community organisations'</p>
Who will carry out the review?	<p>The review will be carried out by a task group including:</p> <ul style="list-style-type: none"> • A minimum of 2 members of the O and S committee (but open to all members of O and S) • The Democratic Services Manager • The Council Solicitor • Support will be provided by members of the Business Improvement Team
How the review will be carried out?	<p>The task group will undertake the following activities:</p> <ul style="list-style-type: none"> • An audit of current representation on outside bodies, roles of members and requirements of those bodies. • A review of feedback from current member champions and

	<p>representatives on outside bodies to inform future representation by members of the Council. To clarify the role and expectations of members understanding of their role as a trustee.</p> <ul style="list-style-type: none"> • A review of the member champion job description • A review of the role officers should play in supporting members in their role as representative, trustee or member champion.
What are the expected outputs?	It is expected that the task group will produce a report, summarising the evidence they have gathered and containing specific recommendations for the council's policy committees and management team.
Timescale	It is anticipated that the group will conclude the outcomes of the review in April 2013. Progress reports will be submitted to the committee throughout the review.

Agenda Item 14

Ryedale District Council Representation on Outside Bodies / Organisations

1 Name of Organisation:

27 (100.0%)

4 Telephone:

27 (100.0%)

2 Name of Contact:

27 (100.0%)

5 Email:

27 (100.0%)

3 Address:

27 (100.0%)

6 Website:

23 (100.0%)

7 Please summarise the main aim / purpose of the organisation:

27 (100.0%)

8 Does the organisation receive financial support from the Council?

Yes	15 (57.7%)	No	11 (42.3%)
-----	------------	----	------------

9 Is this financial support:

Regular	14 (82.4%)	One off	3 (17.6%)
---------	------------	---------	-----------

10 In what form does financial support take:

Grant	8 (72.7%)	Subscription	3 (27.3%)
-------	-----------	--------------	-----------

Other, please specify:

10 (100.0%)

- 11 Please indicate the frequency of meetings:**
- | | | | | | |
|---------|-----------|-----------|-----------|-------------|-----------|
| Monthly | 8 (50.0%) | Quarterly | 5 (31.3%) | Half yearly | 3 (18.8%) |
|---------|-----------|-----------|-----------|-------------|-----------|
- Other, please specify:
17 (100.0%)
- 12 Timing of meetings e.g. morning, afternoon, evening, particular day etc:**
27 (100.0%)
- 13 Venue of meeting: (Building name & town/city)**
27 (100.0%)
- 14 Type of meeting:**
- | | | | |
|---------------|------------|--------------------|-----------|
| Board meeting | 15 (68.2%) | Consultation Group | 3 (13.6%) |
| Partnership | 3 (13.6%) | Working Group | 1 (4.5%) |
- Other, please specify:
10 (100.0%)
- 15 On average, how many people attend the meetings?**
27 (100.0%)
- 16 How many attendees are representatives of Ryedale District Council?**
- | | |
|------------------|-------------|
| Elected Members. | 27 (100.0%) |
| Officers | 17 (100.0%) |
- 17 Is the representative:**
- | | | | | | |
|-------------|-----------|-----------------|------------|-----------|-----------|
| an observer | 6 (22.2%) | a voting member | 19 (70.4%) | a trustee | 5 (18.5%) |
|-------------|-----------|-----------------|------------|-----------|-----------|
- 18 Why does your organisation have a representative from Ryedale District Council?**
27 (100.0%)

19 Does the representative attend the meetings?
Yes 23 (88.5%) No 3 (11.5%)

20 Do you pay the representative to attend meetings or reimburse their expenses?
Yes 2 (7.4%) No 25 (92.6%)

If Yes, please give details:
2 (100.0%)

21 Do you produce minutes of the meetings? are they public?
No 1 (4.0%) Yes, not public 12 (48.0%) Yes, public 12 (48.0%)

Thank you for taking the time to complete this survey.

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The Overview and Scrutiny Committee are currently undertaking a review of the role of Members on outside bodies / organisations and would appreciate you taking the time to complete this survey to inform their review. Please complete a survey FOR EACH organisation you are a representative on. Many thanks.

1 Your name :

28 (100.0%)

2 Organisation you are a representative for:

28 (100.0%)

3 What is your role:

observer 5 (18.5%) voting member 19 (70.4%) trustee 3 (11.1%)

4 What are your expectations of the role:

26 (100.0%)

5 Do you feel your expectations are being met?

Yes 24 (85.7%) No 4 (14.3%)

6 If not, why not?

7 (100.0%)

7 What benefits do you think the Council gains from this role?

28 (100.0%)

8 What benefits do you think the organisation gains from this role?

26 (100.0%)

9 Is there a 'named' substitute?

Yes 7 (25.9%) No 20 (74.1%)

- 10 Do you think there should be substitutes for outside bodies?**
 Yes 20 (71.4%) No 8 (28.6%)
- 11 If 'yes' :**
 Individual appointed named Members 15 (78.9%) Pool of substitutes 4 (21.1%)
- 12 Should appointments to outside bodies be based on skills and expertise rather than political?**
 Yes 24 (92.3%) No 2 (7.7%)
- 13 Do you feel you have the skills and expertise for the role?**
 Yes 27 (100.0%) No 0 (0.0%)
- 14 Do you feel you require any further training?**
 Yes 10 (38.5%) No 16 (61.5%)
- 15 Do you regularly attend?**
 Yes 24 (88.9%) No 3 (11.1%)
- 16 Can you commit the time required?**
 Yes 27 (100.0%) No 0 (0.0%)
- 17 How do you feedback information to the Council?**
 26 (100.0%)
- 18 What are the costs in travel for attending meetings of outside bodies?**
 28 (100.0%)
- 19 Do you receive an allowance from the Council for this role?**
 Yes 1 (3.7%) No 26 (96.3%)
- 20 If so, what is it for?**
 2 (100.0%)
- 21 Would the outside body cover the costs of this allowance / travel expenses?**
 Yes 1 (4.2%) No 23 (95.8%)
- 22 Do you receive any officer support for your role?**
 Yes 16 (59.3%) No 11 (40.7%)

23

If so, are there any changes that need to be made to this support?

15 (100.0%)

Thank you for taking the time to complete this survey.

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Agenda Item 14

The Overview and Scrutiny Committee are currently undertaking a review of the role of Members Champions and would appreciate you taking the time to complete this survey to inform their review. Many thanks.

1 Your name :

6 (100.0%)

2 Which member champion role do you hold?

6 (100.0%)

3 Are you aware of the role description?

Yes 3 (50.0%) No 3 (50.0%)

4 What are your expectations of the role:

6 (100.0%)

5 Do you feel your expectations are being met?

Yes 3 (60.0%) No 2 (40.0%)

6 If not, why not?

3 (100.0%)

7 Do you feel you have the skills and expertise for the role?

Yes 6 (100.0%) No 0 (0.0%)

8 Do you feel you require any further training?

Yes 2 (40.0%) No 3 (60.0%)

9 Can you commit the time required?

Yes 6 (100.0%) No 0 (0.0%)

10 How do you feedback information to the Council?

5 (100.0%)

Thank you for taking the time to complete this survey.

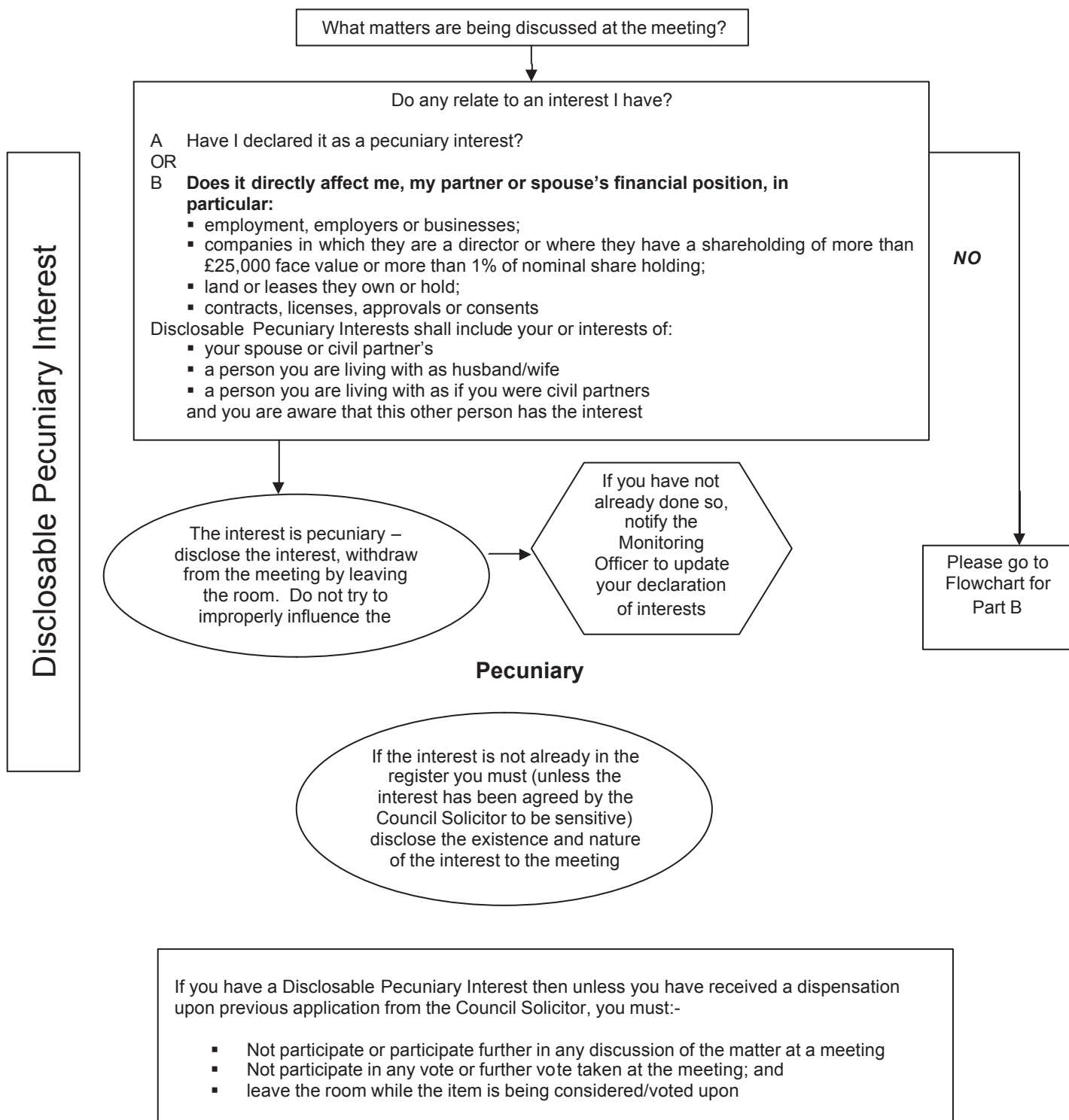
DECLARING INTERESTS FLOWCHART – QUESTIONS TO ASK YOURSELF

This Flowchart is in the following two parts:-

1. Part A Disclosable Pecuniary Interests
2. Part B Disclosable Other Personal Interests

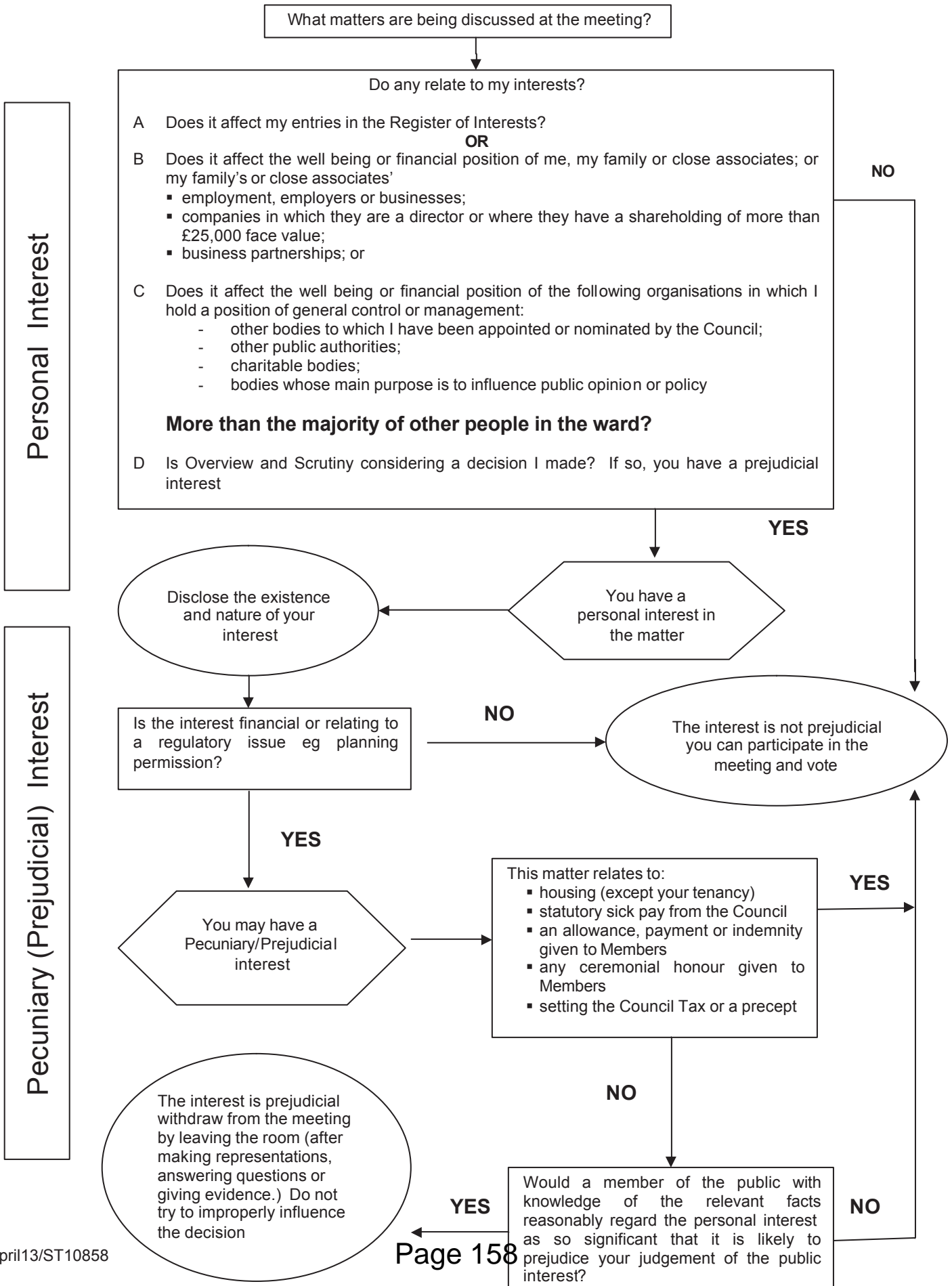
Please check both parts of the Flowchart to determine if you need to declare and leave a Committee or Council Meeting in relation to Council business

PART A – DISCLOSABLE PECUNIARY INTERESTS



DECLARING INTERESTS FLOWCHART – QUESTIONS TO ASK YOURSELF

PART B – DISCLOSABLE OTHER PERSONAL INTERESTS



**Ryedale District Council Representation on Outside Bodies
December 2013 - January 2014**

Name of Organisation	1. Is council representation statutory? Yes/No	1a) If Yes - Relevant legislation	1b) If No - Do you still require council representation?	2. Do your governance arrangements permit substitutes? Yes/No
North Yorkshire Police and Crime Panel	Y	The Police Reform and Social Responsibility Act 2011	-	N
Howardian Hills AONB	Y	Countryside Rights of Way Act (2000)	-	Y
Local Government Yorkshire and Humber	Y	Employers' Association (Section 122 Trade Union and Labour Relations Act 1992)	-	Y
Rye Internal Drainage Board	Y	Land Drainage Act 1991	-	No answer
Thornton Internal Drainage Board	Y	Land Drainage Act 1991	-	No answer
North York Moors National Park Authority	Y	Environment Act and subsequent statutory instruments	-	No answer
North Yorkshire Joint Procurement Committee	Y	Local Government Acts 1972 and 2000 (Section 26)	-	Y
Safer Ryedale	Y	Section 17 of the Crime and Disorder Act *	-	No answer
Pickering Area CAPC Group	No answer	-	Y	Y
Kirkbymoorside & Helmsley CAPC Group	N	-	N	"Not Applicable"
Malton East CAPC Group	N	-	N	Y
North Yorkshire Building Control	N	-	Y	Y
NYCC Scrutiny of Health Committee	N	-	Y	Y
Ryedale Cameras in Action	N	-	Y	Y
Ryedale Housing Forum	N	-	N "But very few attend when able to."	Y
Ryedale Sports Executive	N	-	N	"Organisation no longer in existence"
Yorkshire Energy Partnership	N	-	Y	No answer
Rural Action Yorkshire	N	-	? - "We feel that council representation is beneficial"	Y
Joint Helmsley Development Plan Member Working Group	N	-	N	N
Ryedale Festival	N	-	N	"Not Applicable"
Malton School Endowment	N	But representation from RDC is required by the Charity's Trust Deed	Y	N
Tourism Advisory Board	N	Although established by Commissioning Board (27/1/11) , minute 54(viii) refers	Y	N (But could amend if required)
LGA Rural Commission	N	-	Y (council representatives invited)	Y
Local Government North Yorkshire and York Housing Board	N	-	Y	Y
Community Leisure Limited	N	-	Y	N
Ryedale Community Transport (RYECAT)	N	-	Y	Y
Ryedale Voluntary Action	N	-	**	N

YES - 8 NO - 18 NO ANSWER - 1

Out of 19 from Question 1:
NO - 6 YES - 11 ? - 1 OTHER - 1

YES - 13 NO - 6 NO ANSWER or N/A - 7
ORGANISATION NO LONGER EXISTS - 1

* - although a report is going to Committee in March to disband the Ryedale Strategic Partnership Board, although Ryedale members will remain on the Local Delivery Group

** - Ryedale Voluntary Action is in the process of merger with sister charity Seachange Community Trust to become 'Coast and Vale Community Action' as from 1/4/2014.
This is a question for the new board of trustees. RVA has found elected member and council officer representation useful. Any such representation is in the capacity of observer and not in any decision making capacity.

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Commissioning Board

Held at Council Chamber, Ryedale House, Malton
on Thursday 23 January 2014

Present

Councillors J Andrews, Arnold (Chairman), Clark, Fraser (Vice-Chairman), Hicks, Hope, Mrs Hopkinson, Ives (Substitute), Mrs Keal, Walker and Windress

By invitation of the Chairman: Councillor Mrs Cowling
Observers: Councillors Wainwright and Mrs Shields

In Attendance

Will Baines, Fiona Brown, Simon Copley, Paul Cresswell, Jos Holmes and Steve Richmond

Minutes

31 Apologies for Absence

Apologies for absence were received from Councillor Mrs Sanderson (substitute Councillor Ives)

32 Minutes of Meeting held on 21 November 2013

Decision
That the minutes of the meeting of the Commissioning Board held on 21 November 2013 be approved and signed by the Chairman as a correct record.

33 Declarations of Interest

Councillor Fraser declared a personal non pecuniary but not prejudicial interest in Item 6 (Leisure Procurement) as the Council's representative on the Board of Community Leisure Ltd.

Councillor Ives declared a personal non pecuniary but not prejudicial interest in Item 6 (Leisure Procurement) as a user of Derwent Pool.

34 Urgent Business

There were no items of urgent business which the Chairman considered should be dealt with as a matter of urgency by virtue of Section 100B(4)(b) of the Local Government Act 1972 (as amended).

Part B Items - Matters to be referred to Council

35 Leisure Procurement

Considered – Report of the Head of Environment, Streetscene, Facilities, ICT and the Corporate Director (s151)

Recommendation to Council

That Council is recommended to approve the specification and agree to delegate minor amendments to the Head of Environment in consultation with the Chair of the Commissioning Board.

Part A Items dealt with under delegated powers or matters determined by the Board

36 Exempt Information

Resolved

That under Section 100(A)(4) of the Local Government Act 1972 the public be excluded from the meeting for the following item as there will be a likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A of the Act as the information provided relates to the financial or business affairs of any particular person.

37 Minutes of the Community Grants Working Party

Considered – Minutes of the meeting of the Community Grants Working Party on 16 December 2013

Decision

That the recommended projects and awards be approved, as detailed below.

Recipient	Project	Award	Conditions
Community Care	Acorn Safer Community	£3900	Standard Grant Conditions Quarterly monitoring information (to be agreed with CPO) RDC to be acknowledged on promotional information and

			website
Fadmoor Village Hall	Refurbishment Phase 2	£3500	Standard Grant Conditions RDC to be acknowledged by the permanent display of a plaque and on any printed or online material which the hall committee produce for three years. RDC Ward Member to be invited to attend any official opening event
Ebberston Cricket Club	Cricket Club Mower	£250	Standard Grant Conditions RDC to be acknowledged by the permanent display of a plaque and on any printed or online material which the committee produce for three years.
Lastingham Village Hall	Architect drawings and planning application for a disabled access	£450	Standard Grant Conditions RDC to be acknowledged by the permanent display of a plaque and on any printed or online material which the hall committee produce for three years. RDC Ward Member to be invited to attend any official opening event
Ryedale Carers Support	Supporting Carers in the Community	£832	Standard Grant Conditions RDC logo to be included on all RCS print and digital material for 1 year (including letters/information sent to users regarding day out and support groups). Short project evaluation to be submitted at conclusion of funding term, content to be agreed with grant officer
Thornton Dale Village Hall	Refurbishment	£5000	Standard Grant Conditions RDC to be acknowledged by the permanent display of plaque and on any printed or online material which the hall committee produce for three years. RDC Ward Member to be invited to any official opening event. Subject to secure funding package in place
Gillamoore and Fadmoor Playing Field	Play Equipment	£2500	Standard grant conditions Confirmation from NYMNP that the proposed equipment is covered by the terms of planning approval NYM/2005/0137/FL. RDC to be acknowledged by the permanent display of a plaque and on any printed or online material which the committee produce for three years
Malton White Star Band	Upgrade of toilet facilities	£3938	Standard Grant Conditions New facilities to be DDA compliant. Evidence that FME has no intention to evict (preferably for at least a 10 year period) The Band should work with the Creative Economy Officer to develop a business plan to support the future sustainability of the band. The Band should work with the Funding Officer from RVA to explore additional funding opportunities. RDC logo to be on all marketing material that the band produces (including online) for a 3 year period
Cold Kirby Institute	Improvement Project	£1500	Standard Grant Conditions RDC to be acknowledge by the permanent display of plaque and on any printed or online material which the hall committee produce for three years RDC Ward Member to be invited to attend any official opening event. Subject to planning permission
Staxton Playing Fields	Renew Artificial Practice Area	£1500	Standard Grant Conditions RDC to be acknowledged by the permanent display of a plaque and on any printed or online material which the hall

			committee produce for three years. RDC Ward Member to be invited to attend any official opening event. Secure match funding package to be in place. Consult the Energy Efficiency Centre or Rural Action Yorkshire for advice on the sustainable community building
Nunnington Village Hall	Kitchen Refurbishment	£1000	Standard Grant Conditions RDC to be acknowledged by the permanent display of a plaque and on any online or printed material which the hall committee produce for three years. RDC Ward Member to be invited to attend any official event

38 **Any other business that the Chairman decides is urgent**

There being no other business, the meeting closed at 7:45pm.

By virtue of paragraph(s) 7 of Part 1 of Schedule 12A of the Local Government Act 1972.

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